

## Crossover from semiconductor to magnetic metal in semi-Heusler phases as a function of valence electron concentration

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**Abstract.** Experimental and theoretical investigations of intermetallic semi-Heusler compounds (CoTiSn, FeTiSb, CoTiSb, NiTiSn, CoNbSn, CoVSb, NiTiSb) and their solid solutions (CoTiSn<sub>1-x</sub>Sb<sub>x</sub>, CoTi<sub>1-x</sub>Nb<sub>x</sub>Sn) are presented. The physical properties of these systems are found to be mostly determined by the number of valence electrons. Resistivity experiments show that compounds with 18 valence electrons are either semiconductors (CoTiSb, NiTiSn) or semi-metals (CoNbSn). The electronic structure calculations performed on 18-valence-electron systems by the KKR method show nine valence bands below the Fermi level and a gap of order 0.4–0.9 eV. A decrease or increase of the number of valence electrons in CoTiSb, NiTiSn or CoNbSn leads in either case to a metallic state and either ferromagnetic (CoTiSn, CoVSb) or paramagnetic (FeTiSb, NiTiSb) properties. The KKR results concerning 17- and 19-valence-electron systems correspond well with experimental characteristics, except in the case of CoVSb which KKR calculations predict to be a half-metallic ferromagnet, which conflicts with experimental data.

Magnetization and resistivity measurements indicate that semiconductor–metal crossovers occur together with the appearance of ferromagnetism in the CoTiSn<sub>1-x</sub>Sb<sub>x</sub> and Co<sub>1-x</sub>Ni<sub>x</sub>TiSn series, for  $x$  near 0.4. This behaviour is discussed in the context of the KKR-CPA results.

### 1. Introduction

Heusler phases are well known ternary intermetallic compounds, with general formula  $X_2YZ$ , where  $X$  and  $Y$  are transition metals and  $Z$  is an  $sp$  element. Semi-Heusler phases have the same cubic structure, except that one of the  $X$  sites is empty, giving a formula  $XYZ$ . Due to the vacant site, the overlap between the  $d$  wave-functions is smaller, which gives rise to narrower bands and to the appearance of gaps in the energy spectra. This family of compounds attracted wide interest after the discovery for the NiMnSb and PtMnSb ferromagnets [1, 2] of peculiar electronic structure properties, collectively known as half-metallic ferromagnetism. The half-metallic character arises from the magnetic splitting of the bands, which leads to the occurrence of an energy gap at  $E_F$  for down-spin electrons, together with a metallic state for up-spin electrons [3, 4].

In this paper we focus on the physical properties of some  $XYZ$  compounds, where the transport and magnetic properties are governed by the number of valence electrons (EC). Compounds such as NiTiSn, CoTiSb with  $EC = 18$  were found to be semiconductors (SC) [5, 6]. In the following, we consider how the electronic and magnetic properties are modified

on passing to EC = 19 and EC = 17 systems, by adding (removing) one electron to (from) the different crystallographic sites. We shall see how a crossover from a semiconducting to a metallic state occurs, and how it may be related to the onset of weak ferromagnetism.

Measurements of resistivity and magnetization, as well as band-structure calculations by the Korringa–Kohn–Rostoker (KKR) method, were performed for the following ordered samples: CoTiSn, FeTiSb, CoTiSb, NiTiSn, CoNbSn, CoVSb and NiTiSb. Recently [6, 7], insulator–metal crossovers related to the onset of ferromagnetic ordering were detected in  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$  solid solutions for  $x$  near 0.4. However, the concentration dependencies of the magnetic moments and Curie temperatures are not the same in these two series. In this paper the new series of solutions  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  is also described, where the substitution is at the Y site, and compared with the previous  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series. As will be shown, the variations of the physical properties with concentration are again different, as it is not equivalent to add one electron to the X site (filling the d shell of Co), to the Y site (enhancing the density of d states at this site), or to the Z site. In order to get a deeper insight into the influence of alloying on electronic structure, band-structure calculations were performed for the above-mentioned alloys using the KKR method with the coherent potential approximation (CPA). As far as possible, the ground-state KKR-CPA results will be compared to observed properties, and some interpretations will be proposed for these series concerning insulator–metal transitions and the onset of ferromagnetism.

**Table 1.** The lattice parameter (at RT), Curie and Curie–Weiss temperatures, spontaneous magnetization at 0 K and effective paramagnetic moment (per Co/Fe atom), or constant paramagnetic susceptibility ( $\text{emu mol}^{-1}$ ) for XYZ semi-Heusler compounds. The crystallographic positions of inequivalent atoms in the unit cell can be easily derived, since the chemical formula is written as XYZ, where X is at (0, 0, 0), Y is at (1/4, 1/4, 1/4) and Z is at (3/4, 3/4, 3/4) in the unit cell.

Compound XYZ	$a$ (Å)	$T_C$ (K)	$M(0)$ ( $\mu_B$ )	$\theta_p$ (K)	$\mu_{eff}$	$10^4 \chi_p$
CoTiSn	5.997	135	0.357	176	1.35	—
CoTiSb	5.884	—	—	—	—	1.7
CoNbSn	5.947	—	—	—	—	0.53
NiTiSn	5.941	—	—	—	—	1.3
CoVSb	5.791	58	0.18	75	1.26	0
NiTiSb	5.872	—	—	—	—	1.4

## 2. Crystallography and physical properties

Let us first describe some structural aspects of Heusler systems. The unit cell of the true Heusler  $\text{X}_2\text{YZ}$  structure (space group:  $Fm\bar{3}m$ ) consists of four inter-penetrating f.c.c. sublattices. If one of the two equivalent sites (0, 0, 0) or (1/2, 1/2, 1/2) (here, occupied by X atoms) is empty, the semi-Heusler XYZ structure (space group:  $F\bar{4}3m$ ) appears [5, 8]. As we see from table 1, d atoms occupy X and Y sites, while metalloids are located at Z positions. The smallest d metal generally occupies the site (0, 0, 0) related to the vacancy site (1/2, 1/2, 1/2). The XYZ systems are far from compact, and thus are subject to lattice instabilities. From a chemical point of view, they can be stabilized only through covalent bonding. Thus they are encountered when  $Z = \text{Sn}$  or  $\text{Sb}$ , and then only within a restricted range of peripheral electron number. The ideal valence electron concentration (EC) is 8 or 18 electrons per formula unit, which corresponds to  $\text{AgMgAs}$ ,  $\text{NiTiSn}$ ,  $\text{ZrNiSn}$ ,

CoTiSb, CoNbSn, etc. It leads to the formation of tetrahedral bonds and  $sp^3$  hybridization around the p element and favours bonding between d metals. Semiconducting properties occur for  $EC = 18$  for some of the above-mentioned compounds, and disappear for other values of  $EC$ .

As always for Heusler compounds, the physical properties are strongly dependent on the crystallographic order. Disorder generally occurs between d metals, the more so the closer they are in the periodic table. Some atoms may also occupy a vacancy site. For these reasons, all of the samples investigated in the present work were annealed for a long time (one week to one month) at temperatures between 650 and 800 °C. Nevertheless, some atomic disorder is still observed. It is not possible for instance to obtain intrinsic semiconductors in these systems and band gaps cannot be determined properly from transport experiments below 300 K. Similarly, the residual resistivity of metallic phases remains significant.

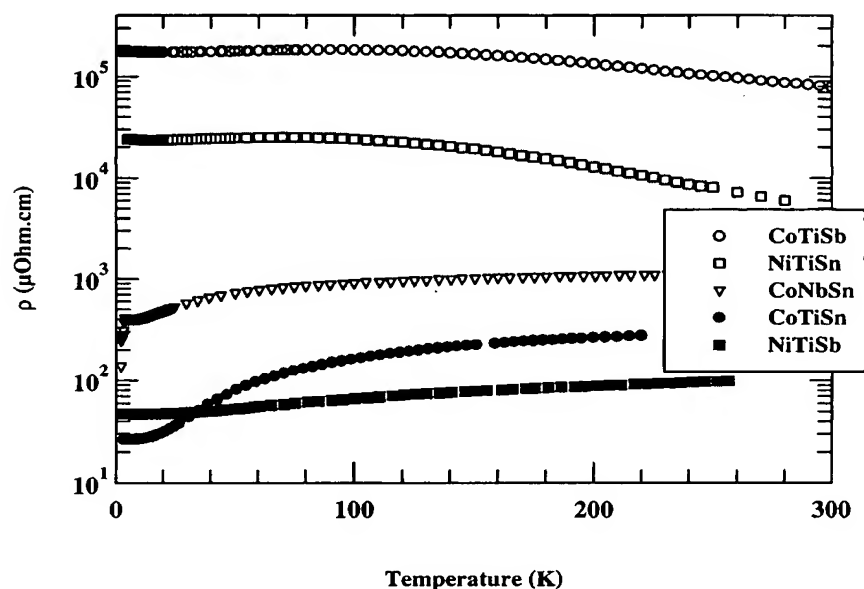


Figure 1. The resistivity for some semi-Heusler compounds.

### 2.1. The $Co_{1-x}Ni_xTiSn$ series

This series has already been described in previous papers [5, 6]. Here, we recall its basic properties. NiTiSn is a narrow-gap semiconductor ( $E_g = 0.42$  eV according to the KKR calculations [9]). Resistivity measurements show that the intrinsic regime is probably not reached even at room temperature. The experimental gap deduced between 200 and 300 K is only 80 meV, much smaller than calculated. This value may be representative of the activation energy of donor or acceptor levels (figure 1). The susceptibility is nearly constant between 15 and 300 K ( $\chi = 1.3 \times 10^{-4}$  emu mol $^{-1}$ ) (see table 1). Replacing Ni by Co leads first to the narrowing of the gap, then to metallic conduction and finally to the appearance of weak ferromagnetism for a Co (or hole) concentration of about 0.45. No sharp transition occurs between the SC and metallic phases. The limit between semiconducting and metal-

like behaviours was somewhat arbitrarily set to be the concentration at which the temperature derivative of the resistivity changes from negative to positive, or where the low-temperature resistivity is of the order of the (now historical) Mott limit for metallic resistivity, i.e. a few  $\text{m}\Omega \text{ cm}$ .

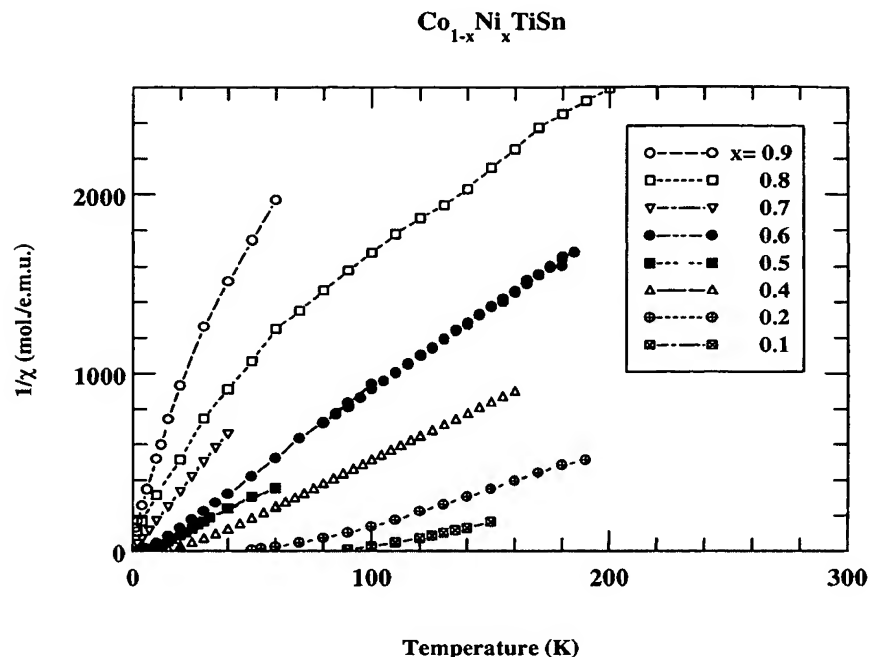


Figure 2. Reciprocal susceptibilities for the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  series.

$\text{CoTiSn}$  is a weak ferromagnet, ordering at  $T_C = 135 \text{ K}$ , with a moment at low temperature of  $M(0) = 0.35 \mu_B$  (table 2). Co atoms retain a localized moment when diluted in  $\text{NiTiSn}$ , as shown by the susceptibility of the solutions (figure 2), which exhibit both Curie–Weiss-like and temperature-independent contributions:  $\chi = (1 - x)C/T + \chi_0$ . The Curie constant  $C$  per Co ion gives an effective (paramagnetic) moment of Co close to  $1.1 \mu_B$ , a value similar to that for pure  $\text{CoTiSn}$  ( $1.35 \mu_B$ ). Thus the Co paramagnetic moment localizes without a significant change in magnitude when diluted in  $\text{NiTiSn}$ . In the ferromagnetic range,  $T_C$  scales as  $M(0)^{1.7}$ , which is close to the quadratic behaviour generally observed for localized systems.

## 2.2. The $\text{CoTiSn}_{1-x}\text{Sb}_x$ series

Experimental results for this series have already been partly described elsewhere [10]. In this series the solid solution is not continuous: the lattice parameter first decreases regularly on adding Sb up to  $x = 0.5$ , the phases being metallic. A two-phase region, with two cubic Heusler phases, exists between  $x = 0.5$  and  $x = 0.6$ , then the second semiconducting phase with the smaller lattice parameter continues up to the compound  $\text{CoTiSb}$ . The SC phase is more compact, which should be ascribed to the bonding mechanism and to the distribution of valence electrons. This SC–metal crossover cannot be a classical Mott transition, since

the SC phase here has a smaller volume than the metallic one and is not magnetically ordered.

The Sn-rich phases order ferromagnetically for  $x < 0.5$ , but the solutions turn paramagnetic for larger Sb contents. The susceptibility behaviour changes from Curie-Weiss-like in the ferromagnetic range, with an effective moment per Co proportional to the Sn content, to the characteristic behaviour of enhanced paramagnets, with a maximum value in the range 50 to 100 K (figure 3) for SC solutions. A nearly constant susceptibility  $\chi = 1.7 \times 10^{-4}$  emu mol $^{-1}$  is observed for the CoTiSb, a result which is close to that ( $\chi = 1.14 \times 10^{-4}$  emu mol $^{-1}$ ) obtained by Terada *et al* [11]. The magnetic susceptibility of this series as a function of concentration behaves like that of ZrZn $_2$  under pressure [12]. In the ferromagnetic range,  $T_C$  is proportional to  $M(0)$ , a behaviour which was also observed in the metallic solutions between Co $_2$ TiSn and Ni $_2$ TiSn [13].

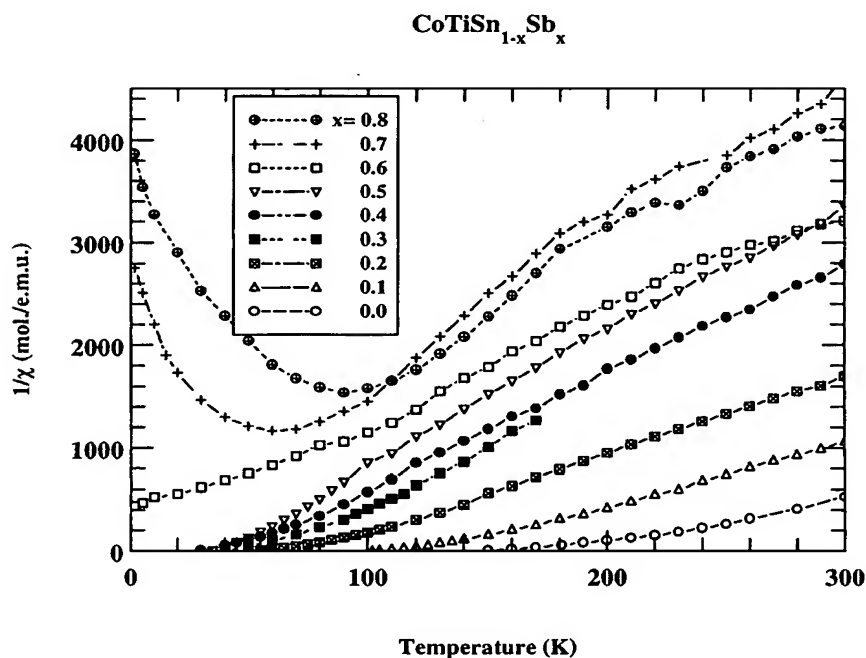


Figure 3. Reciprocal susceptibilities for the CoTiSn $_{1-x}$ Sb $_x$  series.

The resistivity curves of the solutions have a complex behaviour [10]: in the metallic range, the magnetic part of the resistivity becomes larger and larger as the concentration approaches the magnetic-non-magnetic boundary, giving a large  $T^2$ -term at low temperature; the S shape of the resistivity is typical of spin fluctuations. For  $x > 0.6$ , the SC behaviour is recovered.

Thus striking differences are observed between the CoTiSn $_{1-x}$ Sb $_x$  and the Co $_{1-x}$ Ni $_x$ TiSn series. A puzzling feature is that CoTiSb does not order magnetically, and does not even exhibit any localized magnetism (Curie-Weiss susceptibility) whereas Co atoms diluted in NiTiSn do so. A first interpretation would be that an extra electron added to the Z site can be transferred to Co and fills the d shell. We shall see below that this picture is not accurate, and that another interpretation should be given.

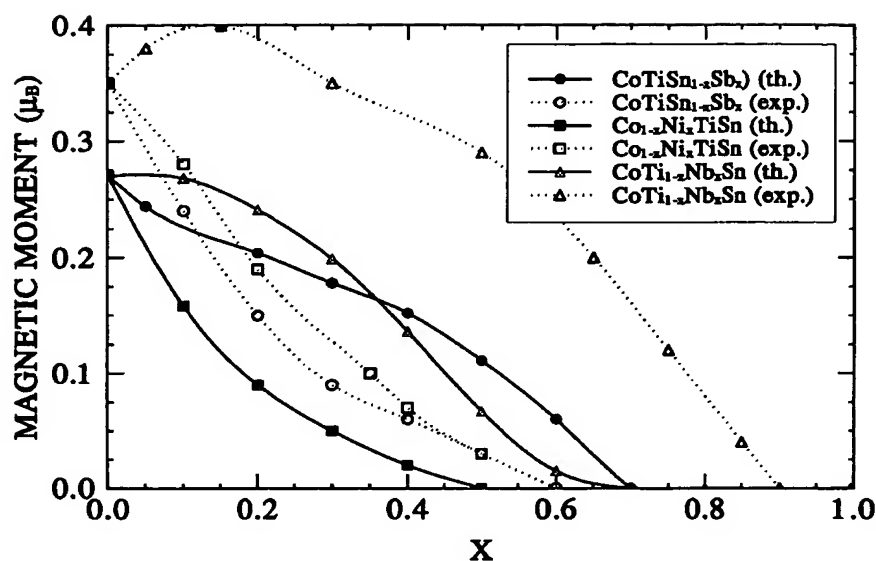


Figure 4. Total magnetic moment variations versus alloy compositions for three isoelectronic series:  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  (squares),  $\text{CoTiSn}_{1-x}\text{Sb}_x$  (circles) and  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  (triangles). The measured and KKR-CPA points are linked by dotted and solid lines, respectively.

Table 2. Magnetic data for solutions in the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series.

$x$ (Nb)	$T_C$ (K)	$M(0)$ ( $\mu_B$ )	$\theta_p$ (K)	$\mu_{eff}$
0	135	0.357	158	1.35
0.05	141	0.38	165	1.44
0.15	155	0.40	180	1.51
0.3	120	0.35	151	1.33
0.5	112	0.29	146	1.15
0.65	90	0.20	No CW	—
0.75	23	0.17	—	—
0.85	17	0.12	—	—
0.9	0	0	—	—
1.0	0	0	—	—

### 2.3. The $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$ series

A third way to change EC from 17 to 18 is to operate on the third crystallographic site, and to replace Ti by V or Nb. Nb was chosen, since the atomic size of V is smaller than that of either Ti and Nb. In this case, adding a 'd electron' to the Y site should enhance the density of states and correlations on this site. Indeed, the effective moment  $\mu_{eff}$  per formula unit,  $\theta_p$ ,  $T_C$  and  $M(0)$  all start to increase with Nb content (table 2, figure 4) up to  $x = 0.15$ . For  $x > 0.15$  all of these quantities decrease, and the ferromagnetism disappears for  $x$  between 0.85 and 0.9. The susceptibility of  $\text{CoNbSn}$  (table 1) is nearly temperature independent and is lower than for other compounds with  $EC = 18$ , which may be due to the larger diamagnetic susceptibility of the 4d-metal core. For ferromagnetic samples, the square of the spontaneous magnetization  $M(T)$  varies as  $T^2$  for  $x$  lower than 0.3, but as

**Table 3.** The quantities from the band-structure calculations: energy gap  $E_g$ , total number of electrons ( $N_X$ ,  $N_Y$  and  $N_Z$ ), number of d states ( $N_{X,d}$ ,  $N_{Y,d}$ ) inside muffin-tin spheres as well as magnetic moments per formula unit.

Compound	$E_g$ (eV)	$N_X$	$N_Y$	$N_Z$	$N_{X,d}$	$N_{Y,d}$	$M$ ( $\mu_B$ )
CoTiSn		26.68	20.36	47.83	7.56	1.87	0.28
FeTiSb		25.57	20.38	48.61	6.53	1.96	0.78
CoTiSb	0.95	26.64	20.34	48.52	7.51	1.93	
CoNbSn	0.4–0.6	26.74	38.72	47.82	7.54	2.51	
NbCoSn		39.19	26.42	48.04	2.86	7.44	
NiTiSn	0.42	27.73	20.34	47.87	8.50	1.94	
CoVSb		26.61	21.50	48.41	7.48	2.95	1.00
NiTiSb		27.70	20.33	48.44	8.48	1.96	0.0

$T^{4/3}$  for larger  $x$ . This last behaviour was already predicted by Moriya and Kawabata [14] and Lonzarich and Taillefer [15], and attributed to the fluctuations of the order parameter close to the magnetic–non-magnetic crossover.

The resistivity measurements indicate that the metallic character is maintained throughout the series, although the resistivity is much higher for CoNbSn than for metallic CoTiSn or NiTiSb (figure 1). The behaviour observed for CoNbSn implies either a semi-metallic state with a low density of states, or a large atomic disorder which prevents a true semiconducting behaviour. Several CoNbSn samples have been prepared, but even the best one (shown in the figure 1) has a superconducting transition at 3.5 K, a transition temperature which is close to that of Sn. It is probable that some Sn filaments exist between grains and that the stoichiometry of the Heusler phase is not exact. The presence of this spurious phase (undetected by x-rays) may thus explain the metallic-like shape of the resistivity, due to the short-circuit of the primary CoNbSn phase. It may be due to the large difference between the melting points of Sn and Nb, although Co and Nb were first melted together to produce a eutectic with a lower melting point.

Band-structure calculations (see below) show that the inversion of Nb and Co atoms gives rise to a semi-metallic phase instead of a SC one.

#### 2.4. Summary of the experimental results and other compounds

The variation of the ordered magnetic moment  $M(0)$  in the three series is plotted in figure 4 as a function of the concentration  $x$  (or versus the valence electron number:  $17 < EC < 18$ ).  $M(0)$  decreases continuously and almost at the same rate in the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series, and the ferromagnetic state disappears for  $x$  close to 0.6 ( $EC = 17.6$ ). For the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series, the magnetization and the Curie temperature first increase as a function of  $EC$ , and then drop to zero for  $EC$  close to 17.9. As previously mentioned, the last feature is due to the increase in the d DOS at the Y site which reinforces the magnetic interactions.

Compounds like NiTiSb and CoVSb have 19 valence electrons, and thus a reappearance of metallic conduction may be expected. The magnetic properties of these systems have been investigated by Terada *et al* [11]. NiTiSb is a Pauli paramagnet, with  $\chi = 1.56 \times 10^{-4}$  emu mol $^{-1}$  as deduced from measurements between 25 and 250 K ( $\chi = 1.37 \times 10^{-4}$  emu mol $^{-1}$  from reference [11]). Resistivity measurements show that this compound is a normal metal. The Debye temperature obtained by a Bloch–Grüneisen fit is estimated to be  $220 \pm 20$  K.

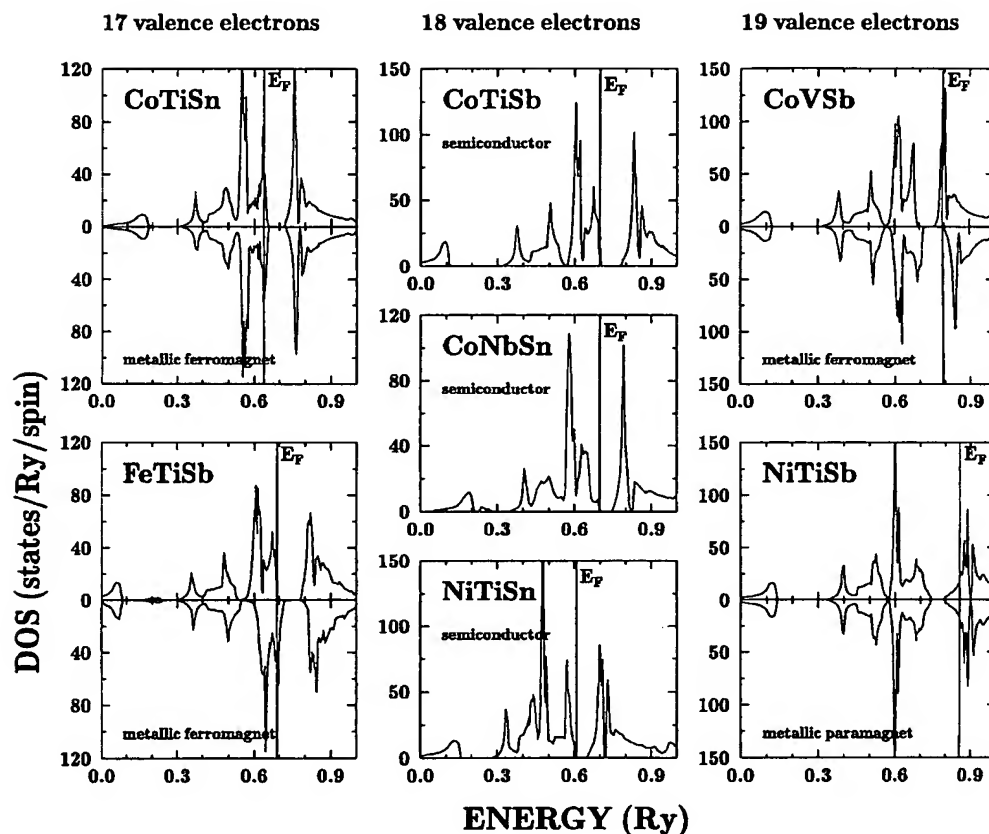


Figure 5. Total densities-of-states spectra of semi-Heusler compounds with various numbers of valence electrons (EC). Each column represents isoelectronic systems. CoTiSn and FeTiSb (EC = 17) are metals, CoTiSb, CoNbSn and NiTiSn (EC = 18) are semiconductors, while CoVSb and NiTiSb (EC = 19) are again metals.

CoVSb is a weak ferromagnet, with  $M(0) = 0.18 \mu_B$ , and  $T_C = 58$  K [11]. Its effective paramagnetic moment is  $1.26 \mu_B$ , close to the values found for CoTiSn and Co diluted in NiTiSn. As for CoTiSn, a fairly large ratio of the paramagnetic to the ferromagnetic moment is observed, which is typical of weak itinerant systems. Finally we observed that FeTiSb (EC = 17) is metallic and exhibits a Curie-like behaviour at low temperatures, but without magnetic order down to 1.5 K.

### 3. Band-structure studies

We have implemented fully charge and spin self-consistent KKR calculations for CoTiSn, FeTiSb, CoTiSb, CoNbSn, NiTiSn, CoVSb and NiTiSb ordered systems. The computations for  $\text{CoTiSn}_{1-x}\text{Sb}_x$  and  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  and the previous ones [9] for  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  (with  $x = 0.0, \dots, 1.0$ ) solid solutions were performed by the KKR-CPA method [16–19]. For compounds as well as for alloys, muffin-tin potentials were constructed within the local spin-density (LSD) approach, using the exchange–correlation part of the von Barth–Hedin



formula [20]. The KKR-CPA self-consistency cycles were repeated for each composition until the difference between input and output potentials was less than 1 mRyd. For the final muffin-tin potentials, the total density of states (DOS), the site-decomposed densities of states (CDOS) and  $l$ -decomposed (with  $l_{max} = 2$ ) partial densities of states (PDOS) were computed on a 201-energy-point mesh for the alloys and on a 601-point mesh for the compounds. The integration in  $k$ -space was performed using 192 small tetrahedra in (1/48)th of the Brillouin zone, applying the method described in reference [21]. The KKR-CPA code contains the generalized Lloyd formula which permits the precise determination of the Fermi energy, using an elliptic contour in the complex energy plane. In our computations the contour contained 48 energy points and the CPA Green function was computed on a mesh of 75 special  $k$ -points in the irreducible part of the BZ for each of the 48 above-mentioned energy points.

In our calculations the experimental lattice constants were generally used. The KKR-CPA calculations for disordered  $\text{CoTiSn}_{1-x}\text{Sb}_x$  were performed with lattice constants corresponding to the values of  $\text{CoTiSn}$  and  $\text{CoTiSb}$  compounds successively. This allowed the influence of the lattice parameter to be checked on the electronic structure, an important feature in this case due to the discontinuity of the solid solution. In the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series the variation of the cell parameter is quite small (table 1). An empty sphere was added at the (1/2, 1/2, 1/2) position in order to improve the filling factor of the WS cell for filling by non-overlapping muffin-tin spheres (for semi-Heusler systems, when using equal radii, this factor is less than 50%; it increases to 68% on adding extra spheres). The radius  $r_{mt} = \frac{\sqrt{3}}{8}a$  was chosen for all non-equivalent atoms in the WS cell, although some numerical trials were done for the  $\text{CoTiSn}$  compound using various muffin-tin radii for Co, Ti and Sn atoms.

The electronic structure of the end-point compounds was also computed using the KKR-CPA code. Such calculations allow the results obtained by the two methods to be compared and show the DOS characteristics for a vanishing or near-vanishing concentration of one dopant. The limit cases ( $x = 0$  and  $x = 1$ ) mean that from the KKR-CPA calculations one may recover for instance the DOS of the Nb atom (one-impurity properties) diluted in the pure  $\text{CoTiSn}$ , i.e. the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  ( $x = 0$ ) case.

The electronic structure of the present semi-Heusler systems is roughly divided into three groups, according to the number of valence electrons (17-, 18- and 19-electron systems). Figure 5 is a kind of phase diagram, which illustrates the electronic structure of systems and their corresponding ground-state properties.  $\text{CoTiSn}$  and  $\text{FeTiSb}$  (EC = 17) are found to be metallic ferromagnets,  $\text{CoTiSb}$ ,  $\text{CoNbSn}$  and  $\text{NiTiSn}$  (EC = 18) semiconductors, while  $\text{NiTiSb}$  and  $\text{CoVSb}$  (EC = 19) are again metals in the paramagnetic and ferromagnetic state, respectively. From a brief comparison we observe that, even for isoelectronic compounds, the magnetic and transport properties strongly depend on the constituent atoms and their crystallographic positions. From the set of pictures in figure 5, we can easily draw all possible 'paths' between the ordered systems, guessing properties of possible solid solutions. Nevertheless, as observed in our experiments, some phase diagrams do not show a continuous solution. In this paper we focus on the series of solid solutions  $\text{CoTiSn}_{1-x}\text{Sb}_x$  and  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$ , which seem the most attractive for our purposes. The  $\text{CoV}_{1-x}\text{Ti}_x\text{Sb}$  series has not yet been investigated experimentally and will not be presented in this paper.

### 3.1. $\text{CoTiSn}$ and $\text{FeTiSb}$

The spin-projected DOS picture for  $\text{CoTiSn}$  (the top-left-hand panel in figure 5, also in reference [9]) shows a weak polarization corresponding to a magnetic moment of about

0.28  $\mu_B$  per formula, which is mainly localized on the Co site (0.15  $\mu_B$  inside the muffin-tin sphere). The conduction band is formed essentially from d states of Co with some admixture of Sn p states and Ti d states, but part of them are observed above a gap. The DOS for spin up abruptly decreases in the vicinity of the Fermi level, whereas for spin down  $E_F$  falls almost precisely at a peak of the DOS. Electronic structure calculations close to the SC-metal limit and close to the magnetic instability are highly sensitive to the determination of the Fermi level. The DOS spectra for CoTiSn (the left-hand column in figure 5) and the position of  $E_F$  are deduced from the KKR-CPA method (using the generalized Lloyd formula, when determining  $E_F$ ). The KKR computations of ordered CoTiSn show stronger polarization of bands and result in magnetic moments both on Co (0.41  $\mu_B$ ) and Ti (0.30  $\mu_B$ ) atoms. As stated above, the relevant KKR-CPA calculations for CoTiSn show the magnetization to be of order 0.3  $\mu_B$ , mainly due to the magnetic moments at Co sites. Recently, the electronic structure calculations have been performed for the Co<sub>2</sub>TiSn and Co<sub>2</sub>TiAl Heusler systems with the use of the FP-LAPW and the ASW-ASA methods [22]. The comparison shows that the full-potential LAPW computations lead to a total magnetic moment in agreement with experiment in contrast to the ASW-ASA method, which gives non-magnetic ground states for these systems. This result may be influenced by the use of overlapping spheres (ASA) around atoms, which probably cancel some spin-density contributions. The calculations presented in this paper (using muffin-tin potentials inside non-overlapping spheres) indicate that CoTiSn and Co<sub>2</sub>TiSn [9] are weak ferromagnets with the total magnetic moments 0.28  $\mu_B$  and 0.90  $\mu_B$  (per Co atom) respectively. These values are close to the experimental data (0.35 and 0.96  $\mu_B$  [6]) and to the FP-LAPW results [22].

The electronic structure of FeTiSb (the left-hand column in figure 5) is presented for comparison with that of the isoelectronic CoTiSn. FeTiSb is found to be a metallic ferromagnet with a total magnetic moment per formula unit of 0.78  $\mu_B$ ; the calculated local magnetic moments at the Fe(X) and Ti(Y) sites are 0.66  $\mu_B$  and 0.10  $\mu_B$ , respectively. As for CoTiSn,  $E_F$  lies at the peak of the spin-down DOS. When comparing the component DOS of these two systems (not drawn here), we notice that the d states of Ti more strongly contribute to the conduction band in the FeTiSb system, resulting among other things in a small magnetic moment on Ti. The integrated partial DOS of the Ti d shell up to  $E_F$  (table 2) exhibits a higher value for FeTiSb ( $N_{Y=Ti,d} = 1.96$ ) than for CoTiSn ( $N_{Y=Ti,d} = 1.86$ ). This compound indeed exhibits a Curie-like behaviour typical of local magnetic moments at low temperature, with a nearly vanishing Curie-Weiss temperature. However, no magnetic order was detected experimentally down to 1.5 K. As reported in reference [23], the refinement of the diffraction data for FeTiSb seems to show more complex crystallographic structure than the semi-Heusler one (a super-cell or disordered structure). When assuming a disorder on X sites within the X<sub>2</sub>YZ-type structure, the KKR-CPA computations show a non-magnetic ground state of (Fe<sub>0.5</sub>E<sub>0.5</sub>)<sub>2</sub>TiSb (E denotes a vacancy), in agreement with the present experiments.

### 3.2. CoTiSb, CoNbSn and NiTiSn

The KKR studies of semi-Heusler systems possessing 18 valence electrons show the presence of a semiconducting ground state. The basic difference between CoTiSb, NiTiSn and CoNbSn compounds concerns the value of the energy gap  $E_g$ , which is biggest ( $E_g = 0.95$  eV) for CoTiSb (see table 2). As can be seen from the dispersion curves, bands for CoTiSb (figure 6) and NiTiSn [9] exhibit an indirect  $\Gamma$ -X gap, while  $E_g$  for CoNbSn appears between the W and X points in the Brillouin zone.

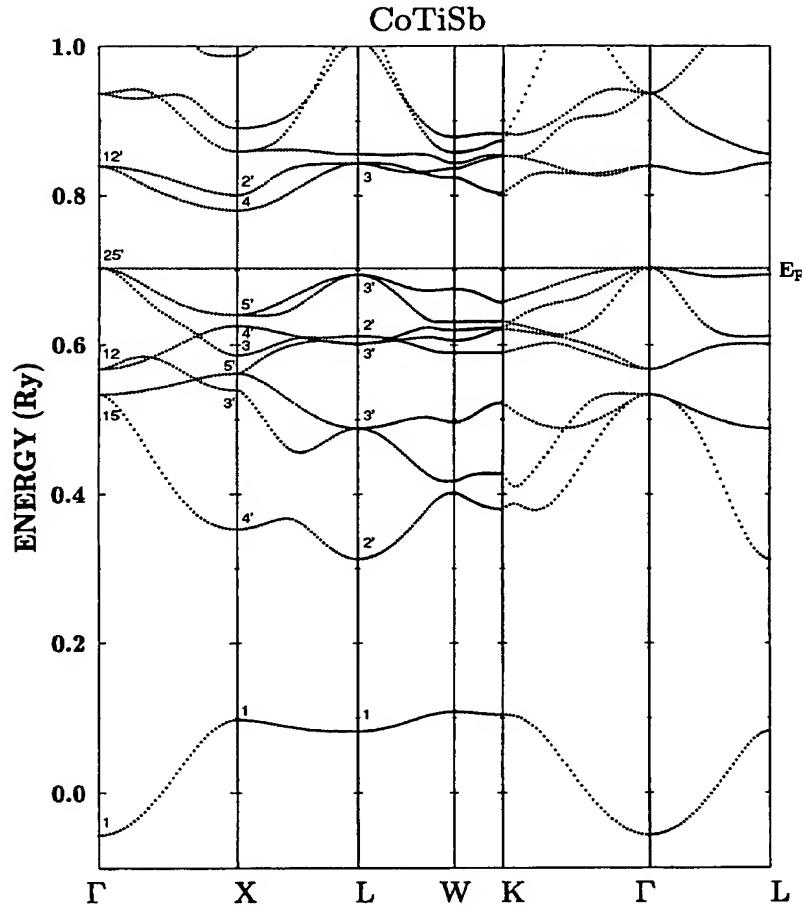


Figure 6. The dispersion curves along high-symmetry BZ directions in the semiconducting CoTiSb. The symmetry labels are assigned according to reference [28].

In this context we recall some limits of the LSD approach in the description of semiconductors. The value of the computed energy gap may be also modified when including the spin-orbit coupling, which is not considered in the present KKR calculations. We expect that upon inclusion of the spin-orbit interaction, the band gaps in semi-Heusler systems may be reduced by about 10–15%, as concluded in reference [24] with respect to the half-metallic PtMnSb.

On the other hand, transport measurements do not give reliable values for the gaps, due to the extrinsic character of the samples (at present, optical measurement results are not available for these systems). Nevertheless, the resistivity values for the three compounds vary in the same sense as the calculated values of the gap (see figure 1 and figure 5).

The CoNbSn compound is near the metal-SC limit, as observed in resistivity measurements. The band-structure studies give an energy gap  $E_g$  of the order of 0.4 eV (KKR-CPA) and 0.6 eV (KKR). The disagreement with experiment may arise from the disorder between Co and Nb sites: the KKR computations performed for NbCoSn with

inverted sites (Nb at the X site, while Co is at the Y site) give a semi-metallic state (table 3), with a small DOS at  $E_F$  (13 states  $\text{Ryd}^{-1}$ ) mainly due to the Co d contribution.

Both for NiTiSn and for CoTiSb, a dominant character of the electrons (larger mobility, or more donor centres than acceptors) has been observed in the Hall effect. The larger electron mobility may be attributed to the curvature of the valence and conduction bands and to the threefold degeneracy of hole orbitals near the  $\Gamma$  point (the top of the valence band in figure 6), which limits the hole mobility.

For NiTiSn, the main DOS peaks below  $E_F$  are principally formed from Ni d states, while above the gap they are due to Ti d states. The situation observed for CoTiSb is slightly different. Here, the gap is two times larger; the electronic spectra deduced from KKR computations also differ from the DOS of NiTiSn. The d states of Co are separated by a gap, and only about 80% of the Co d states are filled below  $E_F$ . The main peak of the Co d PDOS is shifted to higher energies, relative to the Ni d PDOS for NiTiSn, due to Co possessing a less attractive potential than Ni. The exact position of the Co d PDOS peak relative to the Fermi energy is also slightly different for CoTiSb and CoNbSn. Moreover, the filling of the d shell of Co in CoNbSn ( $N_{Y=\text{Co},d} = 7.54$ ) is like that in CoTiSb ( $N_{Y=\text{Co},d} = 7.51$ ) (see table 3).

From the DOS spectra for the Y and Z sites in the above-mentioned systems (not drawn here), we see, that replacing Ti by Nb and Sb by Sn leads to a higher increase of d states below  $E_F$  than the corresponding decrease of p states at the Z site. Consequently, d states from X and Y sites in CoTiSb and CoTiSn are less hybridized than in CoNbSn.

In this comparison we cannot neglect the influence of the Z position on the formation of the energy gap. The degree of hybridization between d states at X and Y sites and p states at the Z site may control the value of the gap. As can be observed from the p PDOS at the Z site, the hybridization is stronger if  $Z = \text{Sb}$  than if  $Z = \text{Sn}$ . Thus CoTiSb (with higher electronegativity of Sb) has a wider  $E_g$  than NiTiSn and CoNbSn systems. Nevertheless, the d PDOS are very large on both sides of the gap, and hence its value may also be related to the splitting between bonding/anti-bonding wave-functions of transition metals (see the discussion).

### 3.3. NiTiSb and CoVSb

Adding one electron more to the semi-Heusler  $\text{EC} = 18$  compounds, at either the X or the Z position, turns the system metallic, with only one conduction electron per formula unit. When the density of states at the Fermi level is sufficient, as in CoVSb, Stoner's criterion is met and a ferromagnetic ground state occurs. Calculations show that, surprisingly, the magnetization arises from vanadium atoms. This behaviour may be explained by the fact that  $E_F$  falls at a high peak of the d DOS for vanadium. The corresponding density for Co is significantly smaller, and thus the local Stoner criterion is not fulfilled.

Both KKR and KKR-CPA methods indicate that the bands are fully polarized; thus CoVSb should be a half-metallic system (the right-hand column in figure 5) with a magnetic moment per Wigner-Seitz cell equal to  $1.00 \mu_B$ . The calculated local magnetic moments on Co, V and Sb are found to be  $-0.02$ ,  $1.03$  and  $-0.03 \mu_B$ , respectively. However, this magnetization value is larger than that observed by Terada *et al* [11]. This disagreement may be due to the very rapidly varying DOS in this compound near  $E_F$ : from the total DOS for CoVSb, we also conclude that the half-metallic ferromagnetism here is linked to the highly localized conduction states (a large peak of the DOS at  $E_F$ ). A different ground state may be favoured in a real system; thus an analysis of the total energy variation as a function of polarization should be undertaken. One other reason for the discrepancy

between experiment and theory may be the atomic disorder between Co and V atoms: V has a smaller radius than other 3d metals which occupy the Y sublattice. It is close to that of Co and this may permit greater disorder.

Now, passing to the NiTiSb system, we notice clearly that adding one electron at the X site (Co replaced by Ni) does not have the same effect as increasing the number of electrons at the Y site by replacing Ti by V. The electronic structure of NiTiSb (figure 5) shows striking differences when compared to that of CoVSb. Spin-polarized KKR computations indicate that the ground state of NiTiSb is non-magnetic. In contrast to the Co d states in CoVSb, the Ni d shell in NiTiSb is more or less filled (as in NiTiSn), and the states above the gap are formed with Ti d states. Due to a small value of the DOS at the Fermi level, neither Ni nor Ti atoms in NiTiSb retain magnetic moments. This result is in agreement with magnetization and resistivity measurements, which indicate vanishing magnetization and metallic character in NiTiSb.

### 3.4. $\text{CoTiSn}_{1-x}\text{Sb}_x$ and $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$ alloys

In this section we compare the electronic structure and magnetic properties derived from KKR-CPA computations for the isoelectronic series of alloys  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$  (figure 7). In the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series (the right-hand column in figure 7) an increase of valence electron number is brought about by substitution at the Y site by a d element from the next group in the periodic table (Nb), while in the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series (the left-hand column in figure 7) it is effected by doping the Z site with Sb.

Due to the different origins of the electrons at the two substitution sites, we expect different behaviours of the electronic structure and magnetism for the series, upon alloying the parent compounds. The total magnetic moment ( $\mu_{\text{tot}}$ ) variations versus composition are presented in figure 4 for three series (the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  series was investigated in [9]). As in experiments, the variations of  $\mu_{\text{tot}}$  (more or less proportional to  $\mu_{\text{Co}}$ ) change non-linearly in all cases. The alloy compositions for which ferromagnetic ordering disappears are also different for the different series. Taking into account the weak ferromagnetism in all of the samples investigated, the critical concentrations found from KKR-CPA calculations are not far from the experimental results. The starting value of  $0.28 \mu_B$  for the magnetic moment of CoTiSn at  $x = 0$  also slightly differs from the experimental value ( $0.35 \mu_B$ ), which may modify  $\mu_{\text{tot}}(x)$  dependencies.

First let us consider  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  alloys. Here, an interesting observation concerns the concentration-dependent variation of the total magnetic moment, which exhibits a maximum in experiment and a plateau in the KKR-CPA calculations for Nb concentrations of about 0.1–0.2. This is related to an increase in the d states at Y sites, which generates a small induced magnetic moment at this site for  $0 < x < 0.2$ . It can be seen from the component DOS (the middle and right-hand columns in figure 8), which exhibits some polarization both at the Co site as well as at the Y site (mainly the Ti site) for  $x < 0.3$ . For higher Nb content, it is mainly the magnetic moment at the Co site that contributes to the total magnetization, which reaches zero for  $x$ -values of about 0.6–0.7. Surprisingly, both the gap between the conduction and valence bands in the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series (the right-hand column in figure 7 and figure 8) and the exchange splitting become smaller as  $x$  increases.

For  $x > 0.5$  the density of states at the Fermi level subsequently decreases, reaching zero for  $x = 1.0$  (an energy gap of about 0.4 eV occurs). This is supported by resistivity measurements, which show an increase of the resistivity in Nb-rich  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  samples although, experimentally, CoNbSn appears to be more or less semi-metallic (see section 2.3).

Crystallographic studies have shown that the solid solution was not continuous between

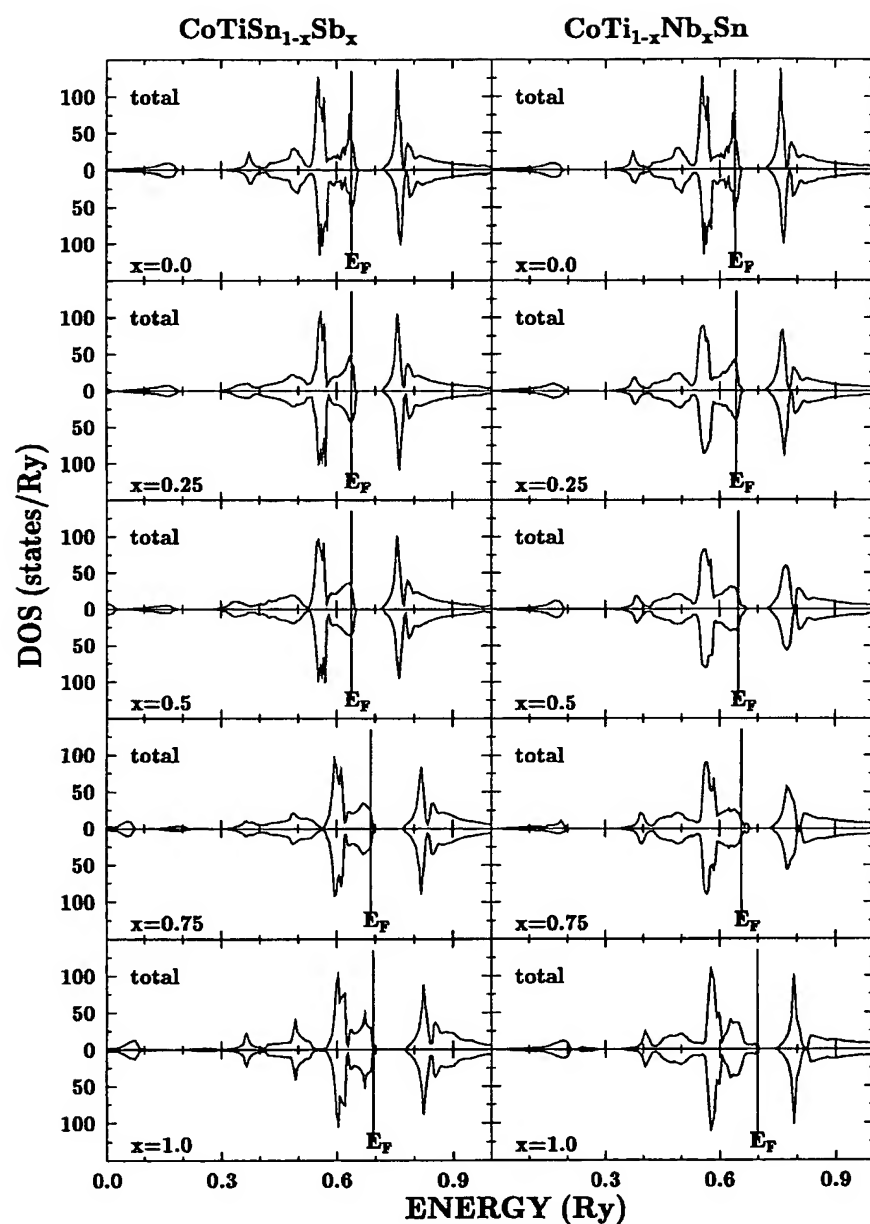
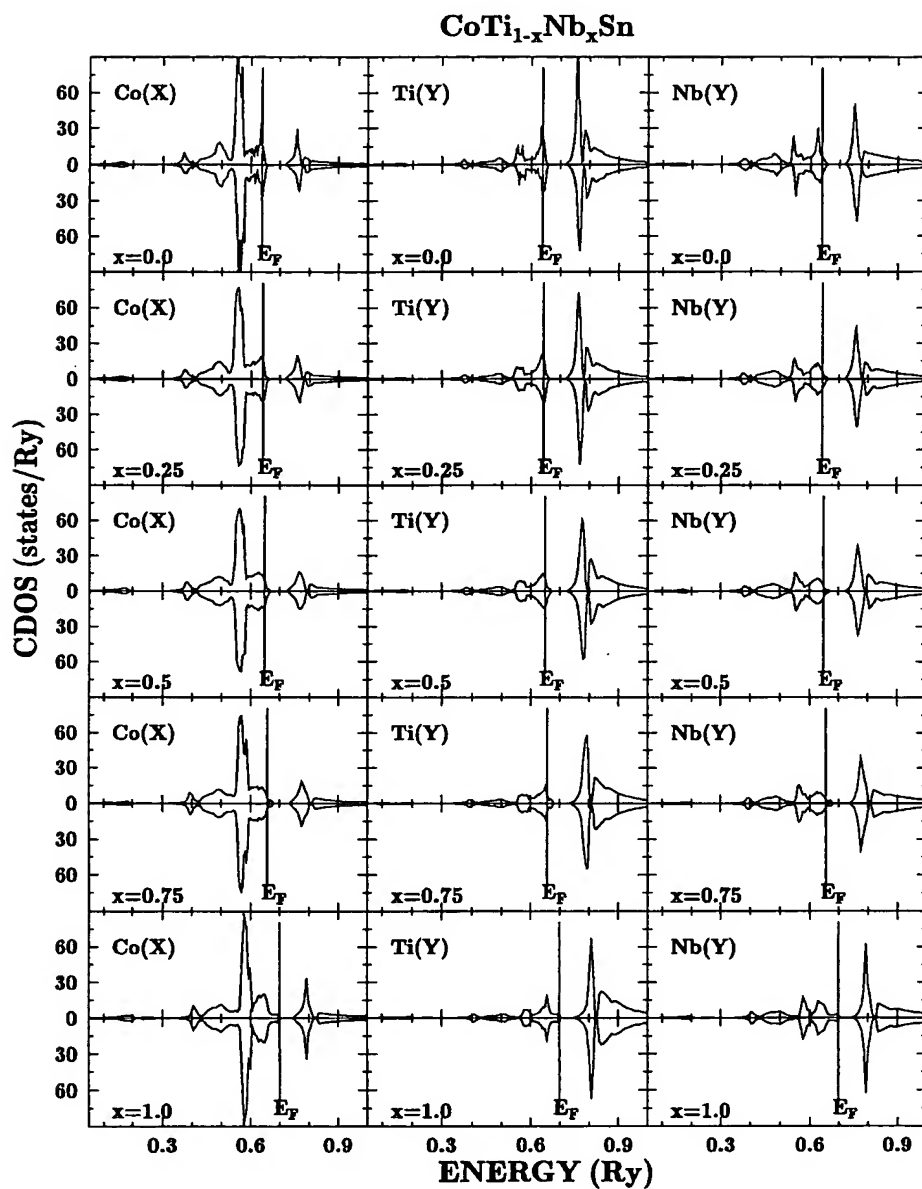


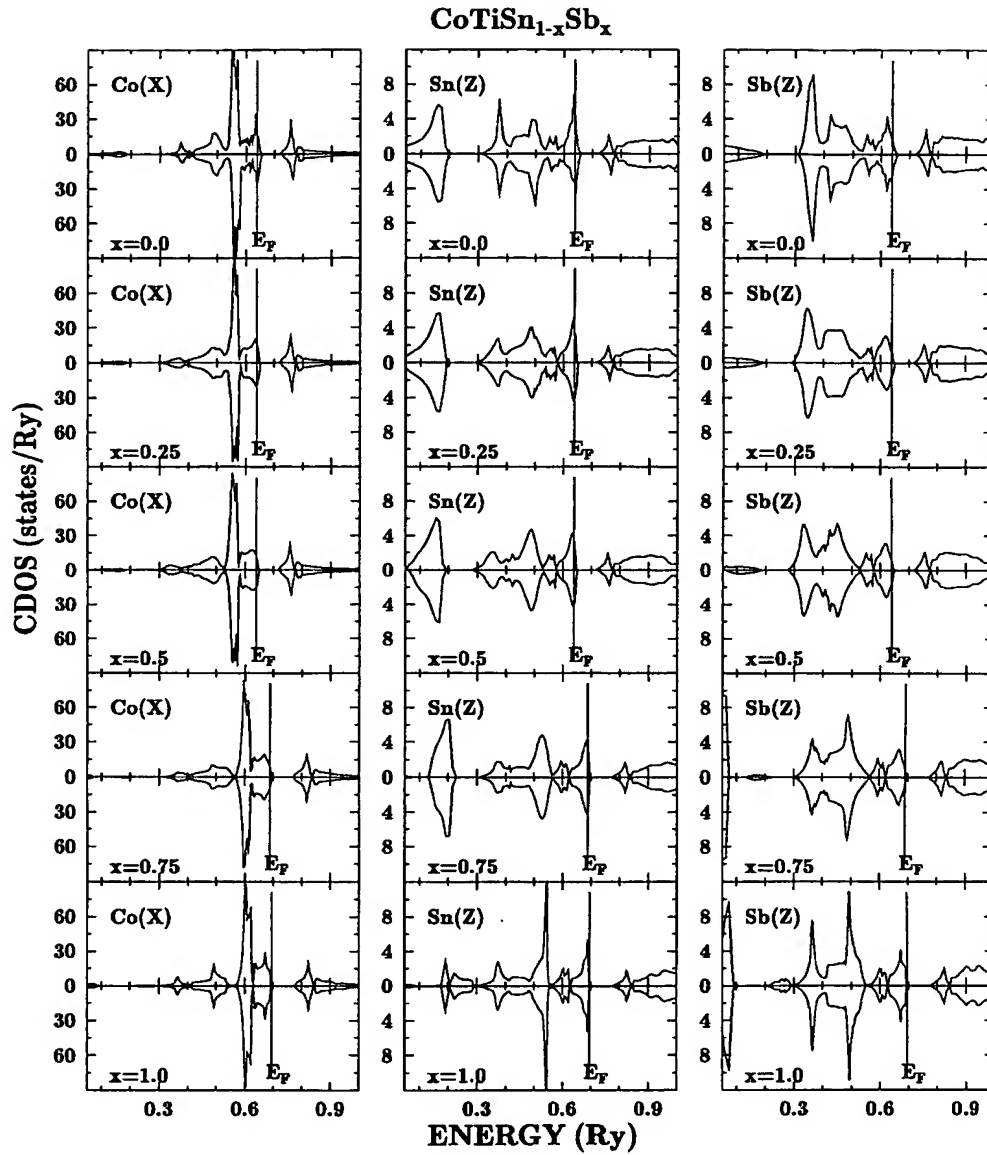
Figure 7. Total densities of states (from the KKR-CPA method) for two disordered series:  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$ .

$\text{CoTiSn}$  and  $\text{CoTiSb}$ . In order to investigate the influence of the lattice parameter on the SC-metal transition, calculations were performed for the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series with the true parameter (figure 7 and figure 9). The overall DOS shape is not significantly changed (for  $x > 0.5$ ); only a global shift of energies is observed. In the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series



**Figure 8.** Component densities of states for X and Y sites in the disordered CoTi<sub>1-x</sub>Nb<sub>x</sub>Sn series. For borderline concentrations the CDOS of one impurity in the matrix is shown, i.e. Nb in CoTiSn ( $x = 0$ ) and Ti in CoNbSn ( $x = 1$ ).

(oppositely to the previous case), the gap between the conduction and valence bands slightly increases on approaching the CoTiSb compound (the left-hand column in figure 7). The spin polarization continuously decreases, reaching zero for  $x \approx 0.7$ , which corresponds well to magnetization measurements ( $x = 0.6$ ). Nevertheless, in contrast to the results of the



**Figure 9.** Component densities of states for X and Z sites in the disordered CoTiSn<sub>1-x</sub>Sb<sub>x</sub> series. For borderline concentrations the CDOS of one impurity in the matrix is shown, i.e. Sb in CoTiSn ( $x = 0$ ) and Sn in CoTiSb ( $x = 1$ ).

transport experiments, the crossover to the semiconducting state is not observed in KKR-CPA spectra for intermediate concentrations, mainly due to non-zero contributions of states from Co and Sn atoms (figure 9).

This rigid-band behaviour is due to eight bands, which are filled for  $x = 1.0$ , so  $E_F$  cannot fall into the gap for  $x < 1$ . Weakly doped samples probably still have a



semiconducting-like resistivity due to localization arising from the atomic disorder, which will be discussed in the next section.

#### 4. Discussion

Some properties, such as the ferromagnetic–paramagnetic or metal–semiconductor crossovers, can be directly understood from electronic structure calculations, but other results are much less clear and need a more detailed discussion. We will first discuss the transport properties, and next the magnetic properties of these systems.

##### 4.1. Transport properties

We first recall that the gap in the semiconducting phases cannot be properly estimated from our transport experiments below 300 K, due to their extrinsic character. Thus we must rely on the values calculated for the ideal structure within the LSD framework. Nevertheless we observe that the order of magnitude of the measured resistivity goes in the same sense as the calculated value of the gap, both values decreasing from CoTiSb, through NiTiSn, to CoNbSn. This can be simply due to the fact that CoTiSb is better ordered, having fewer impurity states, and CoNbSn is more disordered. One discrepancy between experiments and calculations is the following: when the electron concentration is decreased starting from a SC phase ( $EC = 18$ ), the resistivity retains a semiconducting-like behaviour (negative temperature derivative) and a large magnitude at low temperatures up to a quite large value for the hole concentration in the lattice. Conversely, in most cases, the CPA calculations indicate that there is a finite DOS at  $E_F$ , which implies a metallic state. This should be interpreted in the following way: the CPA calculations do not completely take into account the inhomogeneities in the sample—that is, the different local potentials experienced by carriers due to the chemical disorder—since the environment at an atom is replaced by a mean potential. The resistivity behaviour is indeed caused by the disorder. Anderson and Mott [25] have treated the case of disordered semiconductors, argued for the existence of a mobility edge, and described the mechanism of variable-range hopping (VRH) of carriers, due to localization at the deepest potential wells. In the present system, the typical VRH law:  $\ln(R)$  varying as  $1/T^{1/4}$  or  $1/T^{1/2}$ , cannot be observed due to the extrinsic character, but hopping is the common mode of conduction in disordered semiconducting systems [26].

Different types of behaviour are indeed encountered in the present solid solutions. In the case of the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  series, calculations as well as the experimental evidence for a localized moment on Co show that Co levels fall into the former gap of NiTiSn, which is a shortcoming of the rigid-band model. This can explain why the systems remains SC until either a percolation limit is reached, or the Fermi level falls below (for hole doping) the mobility edge, when Co levels collapse into a band. In the case of the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series, the discontinuity of the lattice parameter of the solid solutions shows that local environment effects must be larger. Conversely, the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series looks correspondingly more homogeneous and the SC behaviour is indeed rapidly replaced by a metallic state close to the CoNbSn composition.

##### 4.2. Magnetic properties

One first problem is to explain why some compounds present a constant paramagnetism and others a Curie–Weiss behaviour. Moriya and Kawabata [14] have shown that the existence of

strictly localized magnetic moments is not a necessary condition for the existence of Curie–Weiss behaviour. Generally, the Curie–Weiss behaviour has been found to be associated with a sharp peak of the DOS at  $E_F$ . Thus we will try to relate magnetic properties to the density of states. We must also explain why localized states of Co diluted in NiTiSn have a small effective moment, why CoTiSb does not show any localized magnetic moments, and also why itinerant magnetic features are observed for narrow bands and, thus, close to a SC–metal transition.

The first observation is that the semiconducting phases NiTiSn and CoTiSb as well as metallic NiTiSb present a susceptibility which does not depend on temperature, whereas CoTiSn and CoVSb present a weak ferromagnetism with a Curie–Weiss behaviour in the paramagnetic range.

Let us first ask what the nature is of the semiconducting phase CoTiSb (charge-transfer, Mott–Hubbard, or another type of semiconductor), and why there is no Curie–Weiss magnetism in this compound. In contrast to the case of Ni in NiTiSn, the d shell of the Co atom is not filled. Electronic structure calculations show (table 3) that there is no more charge transfer from Sb to Co than from Sn to Co in CoTiSn: the number of electrons within the muffin-tin (MT) sphere of Co is the same for the two compounds, and from the partial d DOS the configuration of Co is about  $3d^8$ . Large electronic correlations within the d shell should maintain a strong intra-atomic coupling. Since Sb p levels are centred well below the gap and have a weak partial DOS on both sides of the gap, we conclude that this gap does not arise only from the charge transfer between Sb and metals. On the other hand, the energy bands (figure 6) show that the splitting between  $\Gamma_{25'}$  (occupied  $t_{2g}$  states of Co and Ti below  $E_F$ ) and the unoccupied level  $\Gamma_{12}$  ( $e_g$  states above the gap) is about 2 eV. The Co d PDOS is dominant below the gap, and Ti levels dominate above. Thus the gap seems to be due mainly to the hybridization between Co and Ti and the energy difference between bonding and anti-bonding states.

The splitting of d states also indicates that the crystal field around Co is fairly large. In this case, according to Goodenough [27], the spin of the ground state resulting from the crystal field and spin–orbit coupling may be quenched. For a  $3d^8$  configuration in a tetrahedral interstice, it has been predicted that the ground state is a non-magnetic singlet ( $\Gamma_1$  representation), followed by a  $\Gamma_4$  triplet [27]. This non-magnetic ground state expected for the ionic configuration may tentatively explain why CoTiSb fails to exhibit any Curie–Weiss behaviour, although this ionic picture should be treated with caution due to the strong hybridization with ligands. The hybridization itself, splitting the last occupied bands around the gap, may give rise to this non-magnetic situation.

The constant paramagnetism for other semiconducting phases can be explained as for CoTiSb by a non-magnetic ground state or weak correlations (NiTiSn, CoNbSn), whereas for the NiTiSb metallic phase the more or less uniform Pauli susceptibility is related to a low density of states at  $E_F$ .

Conversely, the Curie–Weiss behaviour observed in CoTiSn (or CoVSb) is obviously associated with the presence of a high local density of states at  $E_F$ , as revealed by band calculations, and can be rather well understood within the framework of itinerant-electron magnetism. Due to the presence of the gap, only one hole (or electron) participates in conduction and in magnetic ordering, which favours a ferromagnetic type of order. Surprisingly, the magnetic moment in CoVSb is expected to lie on the V atom which has the highest DOS at  $E_F$ , rather than on the Co atom. Such a situation should be verified by polarized neutron experiments on single crystals, which is the only way to measure such low ferromagnetic moments.

For solid solutions like  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$ , we pass progressively from one regime to the

other, and the susceptibility can be described by the superposition of a Curie-like term plus a constant susceptibility:  $\chi = (1 - x)C/T + \chi_0$ . The Curie term comes from the rather well localized Co contribution, the other from other d electrons. This is a typical example of localization without a large change in the Co effective moment ( $1.1 \mu_B$  for Co diluted in NiTiSn, compared to  $1.35 \mu_B$  for pure CoTiSn). The KKR-CPA band calculations [9] show that the Co d levels tend to form acceptor levels in the gap of NiTiSn (a shortcoming of the rigid-band model), which gives rise to a non-homogeneous magnetism localized at the Co sites. The ferromagnetic order appears when these impurity states are close enough to give rise to a band and thus to a large enough density of states at  $E_F$ . A percolation limit can be defined which corresponds to about 0.4 of a hole per formula unit.

The picture of spin quenching by the crystalline field can also explain, together with the weak ferromagnetism expected from the itinerant model, why the magnetic moment is so low for CoTiSn or for  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  solutions. Crystal fields and hybridization give rise to a strong breakdown of Hund's rule and to a low-spin state. One interesting feature is that the effective moment remains close to  $1.2 \mu_B$  for CoTiSn, for CoVSb and also for some other weak ferromagnets.

Another peculiar feature is the observation of a susceptibility maximum in the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series close to CoTiSb. Such a behaviour has also been observed for some enhanced paramagnets ( $\text{YCo}_2$  for instance) as for  $\text{ZrZn}_2$  under pressure [12]. Most probably it is related to a pronounced minimum in the DOS at  $E_F$ , giving a positive second derivative  $n''(E_F)$ ; the existence of such a minimum is expected as a consequence of the former gap.

#### 4.3. Conclusions and further developments

In summary, many very different behaviours have been observed in these semi-Heusler phases, from semiconductor to metal, from Pauli-like to Curie-Weiss paramagnet, from paramagnetic to ferromagnetic ground state. An overall agreement has been observed between experimental data and electronic band calculations. Some discrepancies remain, since calculations predict for example a ferromagnetic state for FeTiSb (but a non-magnetic state for  $(\text{Fe}_{0.5}\text{E}_{0.5})_2\text{TiSb}$ ) and a fully polarized state for CoVSb which are not observed. Some of the discrepancies may be attributed to the crystallographic disorder in the real lattices and others to the extreme instability of the magnetic polarization near the magnetic-non-magnetic crossover.

Further experiments are planned to investigate in more detail the appearance of magnetism at the Y site, and to follow by substituting for atoms from Ti to Mn the evolution of the ferromagnetic state from the weak-ferromagnet case to the case of complete ferromagnetic polarization and half-metallic behaviour, as found for NiMnSb.

#### Acknowledgment

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## **THERMOELECTRIC ELEMENT AND ELECTRIC APPARATUS WITH THERMOELECTRIC ELEMENT**

**Patent number:** JP8037324  
**Publication date:** 1996-02-06  
**Inventor:** SUZUKI MINAKO; others: 01  
**Applicant:** SEIKO INSTR INC  
**Classification:**  
- **International:** H01L35/32; G01K7/02; G04C10/00; G04G1/00  
- **European:**  
**Application number:** JP19940169729 19940721  
**Priority number(s):**

### **Abstract of JP8037324**

**PURPOSE:**To provide a small thin thermoelectric element with good efficiency, by making a radiating-side insulating plate larger in surface area than an endothermic-side insulating plate.

**CONSTITUTION:**A radiating-side insulating body 102 is made larger in surface area than an endothermic-side insulation body 101 and the radiating-side insulating plate 102 has good radiation. At the same time, a sufficient difference in temperatures can be obtained, because the endothermic-side insulating plate 101 is put at a high temperature, while the insulation body 101 on the radiating side is put at a low temperature. The heat is conducted from the insulating body 101 to the insulating body 102, and the thermal transfer is converted into an electric current. Then, the electricity caused by electromotive force is generated at an end terminal 106. In this way, only by forming a difference of surface area in first and second insulating bodies, a small thin thermoelectric element with good efficiency can be obtained without using a heat collective body or a radiating body.

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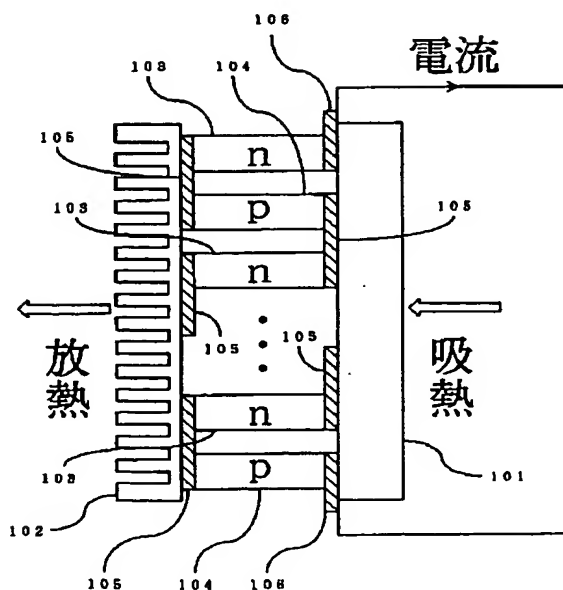
(74) 代理人 弁理士 林 敬之助 (外1名)

(54) 【発明の名称】 熱電素子及び熱電素子を用いた電子機器

(57) 【要約】

【目的】 小型、薄型で、高効率な熱電素子を得る。

【構成】 複数のn型半導体103と複数のp型半導体素子104を接続部105により交互に電氣的に直列になるように接続する。接続部105を固定した放熱側に設けた絶縁体102の表面積が、吸熱側に設けた絶縁体101の表面積よりも広くなるように形成すると温度差が生じ、起電力を得ることができる。



## 【特許請求の範囲】

【請求項1】 複数のn型半導体(103)と複数のp型半導体(104)を交互に電氣的に直列になるように接続する複数の接続部(105)と、

接続部(105)を1つおきに固定するとともに、酸化膜を付けたアルミニウムからなる第一の絶縁体(101)と、

第一の絶縁体(101)で固定していない接続部(105)を固定するとともに、酸化膜を付けたアルミニウムからなる第二の絶縁体(102)と、

起電力を取り出すための出力端子部(106)とを有し、

第一の絶縁体(101)と第二の絶縁体(102)の表面積が異なることを特徴とする熱電素子。

【請求項2】 複数のn型半導体(203a)から構成される複数のn型半導体複合素子(203)と、

複数のp型半導体(204a)から構成される複数のp型半導体複合素子(204)を有し、

複数のn型半導体複合素子(203)と複数のp型半導体複合素子(204)を交互に電氣的に直列になるように接続する複数の接続部(205)と、

接続部(205)を1つおきに固定するとともに、酸化膜を付けたアルミニウムからなる第一の絶縁体(201)と、

第一の絶縁体(201)で固定していない接続部(205)を固定するとともに、酸化膜を付けたアルミニウムからなる第二の絶縁体(202)と、

起電力を取り出すための出力端子部(206)とを有し、

第一の絶縁体(201)と第二の絶縁体(202)の表面積が異なることを特徴とする熱電素子。

【請求項3】 動力源を有する電子機器において、請求項1又は請求項2のいずれか1項に記載する熱電素子を動力源として用いた電子機器。

【請求項4】 動力源を有する電子時計において、請求項1又は請求項2のいずれか1項に記載する熱電素子を動力源として用いた電子時計。

【請求項5】 請求項4に記載の電子時計において、腕に接する側に吸熱側の第一の絶縁体を配置し、腕に接しない側に放熱側の第二の絶縁体を配置したことを特徴とする電子時計。

【請求項6】 請求項5に記載の電子時計において、放熱側の第二の絶縁体は、時計の6時側に近い位置に配置される複数の直線上の凹凸を有することを特徴とする電子時計。

## 【発明の詳細な説明】

## 【0001】

【産業上の利用分野】 本発明は、動力源として電池を使用しない電子機器を実現するための熱電素子、及び動力源として熱電素子を用いた電子機器に関するものであ

る。

## 【0002】

【従来の技術】 従来は図3に示すように、熱電材料からなる第一の素子11と第二の素子12を、導電材料で作られた第一の接合体13で結び付けた熱電対を複数個用意し、熱電対が電氣的に直列になるように第二の接合体14で結合して熱電堆1を構成している。さらに、熱電堆1の第一の接合体13は絶縁材15を介して集熱体22に固着されており、第二の接合体14は絶縁材15を介して放熱体32に固着されている。ここで、集熱体22はその外周を熱の散逸を防ぐ保護部材で覆われ、また、放熱体32は放熱効果を高めるためにひれ32a～32fを有している。例えば特開昭57-189584号公報にこのような従来の構造が開示されている。

## 【0003】

【発明が解決しようとする課題】 しかし、従来の構造では、図3に示すように、熱電堆1に集熱体22とひれ32a～32fを有する放熱体32を固着することで熱電素子に温度差を生じさせていた。この構造によると、より高い発電能力を得ようとした場合、熱電素子全体の小型、薄型化が困難であり、集熱体、放熱体の材質により、発電効率が低下する等の課題を有していた。

【0004】 そこで、本発明は、小型、薄型で、高効率な熱電素子を得ることを目的としている。

## 【0005】

【課題を解決するための手段】 上記の、熱電堆に集熱体とひれを有する放熱体を固着させることにより、発電効率が低下する等という課題を解決するために、本発明は、熱電素子において、集熱体、放熱体を用いることなく、第一の絶縁体と第二の絶縁体そのものの表面積を異なる構造とすることで、小型、薄型で、高効率な熱電素子を実現した。

## 【0006】

【作用】 上記のように構成された熱電素子においては、図1において、複数のn型半導体103と複数のp型半導体素子104は、複数の接続部105により交互に電氣的に直列になるように接続される。さらに、複数のn型半導体103と複数のp型半導体素子104が接続された接続部105を酸化膜を付けたアルミニウムからなる第一の絶縁体101により固定されるとともに、吸熱側に設けられる。また、第一の絶縁体101で固定していない接続部105は、酸化膜を付けたアルミニウムからなる第二の絶縁体102により固定されるとともに、放熱側に設けられる。

【0007】 この際、一方の放熱側に設けられた絶縁体102の表面積が、もう一方の吸熱側に設けられた絶縁体101の表面積よりも広くなるように形成すると、吸熱側が高温、放熱側が低温となるような温度差を与えた場合、絶縁体101から絶縁体102の方向に熱が伝達され、その際にn型半導体103の中では電子が、p型

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半導体104の中では正孔がそれぞれ放熱側に設けられた絶縁体102の方向に移動する。n型半導体103とp型半導体104は接続部105を介して電氣的に直列に接続されているため、熱の伝達が電流に変換され、両端の出力端子部106の間に起電力が生じる。

【0008】さらに、本発明の熱電素子を電子機器の動力源として用いた場合は、図4において、熱電素子400に温度差が与えられ、起電力が発生すると、蓄電機構408に電気が蓄えられる。蓄電機構408に蓄えられた電気の電圧が駆動機構409を駆動するのに十分な大きさに達すると、駆動機構409により、動作表示機構410が駆動される。

【0009】

【実施例】以下に本発明の実施例を図面に基づいて説明する。

#### (1) 第一実施例

図1は、本発明の熱電素子の第一の断面図及び発電原理を示した図である。図1において、複数のn型半導体103と複数のp型半導体素子104は、複数の電極105により交互に電氣的に直列になるように接続される。さらに、複数のn型半導体103と複数のp型半導体素子104が接続された電極105は、例えば酸化膜を付けたアルミニウムからなる絶縁体101により固定されるとともに、吸熱側に設けられる。また、絶縁体101で固定していない電極105は、例えば酸化膜を付けたアルミニウムからなる第二の絶縁体102により固定されるとともに、放熱側に設けられる。この際、放熱側に設けられた絶縁体102は、吸熱側に設けられた絶縁体101の表面積よりも広くするための直線状の凹凸を形成している。

【0010】ここで、放熱側に設けられた絶縁体102は、吸熱側に設けられた絶縁体101よりも表面積が広がるため、より放熱しやすい状態となる。このため、吸熱側に設けられた絶縁体101が高温、放熱側に設けられた絶縁体102が低温となり、十分な温度差が得られることになる。そして、絶縁体101から絶縁体102の方向に熱が伝達され、その際にn型半導体103例えばビスマス-テルル系あるいはナマリ-テルル系の中では電子が、p型半導体104例えばビスマス-テルル系あるいはナマリ-テルル系の中では正孔がそれぞれ放熱側に設けられた絶縁体102の方向に移動する。n型半導体103とp型半導体104は電極105を介して電氣的に直列に接続されているため、熱の伝達が電流に変換され、両端の出力端子部106に起電力が生じる。

【0011】なお、図5乃至図7は、本発明の熱電素子の第三実施例、第四実施例、第五実施例の断面図であるが、図1に示す第一実施例の構造と同様に、放熱側に設けられた絶縁体502、602、702は、吸熱側に設けられた絶縁体501、601、701の表面積よりも広くするための直線状または曲線状の凹凸を形成するこ

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とにより同様な効果が得られる。

#### 【0012】(2) 第二実施例

図2は、本発明の熱電素子の第二実施例の断面図及び発電原理を示した図である。図2において、先の第一実施例との相違点は、複数のn型半導体203aから複数のn型半導体複合素子203が構成されている点であり、また、複数のp型半導体204aから複数のp型半導体複合素子204が構成されている点である。第一実施例と同様に、複数のn型半導体複合素子203と複数のp型半導体複合素子204が交互に電氣的に直列になるように接続された電極205は、例えば酸化膜を付けたアルミニウムからなる絶縁体201により固定されるとともに、吸熱側に設けられる。また、絶縁体201で固定していない電極205は、例えば酸化膜を付けたアルミニウムからなる絶縁体202により固定されるとともに、放熱側に設けられる。この際、放熱側に設けられた絶縁体202は、吸熱側に設けられた絶縁体201の表面積よりも広くするための直線状または曲線状の凹凸を形成することにより同様な効果が得られる。

#### 【0013】(3) 第六実施例

図8は、本発明の熱電素子の第六実施例の断面図である。図8において、放熱側に設けられた絶縁体802は、電極805が固定されている面と平行に穴部802aを形成している。このため、放熱側に設けられた絶縁体802の表面に凹凸を形成した場合と同様な効果が得られる。

#### 【0014】(4) 第七実施例

図9は、本発明の熱電素子に蓄電素子を設けた場合の第七実施例の断面図及び発電原理を示した図である。図9において、先の第一実施例との相違点は、蓄電素子908を備えた点である。絶縁板901と絶縁体901の表面積よりも広くするための直線状の凹凸を形成している絶縁板902との間に温度差が生じることで得た起電力は、蓄電素子908に蓄電され、電子機器の動力源として用いることができる。

#### 【0015】(5) 第八実施例

図10は、本発明の熱電素子を動力源として用いた電子機器の第八実施例の電子腕時計の動作原理を示したブロック図である。図10において、熱電素子1000に温度差が与えられ、起電力が発生すると充電制御回路1007を介して蓄電素子1008に電気が蓄えられる。蓄電素子1008に蓄えられた電気により駆動制御回路1009が駆動し、表示機構1010に時刻が表示される。

【0016】図11は、本発明の熱電素子を動力源として用いた電子機器の第八実施例の電子腕時計の外観図である。図11において、絶縁体1102は、放熱されやすいように直線状の凹凸を形成するとともに、表面に露出している。絶縁体1102は、例えば絶縁のために酸化膜を付けたアルミニウムで構成されている。



【0017】図12は、本発明の熱電素子を動力源として用いた電子機器の第八実施例の電子腕時計の断面図である。図12に示すように、絶縁板1201は一般に気温よりも高温である腕側、すなわち、吸熱側に設けられ、絶縁板1201の表面積よりも広くするための直線状の凹凸が形成された絶縁板1202は、大気中、すなわち、放熱側に設けられる。絶縁板1201及び絶縁板1202は、例えば酸化膜を付けたアルミニウムで構成されている。ここで、例えば携帯者の体温が36度で、気温20度の環境にて使用し、電子腕時計全体が腕の温度に近くなると、絶縁板1201と絶縁板1202との間に生じる温度差は2度前後である。温度差が生じると、熱は絶縁板1201から絶縁板1202に伝えられ大気に放熱される。このときゼーベック効果により起電力が生じ、蓄電機構1208、例えばリチウム2次電池あるいはバナジウム-リチウム2次電池に蓄電される。この蓄えられた電気により、輪列とモータからなる運針動作を行なうムーブメント1211が駆動する。

【0018】このような構成とすることにより、集熱体、放熱体を用いることなく、熱電素子の小型、薄型化がはかれるとともに、発電効率を低下させることなく、高効率な熱電素子が実現できる。このため、本発明による熱電素子を電子機器に用いることにより、電子機器を小型化することが可能となる。

【0019】

【発明の効果】本発明による熱電素子によれば、第一の絶縁体と第二の絶縁体の表面積が異なるという簡単な構成で、必要とする起電力を得るための熱電素子として、小型、薄型で、高効率な熱電素子を実現することができる。さらにこの熱電素子を電子機器に用いることにより、電子機器を小型化することが可能になるといった効果を有する。

【図面の簡単な説明】

【図1】本発明の熱電素子の第一実施例の断面図及び発電原理を示した図である。

【図2】本発明の熱電素子の第二実施例の断面図及び発電原理を示した図である。

【図3】従来の熱発電器の断面図である。

【図4】本発明の熱電素子を動力源として用いた電子機器の動作原理を示したブロック図である。

【図5】本発明の熱電素子の第三実施例の断面図である。

【図6】本発明の熱電素子の第四実施例の断面図である。

【図7】本発明の熱電素子の第五実施例の断面図であ

る。

【図8】本発明の熱電素子の第六実施例の断面図である。

【図9】本発明の熱電素子に蓄電素子を設けた場合の第七実施例の断面図及び発電原理を示した図である。

【図10】本発明の熱電素子を動力源として用いた電子腕時計の第八実施例の動作原理を示したブロック図である。

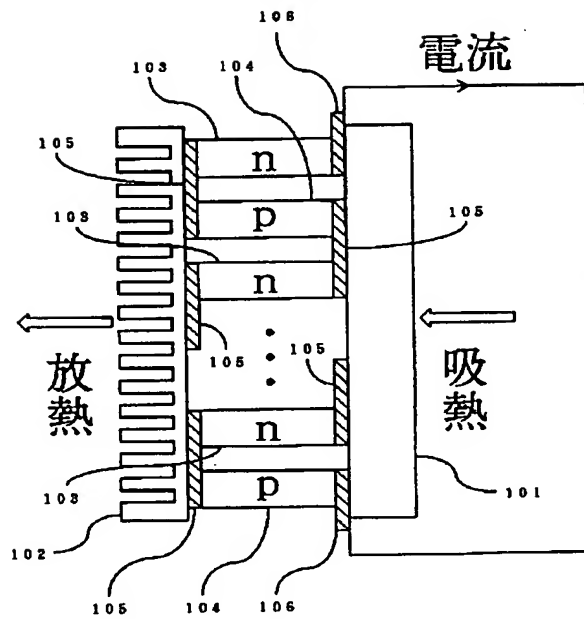
【図11】本発明の熱電素子を動力源として用いた電子腕時計の第八実施例の外観図である。

【図12】本発明の熱電素子を動力源として用いた電子腕時計の第八実施例の断面図である。

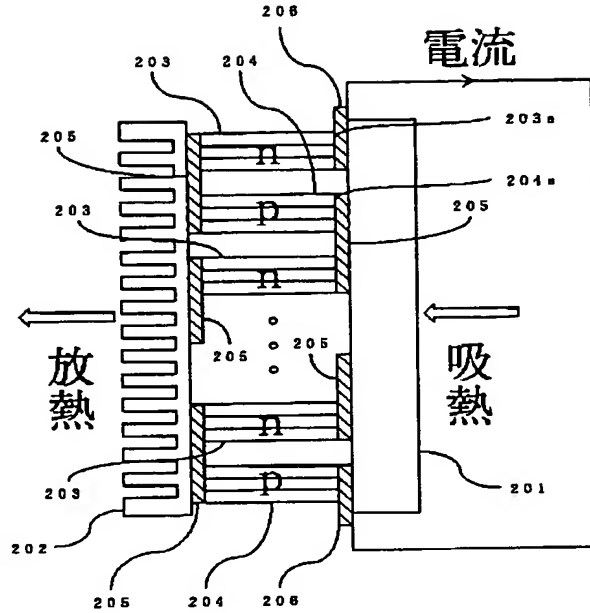
【符号の説明】

- 1 熱電堆
- 11、12 素子
- 13、14 接合体
- 15 絶縁材
- 22 集熱体
- 32 放熱体
- 32a~32f ひれ
- 101、201、501、601、701、801、901、1201 第一の絶縁体
- 102、202、502、602、702、802、902、1102、1202 第二の絶縁体
- 103、203a、503、603、703、803、903、1203n型半導体
- 104、204a、504、604、704、804、904、1204p型半導体
- 105、205、505、605、705、805、905 接続部、電極
- 106、206、506、606、706、806、906 出力端子部
- 203 n型半導体複合素子
- 204 p型半導体複合素子
- 408、1208 蓄電機構
- 400、1000 熱電素子
- 409 駆動機構
- 410 動作表示機構
- 802a 第二の絶縁体の六部
- 908、1008 蓄電素子
- 1009 駆動制御回路
- 1007 充電制御回路
- 1010 表示機構
- 1211 ムーブメント

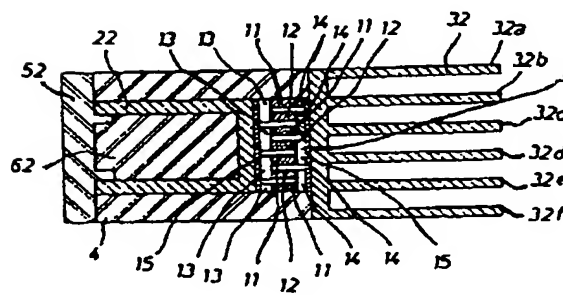
【図1】



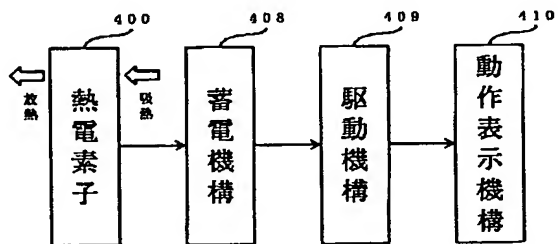
【図2】



【図3】

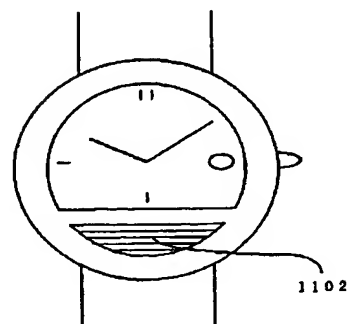
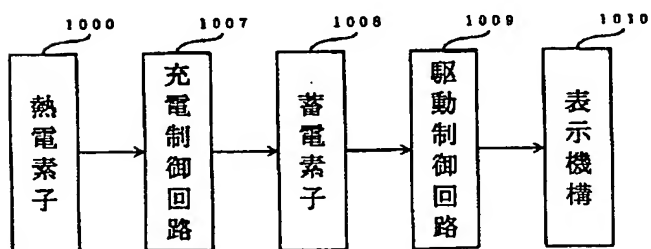


【図4】

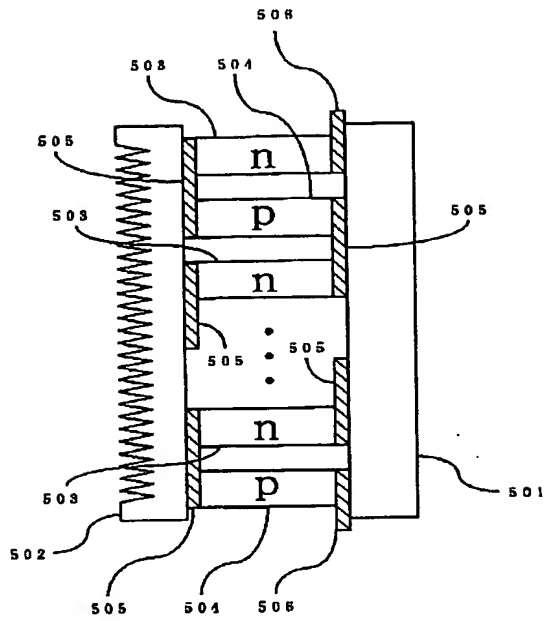


【図11】

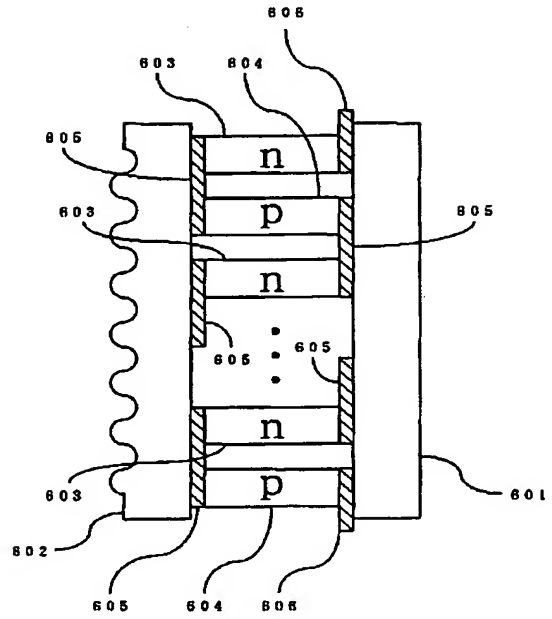
【図10】



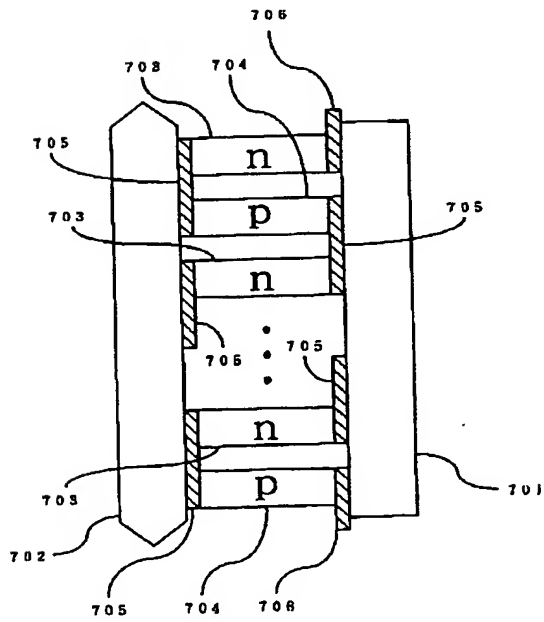
【図5】



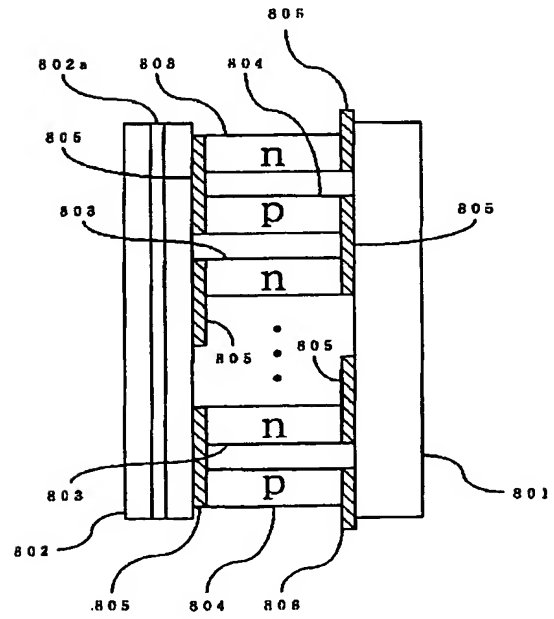
【図6】



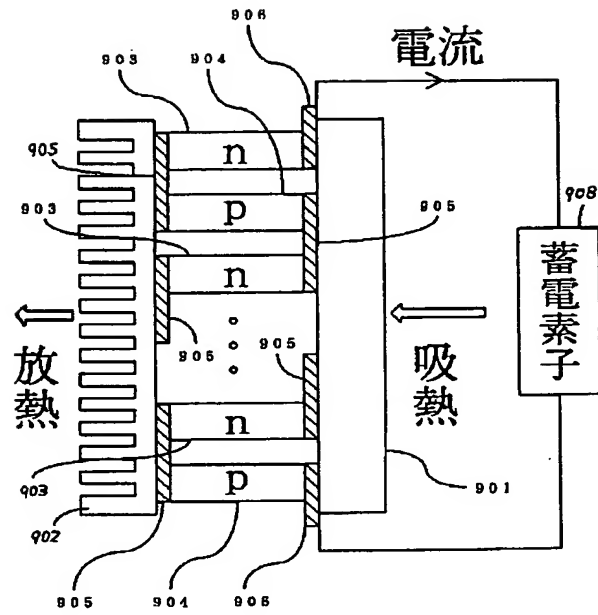
【図7】



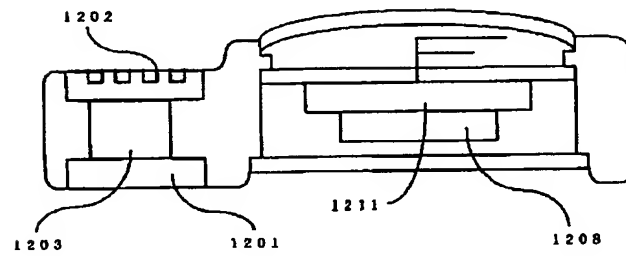
【図8】



【図9】



【図12】



## **METHOD FOR COMBINING THERMOELECTRIC CONVERSION MATERIAL CONFIGURATION ATOM**

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**Publication date:** 2001-07-10  
**Inventor:** MATSUBARA KAKUEI; ABU HIROAKI  
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FOUNDATION  
**Classification:**  
- **international:** H01L35/18; H01L35/14; H01L35/34  
- **european:**  
**Application number:** JP19990373288 19991228  
**Priority number(s):**

### **Abstract of JP2001189495**

**PROBLEM TO BE SOLVED:** To give a guide for combining the configuration atoms of a thermoelectric conversion material for constituting a Heusler-type compound.

**SOLUTION:** Neutral atom configuration atoms for composing neutral atoms by eliminating insufficient electron-filled state in the s, p, and d orbitals of electron orbitals, a cation configuration atom for composing a cation by eliminating insufficient electron-filled state in the s, p, and d orbits of the electron orbitals, an anion configuration atom for composing an anion by eliminating insufficient electron-filled state in the s, p, and d orbitals of the electron orbitals are combined so that the charge balance, based on the anion configuration atom and the cation configuration atom can be balanced, thus constituting the thermoelectric conversion material of a Heusler-type compound.

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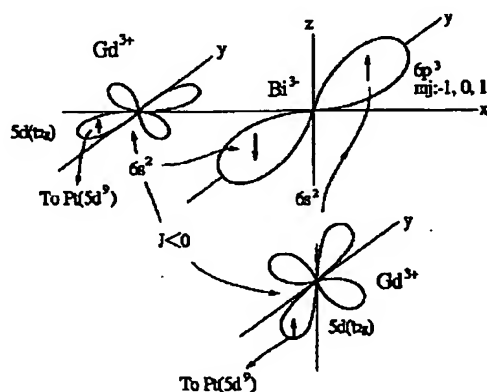
(54) 【発明の名称】 熱電変換材料構成原子の組合せ方法

(57) 【要約】

【課題】 ホイスラー型化合物を構成する熱電変換材料の構成原子の組合せ指針を与えること。

【解決手段】 電子軌道のs軌道、p軌道、およびd軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道のs軌道、p軌道、およびd軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道のs軌道、p軌道、およびd軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づく電荷バランスを平衡させるように組み合わせ、ホイスラー型化合物の熱電変換材料を構成する。

図 4



Gd	→ 4f <sup>7</sup>	5s <sup>2</sup> 5p <sup>6</sup> 5d <sup>1</sup>	6s <sup>2</sup>	→ Gd <sup>3+</sup> :4f <sup>7</sup>
Pt	→	→ 5d <sup>9</sup>	6s <sup>1</sup>	→ 閉殻を構成
Bi	→	→	6s <sup>2</sup> 6p <sup>3</sup>	→ Bi <sup>3+</sup>

## 【特許請求の範囲】

【請求項 1】 電子軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づき電荷バランスが平衡するように組合せて、ホイスラー型化合物を構成することを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 2】 電子軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づき電荷バランスが平衡するように組合せてホイスラー型化合物を構成し、前記中性原子構成原子が Ni、前記陽イオン構成原子が Ti、Zr または Hf、前記陰イオン構成原子が Si、Ge、Sn または Pd であることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 3】 電子軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づき電荷バランスが平衡するように組合せてホイスラー型化合物を構成し、前記中性原子構成原子が Pt、前記陽イオン構成原子が Gd、Tb、Lu、Pa、U または Cm であり、前記陰イオン構成原子が P、As、Sb または Bi であることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 4】 請求項 1～3 のいずれか 1 項に記載の熱電変換材料構成原子の組合せ方法において、前記ホイスラー型化合物には、0.1～50 原子%の不純物がドーピングされていることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 5】 請求項 1～4 のいずれか 1 項に記載の熱電変換材料構成原子の組合せ方法において、不十分な電子充填状態を解消して中性原子を構成するに際しては、前記中性原子構成原子の前記陽イオン構成原子からの電子の受領と、前記中性原子構成原子からの前記陰イオン構成原子への電子の供与とにより行われると見なされることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 6】 請求項 1～4 のいずれか 1 項に記載の熱電変換材料構成原子の組合せ方法において、不十分な電子充填状態を解消して陽イオンを構成するに際しては、前記陽イオン構成原子からの前記中性原子構成原子への電子の供与と、前記陽イオン構成原子からの前記陰イオン構成原子への電子の供与とにより行われると見なされることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 7】 請求項 1～4 のいずれか 1 項に記載の熱電変換材料構成原子の組合せ方法において、不十分な電子充填状態を解消して陰イオンを構成するに際しては、前記陰イオン構成原子の前記中性原子構成原子からの電子の受領と、前記陰イオン構成原子の前記陽イオン構成原子からの電子の受領とにより行われると見なされることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 8】 請求項 5～7 のいずれか 1 項に記載の熱電変換材料構成原子の組合せ方法において、電子の受領および供与には、同一主量子数の電子軌道間の電子移動が関与していると見なされることを特徴とする熱電変換材料構成原子の組合せ方法。

【請求項 9】 請求項 5～7 のいずれか 1 項に記載の熱電変換材料構成原子の組合せ方法において、電子の受領、および／または供与には、異なる主量子数の電子軌道間の電子移動が関与していると見なされることを特徴とする熱電変換材料構成原子の組合せ方法。

## 【発明の詳細な説明】

【0001】

【発明の属する技術分野】本発明は、熱エネルギーと電気エネルギーとの相互変換を行う熱電変換材料に関する。

【0002】

【従来の技術】近年、熱エネルギーを電気エネルギーに直接変換したり、あるいは電気エネルギーを熱エネルギーに直接変換する熱電変換材料の研究が行われている。かかる熱電変換材料は、ゼーベック効果、あるいはペルチェ効果を利用して熱電変換を行うものであるが、その変換性能は、性能指数と呼ばれる以下の式、

$$Z = \alpha^2 (\sigma / \kappa)$$

Z：性能指数 ( $K^{-1}$ )

$\alpha$ ：ゼーベック係数 ( $VK^{-1}$ ：単位温度当たりの発生熱起電力)

$\sigma$ ：電気伝導度 ( $S cm^{-1}$ )

$\kappa$ ：熱伝導度 ( $W cm^{-1} K^{-1}$ )

で示される。

【0003】熱電変換は、駆動部分がなく小型軽量であり、メンテナンスフリーで半永久的に使えるなどの優れた特徴がある。その特徴を活かして、例えば、熱電発電では宇宙探査機の電源として、また灯台などの僻地利用の電源として利用されている。

【0004】一方、ペルチェ効果は、半導体レーザー、センサー、集積回路(LSI)などの電子素子の冷却や、小型のクーラーボックスなどの民生用にも利用されている。しかし現状では、変換効率が10%以下であるので、現有のエネルギー変換系と競合できる段階には至っていない。

【0005】熱電変換を多くの用途で使えるレベルまで引き上げるには、いくつかの課題を解決することが必要である。中でも変換効率の向上が第一の課題であり、変換効率20%が達成できれば応用分野は飛躍的に拡大できる。このほかに、熱電変換の優位性、他のエネルギー変換系との競合性、COP(成績係数)、経済性(コスト)などの多面的な検討も必要である。

【0006】これらの技術的問題点が解決されると、産業分野での用途や民生品での幅広い利用が見えてくる。例えば、自動車のエンジン排熱が電気エネルギーとして回収できる。現行の自動車のエンジンでは燃料の約26%が動力であり、残りは約60%は熱として大気中に放出されている。

【0007】エンジン外壁またはターボチャージャーから放出される約800℃の排熱で電力をつくり、これをバッテリーに充電すればクーラーやオルタネーターなどの駆動用として利用できる。

【0008】その他、中小規模焼却炉の高温排熱や燃料電池の反応熱からのエネルギー回収(科学技術庁・航空宇宙研究所)、ヒートパイプに熱電発電モジュールを組み込んだ高速増殖炉(電力中央研究所)の熱交換器など、幅広い分野で実用化が期待できる。

【0009】

【発明が解決しようとする課題】現在、上記のように種々の分野で熱電変換材料に対する強い実用化の要請があり、変換効率の優れた新規な熱電変換材料の開発は急務である。その中で、ホイスラー型結晶構造をもつ金属間化合物の一部は半導体的性質を示すことから、新規熱電材料として注目されている。

【0010】しかしながら、これまでホイスラー型金属間化合物は金属伝導を示す磁性材料としての研究が主に進められており、例えば、優れた磁気光学材料としてPtMnSbが得られているものの熱電変換材料としての実用化できる熱電性能を有するホイスラー合金は得られていない。ホイスラー合金の熱電性能は化合物を構成する元素の組合せに依存する。しかし、熱電材料としてのホイスラー型化合物の十分な開発手法は提案されておらず、ある意味では、手探りの状態である。

【0011】本発明者らは、自ら新規熱電変換材料の開発に携わりつつ、かかる状況に憂慮し、より効率的な開発が行えるように、開発手法に資する基準を見いだすべくと常々考えてきた。

【0012】本発明の目的は、新規熱電変換材料としての材料開発に際して、ホイスラー型化合物の構成原子の

組合せ方法の基準を与えることにある。

【0013】

【課題を解決するための手段】本発明者らは、かかるホイスラー型熱電変換材料の探索方法において、従来手探りの状態であった問題を見直し、新規熱電変換材料としてのホイスラー型化合物の探索基準を提案する。

【0014】従来、ホイスラー合金については磁性材料として理論的には電子構造が議論されているものの、熱電変換材料としての見地からは殆ど議論されていない。この点を見直しホイスラー合金の電子結合状態またはバンド構造と、熱電特性の相関について研究を行った中から、本発明に至ったものである。

【0015】本発明者らは、特にPtGdBiについて、同様の結晶構造を有するホイスラー合金のPtMnSb、PdMnSbと比較しつつ、その電子結合状態とバンド構造とに着目し研究を行った結果、ホイスラー型化合物の中で熱電変換材料として良好な特性をもつための構成元素の組合せについて、電子構造論的観点からその選択基準を見出した。かかる基準に沿って原子構成を選択することにより、新規なホイスラー型化合物によって構成される熱電変換材料を効率的に提供することができる。

【0016】すなわち、本発明のホイスラー型化合物によって構成される熱電変換材料の構成原子の組合せ方法は、電子軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づく電荷バランスが平衡するように組合せて、ホイスラー型化合物を構成することを特徴とする。

【0017】また、電子軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づく電荷バランスが平衡するように組合せてホイスラー型化合物を構成し、前記中性原子構成原子がNi、前記陽イオン構成原子がTi、ZrまたはHf、前記陰イオン構成原子がSi、Ge、SnまたはPdであることを特徴とする。

【0018】電子軌道における不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰

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イオン構成原子とに基づく電荷バランスが平衡するように組合せてホイスラー型化合物を構成し、前記中性原子構成原子がPt、前記陽イオン構成原子がGd、Tb、Lu、Pa、UまたはCmであり、前記陰イオン構成原子がP、As、SbまたはBiであることを特徴とする。

【0019】上記いずれかに記載の熱電変換材料構成原子の組合せ方法において、前記ホイスラー型化合物には、0.1～50原子%の不純物がドーピングされていることを特徴とする。

【0020】前記いずれかに記載の熱電変換材料構成原子の組合せ方法において、不十分な電子充填状態を解消して中性原子を構成するに際しては、前記中性原子構成原子の前記陽イオン構成原子からの電子の受領と、前記中性原子構成原子からの前記陰イオン構成原子への電子の供与とにより行われると見なされることを特徴とする。

【0021】前記いずれかに記載の熱電変換材料構成原子の組合せ方法において、不十分な電子充填状態を解消して陽イオンを構成するに際しては、前記陽イオン構成原子からの前記中性原子構成原子への電子の供与と、前記陽イオン構成原子からの前記陰イオン構成原子への電子の供与とにより行われると見なされることを特徴とする。

【0022】前記いずれかに記載の熱電変換材料構成原子の組合せ方法において、不十分な電子充填状態を解消して陰イオンを構成するに際しては、前記陰イオン構成原子の前記中性原子構成原子からの電子の受領と、前記陰イオン構成原子の前記陽イオン構成原子からの電子の受領とにより行われると見なされることを特徴とする。

【0023】前記いずれかに記載の熱電変換材料構成原子の組合せ方法において、電子の受領および供与には、同一主量子数の電子軌道間の電子移動が関与していると見なされることを特徴とする。前記いずれかに記載の熱電変換材料構成原子の組合せ方法において、電子の受領、および/または供与には、異なる主量子数の電子軌道間の電子移動が関与していると見なされることを特徴とする。

【0024】上記構成の中性原子構成原子、陽イオン構成原子、陰イオン構成原子の選定は、例えば、中性原子の基底状態の電子配置から、上記構成を満足する原子構成の組み合わせを選択することにより行える。手探り状態で種々の原子の組み合わせを考慮する従来方法の新規熱電変換材料の探索方法に比べて、格段に探索範囲を狭めることができ、優れた熱電変換材料の開発が効率的に行える。

【0025】本明細書で使用する「軌道」とは、主量子数、方位量子数、磁気量子数の一組の組み合わせで規定され、電子の存在確率を示す波動関数により表示されるものを意味し、オービタル(orbital)とも呼ば

れる。各軌道には電子スピンの逆方向(異なるスピン量子数)の電子が最大2個まで入り得る。

【0026】

【発明の実施の形態】以下、本発明の実施の形態を図面に基づいて詳細に説明する。

【0027】PtGdBiなる組成式を有するホイスラー型化合物に属する3元合金を製造し、その熱電特性と結晶構造中の原子配置、電子構造との関係を調べた。Gdは、純度99.9%の原料を使用した。Ptの原料には、99.99%の原料を使用した。Biの原料には、純度99.999%の原料を使用した。

【0028】これらの原料を上記組成式に基づく化学量論的割合に合わせて秤量し、これらをアーク溶解した。アーク溶解により得られた合金物質は、組成が均一となるように、必要回数再溶解を繰り返した。その後、得られたインゴットを熱処理することによってPtGdBiで構成される試料を作製した。得られた結晶の構造は、X線回折により確認した。

【0029】このようにして得られた試料について、その熱電特性を測定した。図1は、電気伝導度の温度依存性を示している。比較として、ホイスラー合金のMnベースのアンチモナイドであるPtMnSb、PdMnSbの電気伝導度も示した。図1に示す電気伝導度からは、PtMnSb、PdMnSbが、通常とは異なる温度依存性を示していることがわかる。かかる温度依存性は、キャリア散乱によるものとは考えられず、バンド構造の変化によるものと推測される。

【0030】かかるPtMnSb、PdMnSbと比較して、PtGdBiでは、半導体のような挙動をとっている。ホール濃度は、室温で $7 \times 10^{19} \text{ cm}^{-3}$ であった。ホール移動度は、室温で約 $120 \text{ cm}^2/\text{Vs}$ 、100Kで $220 \text{ cm}^2/\text{Vs}$ であった。

【0031】図2には、ゼーベック係数の温度依存性を示す。前記図1と同様に、PtGdBiと共にPtMnSb、PdMnSbを比較として示した。PtMnSb、PdMnSbに関しては、300～850Kの範囲で、極めて低い値を示しているが、PtGdBiに関しては、室温で $60 \mu\text{V}/\text{K}$ 、550～750Kの温度範囲で $82 \mu\text{V}/\text{K}$ の高い値を示した。

【0032】PtGdBiは、図3に示すように、PtMnSb、PdMnSbと同様のC<sub>4v</sub>対称性の結晶構造を有し、かかる構造はX線回折により確認された。図3に示す単位結晶格子中では、BiとGdとは副格子を構成して、Biの両隣に90°の角度を有してGdが配置された原子配置をとっている。かかる様子を、図4に示した。

【0033】図4では、Biの6p<sub>x</sub><sup>2</sup>軌道の両隣に、Gdの5d<sub>xy</sub><sup>2</sup>、5d<sub>yz</sub><sup>2</sup>軌道が90度の角度を有して配置されている様子を示している。両者の交換積分Jは負となりエネルギー的な相互作用が存在していることが計

算からも確認される。

【0034】Ptは、 $[Xe] 4f^{14}5d^9 6s^1$  の電子配置を有している。すなわち、Xeと同一の電子配置を内殻に有し、外殻に不十分な電子充填状態の $5d^9 6s^1$  軌道を有した電子配置となっている。異なるスピンの電子を最大10個まで許容可能な主量子数5のd軌道に9個の電子が充填され、電子を最大2個まで許容可能な主量子数6のs軌道に1個の電子が充填されて、いずれの軌道も不十分な電子充填状態になっていることがわかる。これらの不十分な電子充填状態を解消することにより、Ptはエネルギー的に安定化する。

【0035】一方、Biは、 $[Xe] 4f^{14}5d^{10}6s^2 6p^3$  の電子配置を有している。すなわち、内殻にXeの電子配置を有し、外殻の $6p^3$  軌道が不十分な電子充填状態となっている。そのため、外殻の主量子数6のp軌道には、他の原子から3個の電子を受けて軌道を満たし、陰イオンを構成することにより、エネルギー的に安定な電子配置を取ろうとする傾向があると言える。

【0036】Gdは、 $[Xe] 4f^7 5d^1 6s^2$  の電子配置を有している。内殻にXeの閉殻電子配置を有し、外殻の $5d^1$  が不十分な電子充填状態となっている。かかる電子配置を有するGdは、 $5d^1$  電子軌道から電子を1個放出して、陽イオンになりやすい傾向を有していると言える。なお、Gdでは、 $4f^7$  軌道も電子充填状態は不十分ではあるが、 $5d$ 、 $6s$ 、 $6p$  軌道との電子移動を行う程には相互のエネルギー順位は接近しておらず、本考察からは除外できる。

【0037】PtGdBiでは、前述のようにその単位格子中にBi-Gdの副格子が形成されており、かかる副格子では、図3に示すように、Biの両隣に90°の角度有して2個のGdが配置された構成が形成されている。

【0038】そこで、かかる結晶格子中の原子配置と、Pt、Gd、Biの上記電子配置とを併せて考えると、Gdの $5d^1$  軌道から1個の電子がPtの同じ主量子数の $5d^9$  軌道へ移動して、Ptの $5d^9$  軌道は $5d^{10}$  軌道となり、 $5d$  軌道は完全に満たされて $5d^9$  軌道の不十分な電子充填状態が解消されていると考えられる。Gdでは、 $5d^1$  軌道から電子が1個放出されて不十分な電子充填状態であった $5d$  軌道は完全に空位となり解消されている。

【0039】一方、Gdの $6s^2$  軌道からの2個の電子と、Ptの $6s^1$  軌道からの1個の電子とは、Biの $6p^3$  軌道へ移動することにより、Gdは $Gd^{3+}$  の陽イオンとなり、Biは $Bi^{3-}$  の陰イオンとなり、陽イオン、陰イオンの電荷バランスの平衡を保ちながら、各原子の不十分な電子充填状態の解消が図られている。

【0040】すなわち、Gdの $6s^2$  軌道からの2個の電子と、Ptの $6s^1$  軌道からの1個の電子とが、Biの $6p^3$  軌道へ移動することにより、中性原子のGd

は、 $Gd^{3+}$  となり、その電子配置は、 $[Xe] 4f^7$  となりs軌道、p軌道、d軌道における不十分な電子充填状態が解消されている。

【0041】中性原子のPtも、Gdから1個の電子を受け入れて $5d^9$  軌道が $5d^{10}$  軌道となり、併せて $6s^1$  軌道から1個の電子をBiの $6p^3$  軌道へ放出させることにより、その電子配置は、 $[Xe] 4f^{14}5d^{10}$  となり、不十分な電子充填状態を解消して、所謂閉殻電子配置を有する中性原子構成原子となっている。

【0042】Biでは、Gdの $6s^2$  軌道からの2個の電子と、Ptの $6s^1$  軌道からの1個の電子とを、Biの $6p^3$  軌道へ受け入れることにより、 $6p^3$  軌道の不十分な電子充填状態が解消され、 $[Xe] 4f^{14}5d^{10}6s^2 6p^5$  の電子配置を有する安定な電子配置を有した陰イオンの $Bi^{3-}$  となっている。

【0043】Gdでは、Gdの $5d^1$  軌道からの1個の電子をPtの $5d^9$  へ、 $6s^2$  軌道からの2個の電子をBiの $6p^3$  軌道へ供与することにより、 $5d^1$  軌道の不十分な電子充填状態が解消され、 $[Xe] 4f^7$  の電子配置を有する安定な電子配置を有した陽イオンの $Gd^{3+}$  となっている。

【0044】このようにPtGdBiでは、Pt、Gd、Biのそれぞれの原子が基底状態の電子配置におけるs軌道、p軌道、d軌道の不十分な電子充填状態を解消して、狭いバンドギャップを有するエネルギー的に安定な結晶構造が創出されることから、同一の結晶構造を有するPtMnSb、PdMnSbとは異なる熱電特性を示すものと考えられる。

【0045】すなわち、PtMnSbでは、Mnは $[Ar] 3d^5 4s^2$  なる電子配置を有し、Ptは $[Xe] 4f^{14}5d^9 6s^1$  なる電子配置を有し、Sbは $[Kr] 4d^{10}5s^2 5p^3$  なる電子配置を有しており、PtGdBiで考察したと同様な各原子間の電子移動に基づき、個々の原子の不十分な電子充填状態を解消させるような電子配置の構成を考えることはできない。

【0046】また、PdMnSbでは、Pdは $[Kr] 4d^{10}$  なる電子配置を有し、Ptは $[Xe] 4f^{14}5d^9 6s^1$  なる電子配置を有し、Sbは $[Kr] 4d^{10}5s^2 5p^3$  なる電子配置を有しているため、PtMnSbで考察したと同様に、PtGdBiと同様な各原子間の電子移動に基づく構成原子の不十分な電子充填状態を解消させるような電子配置の構成を考えることはできない。

【0047】かかるPtMnSb、PdMnSbの電子配置のPtGdBiとの相違が、熱電特性の発現の差異につながっていると考えられる。かかる考察から、電子軌道の不十分な電子充填状態を解消して、中性原子を構成する中性原子構成原子と、電子軌道における不十分な電子充填状態を解消して、陽イオンを構成する陽イオン構成原子と、電子軌道における不十分な電子充填状態を

解消して、陰イオンを構成する陰イオン構成原子とを、前記陽イオン構成原子と前記陰イオン構成原子とに基づき電荷バランスを平衡するように含有させることが、新規なホイスラー型化合物を構成する熱電変換材料の原子構成の基準となり得るものと考えられ本発明となしたものである。

【0048】すなわち、本発明者らはPtGdBiの熱電特性と、結晶構造における原子配置、構成原子の電子構造とを、初めて詳細に検討することにより、電子構造的見地からのホイスラー型化合物の構成原子の組合せ方法を見出し初めて提案するものである。

【0049】かかる構成を有するホイスラー型化合物としては、既知のPtGdBi以外に、表1に示すような種々の系の組合せが考えられる。

【0050】ここで、表中A原子は上記中性原子を構成する中性原子構成原子であり、PtGdBiを構成するPtに相当し、B原子は上記陽イオンを構成する陽イオン構成原子であり、PtGdBiを構成するGdに相当し、C原子は上記陰イオンを構成する陰イオン構成原子であり、PtGdBiを構成するBiに相当する。

【0051】

【表1】

表1

A原子	B原子	C原子
Ni	Ti Zr Hf	Si Ge Sn Pb
Pt	Gd Tb Lu Pa U Cm	P As Sb Bi

【0052】本発明は前記の形態に限定されるものではなく、その要旨を逸脱しない範囲で種々変更することができる。

【0053】例えば、PtGdBiまたは表1に示した種々の系の組合せからなるホイスラー型化合物に、0.1～50原子% (atom%) 程度の不純物をドーピング (doping) して、不純物を結晶格子内に挿入したり、あるいは構成原子の一部を置換したりすることも可能である。

【0054】

【発明の効果】本発明によれば、熱電変換材料としてのホイスラー型化合物の構成原子の組合せ、電子構造などに基づき予測することができる。そのため、ホイスラー型化合物を構成する新規な熱電変換材料の効率的な開発が行える。

【図面の簡単な説明】

【図1】PtGdBiの電気伝導度の温度依存性を示すグラフ図である。

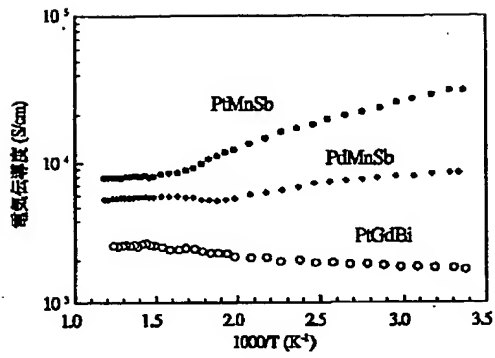
20 【図2】PtGdBiのゼーベック係数の温度依存性を示すグラフ図である。

【図3】PtGdBiの結晶構造を示す説明図である。

【図4】PtGdBiにおけるGd-Bi間の電子遷移の様子を示す説明図である。

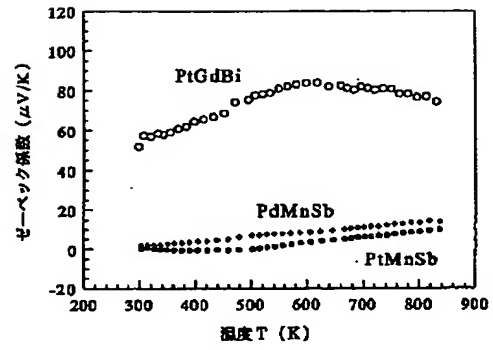
【図1】

図 1



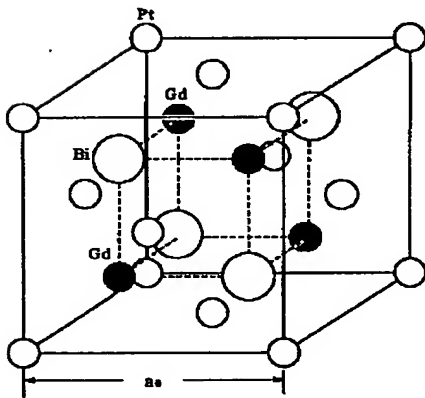
【図2】

図 2



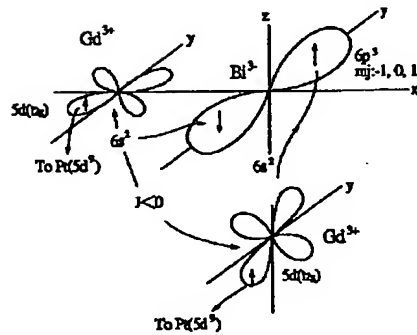
【図3】

図 3



【図4】

図 4



Gd	→ 4f <sup>7</sup>	5s <sup>2</sup> 5p <sup>6</sup> 5d <sup>0</sup>	6s <sup>0</sup>	→ Gd <sup>3+</sup> 4f <sup>7</sup>
Pt	→	→ 5d <sup>9</sup>	6s <sup>0</sup>	→ 閉殻全構成
Bi	→	→	6s <sup>2</sup> 6p <sup>3</sup>	→ Bi <sup>3+</sup>

## THERMOELECTRIC ELEMENT AND ELECTRONIC EQUIPMENT USING IT

**Patent number:** JP8032127  
**Publication date:** 1996-02-02  
**Inventor:** TSUBATA KEISUKE  
**Applicant:** SEIKO INSTR INC  
**Classification:**  
- **International:** H01L35/32; G04C10/00; G04G1/00  
- **europaen:**  
**Application number:** JP19940167242 19940719  
**Priority number(s):**

### Abstract of JP8032127

**PURPOSE:**To first insulator 101 is set on a heat absorbing thermoelectric element and reduce the size of the element by specifying the thicknesses of a plurality of n-type semiconductors and a plurality of p-type semiconductors within specific ranges.

**CONSTITUTION:**A first insulator 101 is set on a heat absorbing side and second insulator 102 is set on a heat radiating side. When a high temperature is given to the heat absorbing side so that the temperature on the heat absorbing side becomes higher than that on the heat radiating side, heat is transmitted from the first insulator 101 to the second insulator 102. When the heat is transmitted, electrons move toward the insulator 102 on the heat radiating side in an n-type semiconductor 103. In a p-type semiconductor 104, holes move toward the insulator 102 on the heat radiating side. Since the semiconductors 103 and 104 are electrically connected in series with each other through a connecting section 105, the heat transmission is converted into an electric current and an electromotive force can be obtained across output terminals 106 at both ends. When silicon is used for the insulators, the power generating efficiency of this thermoelectric element can be improved 2 the size of the element can be reduced, because the thickn and of the n-and p-type semiconductors can be reduced to 0.2-0.3mm.

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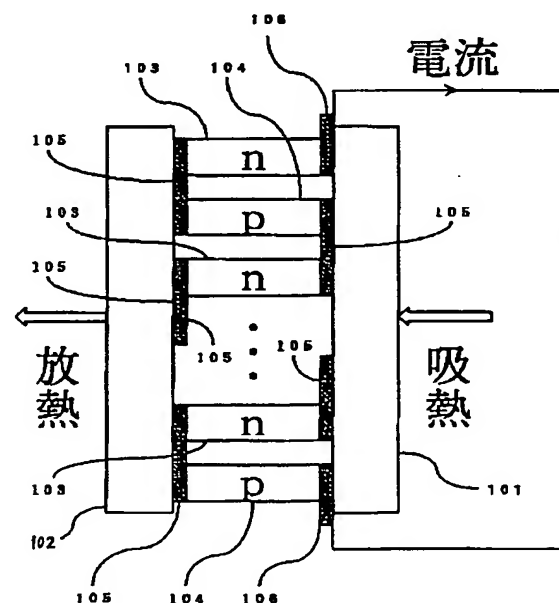
(74) 代理人 弁理士 林 敬之助 (外1名)

(54) 【発明の名称】 熱電素子および熱電素子を用いた電子機器

(57) 【要約】

【目的】 n型半導体およびp型半導体の厚さが発電能力と小型化を考慮して決定された熱電素子、および熱電素子を用いた電子機器を提供する。

【構成】 シリコンの第一の絶縁体101を吸熱側、シリコンの第二の絶縁体102を放熱側とすると、吸熱側の温度を放熱側と比較して高温となるような温度差を与えた場合、第一の絶縁体101から第二の絶縁体102の方向に熱が伝達される。その際にn型半導体103の中では電子が放熱側の絶縁体102の方向に移動する。p型半導体104の中では正孔が放熱側の絶縁体102の方向に移動する。n型半導体103とp型半導体104は接続部105を介して電氣的に直列に接続されているため熱の伝達が電流に変換され、両端の出力端子部106より起電力を得ることができる。



## 【特許請求の範囲】

【請求項1】 複数のn型半導体(103)と複数のp型半導体(104)を交互に電氣的に直列になるように接続する複数の接続部(105)と出力端子部(106)とを有し、接続部(105)を1つおきに固定するシリコンで構成した第一の絶縁体(101)と、第一の絶縁体で接続していない1つおきの接続部(105)を固定するシリコンで構成した第二の絶縁体(102)とを有し、第一の絶縁体(101)と第二の絶縁体(102)との間に固定される複数のn型半導体(103)および複数のp型半導体(104)のそれぞれの厚さが0.2mm~3mmであることを特徴とする熱電素子。

【請求項2】 複数のn型半導体から構成されるn型半導体複合素子(203)を複数有し、複数のp型半導体から構成されるp型半導体複合素子(204)を複数有し、複数のn型半導体複合素子(203)と複数のp型半導体複合素子(204)を交互に電氣的に直列になるように接続する複数の接続部(205)と出力端子部(206)とを有し、接続部(205)を1つおきに固定するシリコンで構成した第一の絶縁体(201)と、第一の絶縁体(201)で接続していない1つおきの接続部(205)を固定するシリコンで構成した第二の絶縁体(202)とを有し、第一の絶縁体(201)と第二の絶縁体(202)との間に固定される複数のn型半導体複合素子(203)および複数のp型半導体複合素子(204)のそれぞれの厚さが0.2mm~3mmであることを特徴とする熱電素子。

【請求項3】 請求項1記載の熱電素子において、複数のn型半導体(103)および複数のp型半導体(104)のそれぞれの厚さが0.5mm~2mmであることを特徴とする熱電素子。

【請求項4】 請求項2記載の熱電素子において、複数のn型半導体複合素子(203)および複数のp型半導体複合素子(204)のそれぞれの厚さが0.5mm~2mmであることを特徴とする熱電素子。

【請求項5】 エネルギー源を必要とする電子機器において、請求項1乃至請求項4のいずれか1項に記載する熱電素子をエネルギー源として用いた電子機器。

【請求項6】 エネルギー源を必要とする電子時計において、請求項1乃至請求項4のいずれか1項に記載する熱電素子をエネルギー源として用いた電子時計。

## 【発明の詳細な説明】

## 【0001】

【産業上の利用分野】 本発明はエネルギー源として電池を使用しない電子機器を実現するための熱電素子、およびエネルギー源として熱電素子を用いた電子機器に関するものである。

## 【0002】

【従来の技術】 電子機器にはそのエネルギー源として、主に電池が使用されていた。しかし使用者は、使用中に

電池切れが起こる心配を常に抱えなくてはならず、万一使用中に電池切れが起こった場合、その損害は大きなものとなる場合もある。そして電池切れを起こした場合には電池を交換する、或は使用者が出来ない場合には他に依頼することを強いられる。更に使用済みの電池は環境破壊の原因となるために、一般の廃棄物と同じ扱で廃棄することが出来ない。

【0003】 電池に変わるエネルギー源として、ゼーベック効果に基づく起電力を発生する熱電素子が最近研究されている。例えば図9は、熱電発電機の発電原理を示す図である。熱電発電機はp型熱電素子(901)およびn型熱電素子(902)を直列に多数個接続し、絶縁板(903)と放熱板(904)および吸熱板(905)から構成されている。以上のような熱電発電機が、例えば特開昭54-123047号公報に、開示されている。

【0004】 一方熱電素子を用いた電子機器も最近研究されている。例えば図10は、熱電式腕時計の構成を示す図である。熱電式腕時計は、ムーブメント(1001)と、熱電式発電器(1002)と、電気エネルギー蓄電器と、金属製底部、フレーム部、金属製の頂部、を備えた多部分からなる腕時計のケーシング(1003)と、を有する。以上のような熱電式腕時計が、例えば特開昭55-20483号公報に、開示されている。しかし発電能力及び小型化を考慮した熱電素子モジュールは、まだ実用化には至っていない。

## 【0005】

【発明が解決しようとする課題】 熱電素子の発電能力は、n型半導体およびp型半導体の数に比例し、n型半導体およびp型半導体の厚さは厚い方が高い。つまり高い発電能力を得ようとするn型半導体およびp型半導体の数を増やし厚くするので熱電素子全体が大きくなってしまふ。しかし、この熱電素子を電子機器に搭載する場合には、熱電素子は出来るだけ小さいことが理想であり、発電能力の増大と、熱電素子の小型化は相反する要求となる。

【0006】 そこで本発明の目的は、n型半導体およびp型半導体の厚さが発電能力と小型化を考慮して決定された熱電素子、および熱電素子を用いた電子機器を提供することにある。

## 【0007】

【課題を解決するための手段】 上記課題を解決するために本発明は、熱電素子の発電能力がn型半導体およびp型半導体の数や厚さだけでなく、絶縁体の熱伝導率によっても左右されることに着目し、絶縁体にシリコンを用いた場合には、n型半導体およびp型半導体の厚さを0.2~3mm、さらに望ましくは0.5~2mmとした。

## 【0008】

【作用】 図1は、本発明の第一の熱電素子の構造と発電原理を示す図である。第一の絶縁体101を吸熱側、第

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二の絶縁体102を放熱側とする。吸熱側の温度を放熱側と比較して高温となるような温度差を与えた場合、第一の絶縁体101から第二の絶縁体102の方向に熱が伝達される。その際に、n型半導体103の中では、電子が放熱側の絶縁体102の方向に移動する。p型半導体104の中では、正孔が放熱側の絶縁体102の方向に移動する。n型半導体103とp型半導体104は、接続部105を介して電氣的に直列に接続されているため、熱の伝達が電流に変換され、両端の出力端子部106より起電力を得ることができる。

【0009】図2は、本発明の第二の熱電素子の構造と発電原理を示す図である。第一の絶縁体201を吸熱側、第二の絶縁体202を放熱側とする。吸熱側の温度を放熱側と比較して高温となるような温度差を与えた場合、第一の絶縁体201から第二の絶縁体202の方向に熱が伝達される。その際に、n型半導体複合素子203の中では、電子が放熱側の絶縁体202の方向に移動する。p型半導体複合素子204の中では、正孔が放熱側の絶縁体202の方向に移動する。n型半導体複合素子203とp型半導体複合素子204は、接続部205を介して電氣的に直列に接続されているため、熱の伝達が電流に変換され、両端の出力端子部206より起電力を得ることができる。

【0010】さらに図3は、本発明の熱電素子をエネルギー源として用いた電子機器の動作原理を示すブロック図である。熱電素子301に温度差が与えられ、起電力が発生すると、蓄電機構302に電気が蓄えられる。蓄電機構302に蓄えられた電気の電圧が駆動機構303を駆動するのに十分な大きさに達すると、駆動機構303が駆動され、動作・表示機構304が働き出す。

【0011】

【実施例】図4は、本発明の熱電素子の一実施例の構造と発電原理を示す図である。第一の絶縁体401は、例えばシリコンで構成し吸熱側とする。第二の絶縁体402は、例えばシリコンで構成し放熱側とする。吸熱側の温度が放熱側より高温となるような例えば2度程度の温度差を与えた場合、第一の絶縁体から第二の絶縁体の方向に熱が伝達される。その際に、n型半導体403例えばビスマス-テルル系、ナマリ-テルル系あるいは鉄-シリサイド系の中では電子が放熱側の第二の絶縁体の方向に移動する。p型半導体404例えばビスマス-テルル系、ナマリ-テルル系あるいは鉄-シリサイド系の中では、正孔が放熱側の第二の絶縁体の方向に移動する。n型半導体403とp型半導体404は、接続部405例えば電極を介して電氣的に直列に接続されているため、熱の伝達が電流に変換され、出力端子部406より起電力を得ることができる。出力端子部406より得られた起電力は蓄電素子409に充電される。

【0012】図5は、第一の絶縁体及び第二の絶縁体にシリコンを用いた場合のn型半導体およびp型半導体の

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厚さに対する出力電圧の変化を示したグラフである。このとき熱電素子に与えられた温度差は2度、半導体の断面積は $0.01\text{mm}^2$ とした。半導体数はn型p型合わせて4000個、4500個、5000個、5500個、6000個の場合を示した。1.5V電池の代用となるためには、n型半導体およびp型半導体の厚さの誤差による出力電圧のばらつきが少なくなる厚さ1mm以上において、少なくとも1.4Vを得られる5000個が必要である。さらに製造時の欠陥や、温度差が2度をわずかに下回った場合を考えると5500個程度必要である。ここで温度差を2度としたのは、本発明の熱電素子を電子腕時計に搭載した場合、得られる温度差が実験により2度程度であったためである。

【0013】一般のICの動作電圧の実力値を0.7Vとし、電子機器例えば電子腕時計に搭載する場合には外観上の制約があるためn型半導体およびp型半導体の厚さは図5より0.2mm以上3mm以下とする事が望ましい。さらに望ましくは、一般のICの動作電圧の規格値を1.2Vとし、より大きな電圧を得ようとした場合n型半導体およびp型半導体の厚さの増加に対する出力電圧の増加率が10%以上であることが効率を考えた上で好ましいので、n型半導体およびp型半導体の厚さは図5より0.5mm以上2mm以下とする事が望ましい。例えばn型半導体およびp型半導体の断面積が $0.01\text{mm}^2$ 、厚さが1mm、数がn型p型合わせて5000個、n型半導体とp型半導体の間隔を約0.2mm、放熱側及び吸熱側の電極と絶縁板を合わせた厚さがそれぞれ1mmであるとすると、熱電素子の大きさは全体で約 $15\text{mm} \times 15\text{mm} \times 3\text{mm}$ となり、2度の温度差が与えられた場合約1.4Vの起電力を得ることが可能である。

【0014】図6は、本発明の熱電素子を動力源として用いた電子機器の一実施例として、電子腕時計の動作原理を示すブロック図である。熱電素子601に温度差が与えられ起電力が発生すると充電制御回路602を介して蓄電素子603に電気が蓄えられる。蓄電素子603に蓄えられた電気により駆動制御回路604が駆動し、表示機構605に時刻が表示される。

【0015】図7は、本発明の電子腕時計の実施例の外観を示す図である。放熱されやすいように放熱板701が表面に露出している。放熱板701は、例えばシリコンで構成されている。図8は、本発明の電子腕時計の実施例の構造を示す断面図である。絶縁板801は一般に気温よりも高温である腕に触れるために吸熱側、絶縁板802は大気中にあるために放熱側となる。絶縁板801および802は、例えばシリコンで構成されている。例えば携帯者の体温が摂氏36度で、気温摂氏20度の環境にて使用し電子腕時計全体が腕の温度に近くなると、絶縁板801と絶縁板802との間に生じる温度差は2度前後である。温度差が生じると、熱は絶縁板801からn型およびp型半導体803を通り絶縁板802



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に伝えられ大気中に放熱される。このときゼーベック効果により起電力が生じ蓄電素子804、例えばリチウム2次電池、カーボン-リチウム2次電池、あるいはパナジウム-リチウム2次電池に蓄電される。この蓄えられた電気により輪列とモーターから成り運針動作を行うムーブメント805が駆動する。

【0016】

【発明の効果】以上述べてきたように本発明によれば、絶縁体にシリコンを用いた熱電素子のn型、p型半導体の厚さを0.2～3mm、さらに望ましくは0.5～2mmとする事により、必要とする起電力を得るための熱電素子としては発電効率が良くかつ小型化されたものを得ることができる。さらにこの熱電素子を電子機器に用いることにより、電子機器を小型化することが可能になる。

【図面の簡単な説明】

【図1】本発明の第一の熱電素子の構造と発電原理を示す図である。

【図2】本発明の第二の熱電素子の構造と発電原理を示す図である。

【図3】本発明の熱電素子をエネルギー源として用いた電子機器の動作原理を示すブロック図である。

【図4】本発明の熱電素子の実施例の構造と発電原理を示す図である。

【図5】本発明の熱電素子の半導体数の違いによる半導体の厚さと出力電圧の関係を示す図である。

【図6】本発明の熱電素子を動力源として用いた電子機器の一実施例として、電子腕時計の動作原理を示すブロック図である。

【図7】本発明の一実施例の電子腕時計の外観を示す図

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である。

【図8】本発明の一実施例の電子腕時計の構造を示す断面図である。

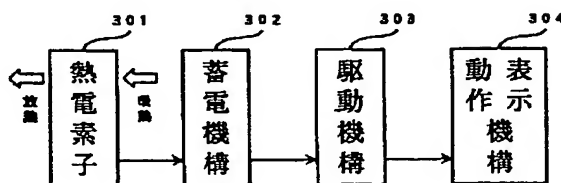
【図9】従来の熱電素子の構造と発電原理を示す図である。

【図10】熱電素子を動力源として用いた従来の電子腕時計の構造を示す断面図である。

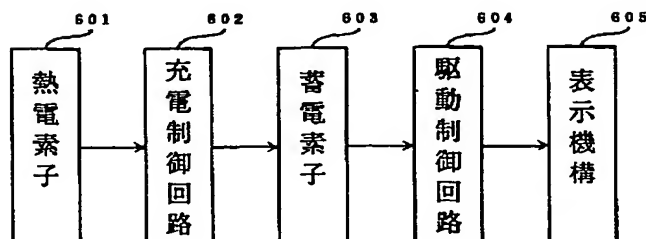
【符号の説明】

101、401 第一の絶縁体  
102、402 第二の絶縁体  
103 n型半導体  
104 p型半導体  
105 接続部  
106 出力端子部  
203 n型半導体複合素子  
204 p型半導体複合素子  
301、601 熱電素子  
302 蓄電機構  
303 駆動機構  
304 動作、表示機構  
407、603、804 蓄電素子  
602 充電制御回路  
604 駆動制御回路  
605 表示機構  
701 放熱板  
801、802 絶縁板  
803 n型およびp型半導体  
805 ムーブメント

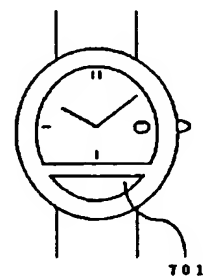
【図3】



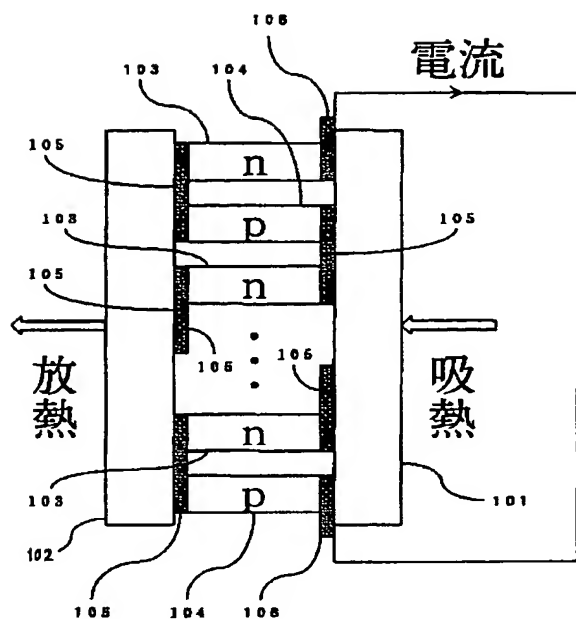
【図6】



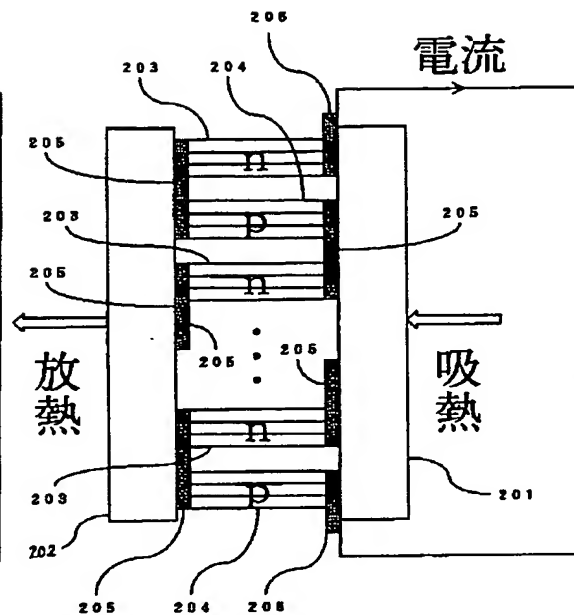
【図7】



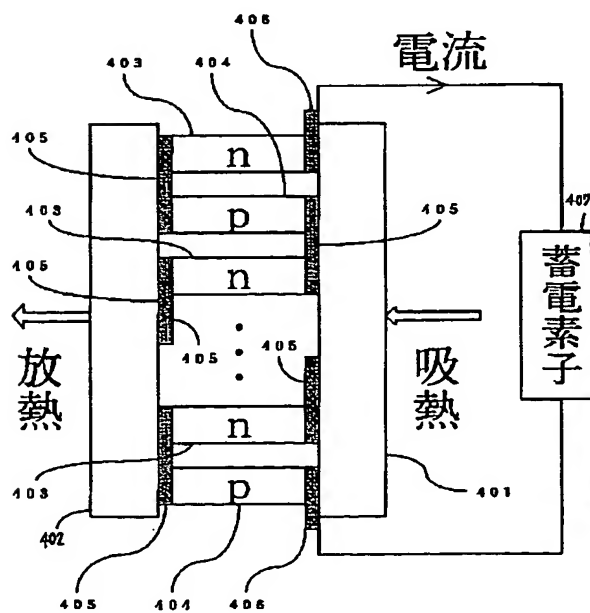
【図1】



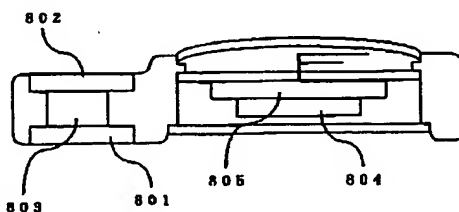
【図2】



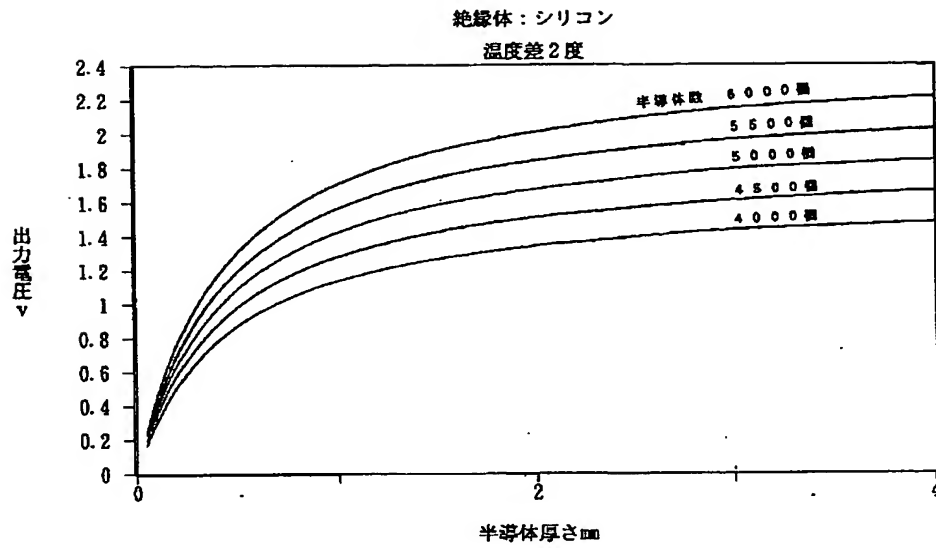
【図4】



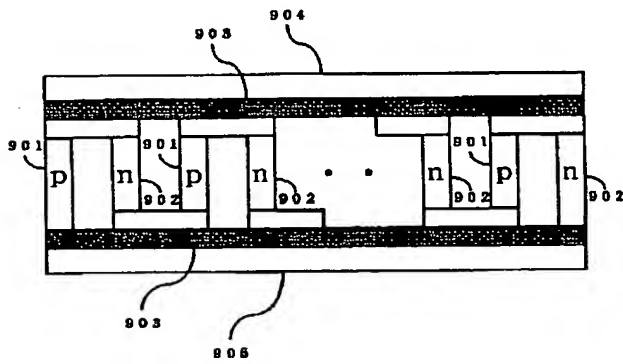
【図8】



【図5】



【図9】



# Crossover from semiconductor to magnetic metal in semi-Heusler phases as a function of valence electron concentration

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**Abstract.** Experimental and theoretical investigations of intermetallic semi-Heusler compounds (CoTiSn, FeTiSb, CoTiSb, NiTiSn, CoNbSn, CoVSb, NiTiSb) and their solid solutions (CoTiSn<sub>1-x</sub>Sb<sub>x</sub>, CoTi<sub>1-x</sub>Nb<sub>x</sub>Sn) are presented. The physical properties of these systems are found to be mostly determined by the number of valence electrons. Resistivity experiments show that compounds with 18 valence electrons are either semiconductors (CoTiSb, NiTiSn) or semi-metals (CoNbSn). The electronic structure calculations performed on 18-valence-electron systems by the KKR method show nine valence bands below the Fermi level and a gap of order 0.4–0.9 eV. A decrease or increase of the number of valence electrons in CoTiSb, NiTiSn or CoNbSn leads in either case to a metallic state and either ferromagnetic (CoTiSn, CoVSb) or paramagnetic (FeTiSb, NiTiSb) properties. The KKR results concerning 17- and 19-valence-electron systems correspond well with experimental characteristics, except in the case of CoVSb which KKR calculations predict to be a half-metallic ferromagnet, which conflicts with experimental data.

Magnetization and resistivity measurements indicate that semiconductor–metal crossovers occur together with the appearance of ferromagnetism in the CoTiSn<sub>1-x</sub>Sb<sub>x</sub> and Co<sub>1-x</sub>Ni<sub>x</sub>TiSn series, for  $x$  near 0.4. This behaviour is discussed in the context of the KKR-CPA results.

## 1. Introduction

Heusler phases are well known ternary intermetallic compounds, with general formula  $X_2YZ$ , where  $X$  and  $Y$  are transition metals and  $Z$  is an sp element. Semi-Heusler phases have the same cubic structure, except that one of the  $X$  sites is empty, giving a formula  $XYZ$ . Due to the vacant site, the overlap between the d wave-functions is smaller, which gives rise to narrower bands and to the appearance of gaps in the energy spectra. This family of compounds attracted wide interest after the discovery for the NiMnSb and PtMnSb ferromagnets [1, 2] of peculiar electronic structure properties, collectively known as half-metallic ferromagnetism. The half-metallic character arises from the magnetic splitting of the bands, which leads to the occurrence of an energy gap at  $E_F$  for down-spin electrons, together with a metallic state for up-spin electrons [3, 4].

In this paper we focus on the physical properties of some XYZ compounds, where the transport and magnetic properties are governed by the number of valence electrons (EC). Compounds such as NiTiSn, CoTiSb with  $EC = 18$  were found to be semiconductors (SC) [5, 6]. In the following, we consider how the electronic and magnetic properties are modified

on passing to  $EC = 19$  and  $EC = 17$  systems, by adding (removing) one electron to (from) the different crystallographic sites. We shall see how a crossover from a semiconducting to a metallic state occurs, and how it may be related to the onset of weak ferromagnetism.

Measurements of resistivity and magnetization, as well as band-structure calculations by the Korringa–Kohn–Rostoker (KKR) method, were performed for the following ordered samples: CoTiSn, FeTiSb, CoTiSb, NiTiSn, CoNbSn, CoVSb and NiTiSb. Recently [6, 7], insulator–metal crossovers related to the onset of ferromagnetic ordering were detected in  $Co_{1-x}Ni_xTiSn$  and  $CoTiSn_{1-x}Sb_x$  solid solutions for  $x$  near 0.4. However, the concentration dependencies of the magnetic moments and Curie temperatures are not the same in these two series. In this paper the new series of solutions  $CoTi_{1-x}Nb_xSn$  is also described, where the substitution is at the Y site, and compared with the previous  $Co_{1-x}Ni_xTiSn$  and  $CoTiSn_{1-x}Sb_x$  series. As will be shown, the variations of the physical properties with concentration are again different, as it is not equivalent to add one electron to the X site (filling the d shell of Co), to the Y site (enhancing the density of d states at this site), or to the Z site. In order to get a deeper insight into the influence of alloying on electronic structure, band-structure calculations were performed for the above-mentioned alloys using the KKR method with the coherent potential approximation (CPA). As far as possible, the ground-state KKR-CPA results will be compared to observed properties, and some interpretations will be proposed for these series concerning insulator–metal transitions and the onset of ferromagnetism.

**Table 1.** The lattice parameter (at RT), Curie and Curie–Weiss temperatures, spontaneous magnetization at 0 K and effective paramagnetic moment (per Co/Fe atom), or constant paramagnetic susceptibility ( $\text{emu mol}^{-1}$ ) for XYZ semi-Heusler compounds. The crystallographic positions of inequivalent atoms in the unit cell can be easily derived, since the chemical formula is written as XYZ, where X is at (0, 0, 0), Y is at (1/4, 1/4, 1/4) and Z is at (3/4, 3/4, 3/4) in the unit cell.

Compound XYZ	$a$ (Å)	$T_C$ (K)	$M(0)$ ( $\mu_B$ )	$\theta_p$ (K)	$\mu_{eff}$	$10^4 \chi_p$
CoTiSn	5.997	135	0.357	176	1.35	—
CoTiSb	5.884	—	—	—	—	1.7
CoNbSn	5.947	—	—	—	—	0.53
NiTiSn	5.941	—	—	—	—	1.3
CoVSb	5.791	58	0.18	75	1.26	0
NiTiSb	5.872	—	—	—	—	1.4

## 2. Crystallography and physical properties

Let us first describe some structural aspects of Heusler systems. The unit cell of the true Heusler  $X_2YZ$  structure (space group:  $Fm\bar{3}m$ ) consists of four inter-penetrating f.c.c. sublattices. If one of the two equivalent sites (0, 0, 0) or (1/2, 1/2, 1/2) (here, occupied by X atoms) is empty, the semi-Heusler XYZ structure (space group:  $F\bar{4}3m$ ) appears [5, 8]. As we see from table 1, d atoms occupy X and Y sites, while metalloids are located at Z positions. The smallest d metal generally occupies the site (0, 0, 0) related to the vacancy site (1/2, 1/2, 1/2). The XYZ systems are far from compact, and thus are subject to lattice instabilities. From a chemical point of view, they can be stabilized only through covalent bonding. Thus they are encountered when  $Z = \text{Sn}$  or  $\text{Sb}$ , and then only within a restricted range of peripheral electron number. The ideal valence electron concentration (EC) is 8 or 18 electrons per formula unit, which corresponds to  $\text{AgMgAs}$ ,  $\text{NiTiSn}$ ,  $\text{ZrNiSn}$ ,

CoTiSb, CoNbSn, etc. It leads to the formation of tetrahedral bonds and  $sp^3$  hybridization around the p element and favours bonding between d metals. Semiconducting properties occur for EC = 18 for some of the above-mentioned compounds, and disappear for other values of EC.

As always for Heusler compounds, the physical properties are strongly dependent on the crystallographic order. Disorder generally occurs between d metals, the more so the closer they are in the periodic table. Some atoms may also occupy a vacancy site. For these reasons, all of the samples investigated in the present work were annealed for a long time (one week to one month) at temperatures between 650 and 800 °C. Nevertheless, some atomic disorder is still observed. It is not possible for instance to obtain intrinsic semiconductors in these systems and band gaps cannot be determined properly from transport experiments below 300 K. Similarly, the residual resistivity of metallic phases remains significant.

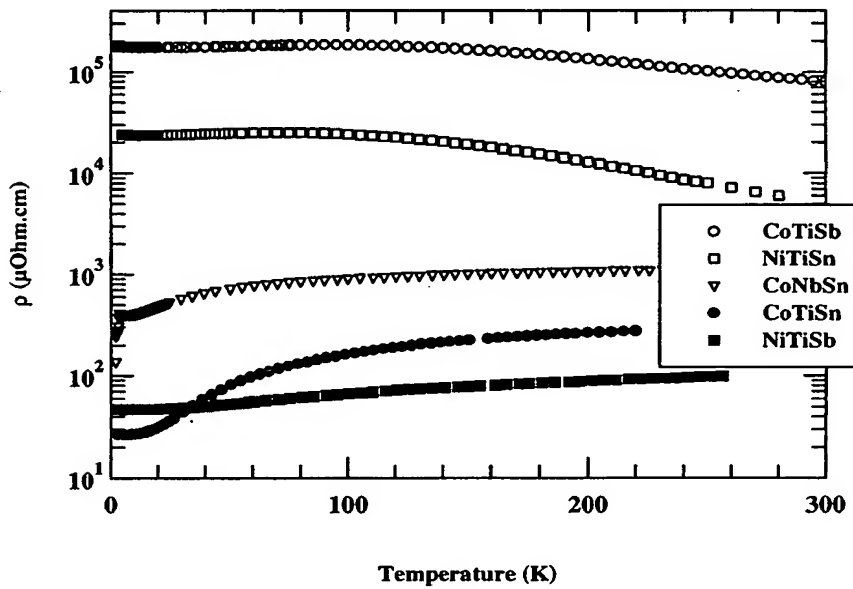


Figure 1. The resistivity for some semi-Heusler compounds.

### 2.1. The $Co_{1-x}Ni_xTiSn$ series

This series has already been described in previous papers [5, 6]. Here, we recall its basic properties. NiTiSn is a narrow-gap semiconductor ( $E_g = 0.42$  eV according to the KKR calculations [9]). Resistivity measurements show that the intrinsic regime is probably not reached even at room temperature. The experimental gap deduced between 200 and 300 K is only 80 meV, much smaller than calculated. This value may be representative of the activation energy of donor or acceptor levels (figure 1). The susceptibility is nearly constant between 15 and 300 K ( $\chi = 1.3 \times 10^{-4}$  emu mol<sup>-1</sup>) (see table 1). Replacing Ni by Co leads first to the narrowing of the gap, then to metallic conduction and finally to the appearance of weak ferromagnetism for a Co (or hole) concentration of about 0.45. No sharp transition occurs between the SC and metallic phases. The limit between semiconducting and metal-

like behaviours was somewhat arbitrarily set to be the concentration at which the temperature derivative of the resistivity changes from negative to positive, or where the low-temperature resistivity is of the order of the (now historical) Mott limit for metallic resistivity, i.e. a few  $\text{m}\Omega \text{ cm}$ .

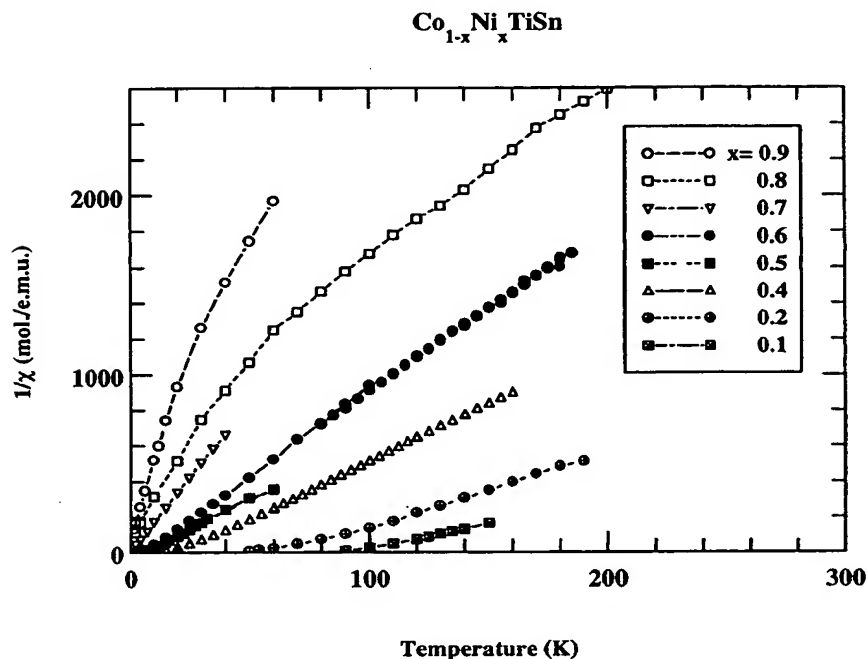


Figure 2. Reciprocal susceptibilities for the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  series.

$\text{CoTiSn}$  is a weak ferromagnet, ordering at  $T_C = 135 \text{ K}$ , with a moment at low temperature of  $M(0) = 0.35 \mu_B$  (table 2). Co atoms retain a localized moment when diluted in  $\text{NiTiSn}$ , as shown by the susceptibility of the solutions (figure 2), which exhibit both Curie–Weiss-like and temperature-independent contributions:  $\chi = (1-x)C/T + \chi_0$ . The Curie constant  $C$  per Co ion gives an effective (paramagnetic) moment of Co close to  $1.1 \mu_B$ , a value similar to that for pure  $\text{CoTiSn}$  ( $1.35 \mu_B$ ). Thus the Co paramagnetic moment localizes without a significant change in magnitude when diluted in  $\text{NiTiSn}$ . In the ferromagnetic range,  $T_C$  scales as  $M(0)^{1.7}$ , which is close to the quadratic behaviour generally observed for localized systems.

## 2.2. The $\text{CoTiSn}_{1-x}\text{Sb}_x$ series

Experimental results for this series have already been partly described elsewhere [10]. In this series the solid solution is not continuous: the lattice parameter first decreases regularly on adding Sb up to  $x = 0.5$ , the phases being metallic. A two-phase region, with two cubic Heusler phases, exists between  $x = 0.5$  and  $x = 0.6$ , then the second semiconducting phase with the smaller lattice parameter continues up to the compound  $\text{CoTiSb}$ . The SC phase is more compact, which should be ascribed to the bonding mechanism and to the distribution of valence electrons. This SC–metal crossover cannot be a classical Mott transition, since

the SC phase here has a smaller volume than the metallic one and is not magnetically ordered.

The Sn-rich phases order ferromagnetically for  $x < 0.5$ , but the solutions turn paramagnetic for larger Sb contents. The susceptibility behaviour changes from Curie-Weiss-like in the ferromagnetic range, with an effective moment per Co proportional to the Sn content, to the characteristic behaviour of enhanced paramagnets, with a maximum value in the range 50 to 100 K (figure 3) for SC solutions. A nearly constant susceptibility  $\chi = 1.7 \times 10^{-4}$  emu mol $^{-1}$  is observed for the CoTiSb, a result which is close to that ( $\chi = 1.14 \times 10^{-4}$  emu mol $^{-1}$ ) obtained by Terada *et al* [11]. The magnetic susceptibility of this series as a function of concentration behaves like that of ZrZn $_2$  under pressure [12]. In the ferromagnetic range,  $T_C$  is proportional to  $M(0)$ , a behaviour which was also observed in the metallic solutions between Co $_2$ TiSn and Ni $_2$ TiSn [13].

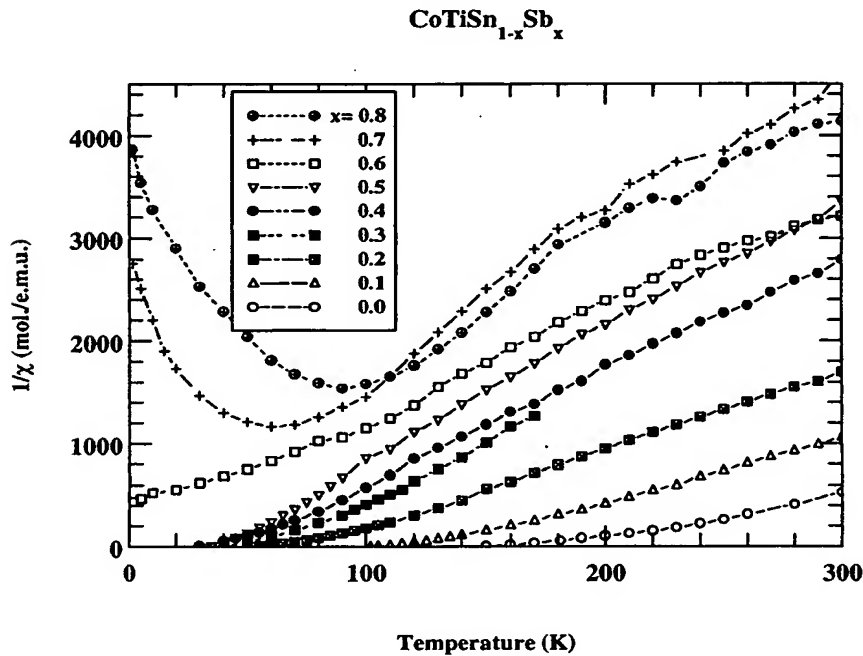


Figure 3. Reciprocal susceptibilities for the CoTiSn $_{1-x}$ Sb $_x$  series.

The resistivity curves of the solutions have a complex behaviour [10]: in the metallic range, the magnetic part of the resistivity becomes larger and larger as the concentration approaches the magnetic-non-magnetic boundary, giving a large  $T^2$ -term at low temperature; the S shape of the resistivity is typical of spin fluctuations. For  $x > 0.6$ , the SC behaviour is recovered.

Thus striking differences are observed between the CoTiSn $_{1-x}$ Sb $_x$  and the Co $_{1-x}$ Ni $_x$ TiSn series. A puzzling feature is that CoTiSb does not order magnetically, and does not even exhibit any localized magnetism (Curie-Weiss susceptibility) whereas Co atoms diluted in NiTiSn do so. A first interpretation would be that an extra electron added to the Z site can be transferred to Co and fills the d shell. We shall see below that this picture is not accurate, and that another interpretation should be given.



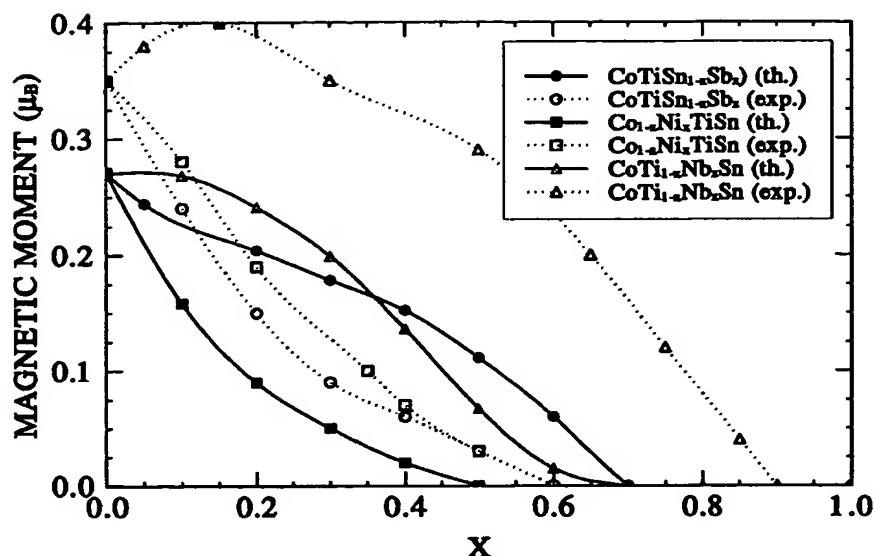


Figure 4. Total magnetic moment variations versus alloy compositions for three isoelectronic series:  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  (squares),  $\text{CoTiSn}_{1-x}\text{Sb}_x$  (circles) and  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  (triangles). The measured and KKR-CPA points are linked by dotted and solid lines, respectively.

Table 2. Magnetic data for solutions in the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series.

$x$ (Nb)	$T_C$ (K)	$M(0)$ ( $\mu_B$ )	$\theta_p$ (K)	$\mu_{eff}$
0	135	0.357	158	1.35
0.05	141	0.38	165	1.44
0.15	155	0.40	180	1.51
0.3	120	0.35	151	1.33
0.5	112	0.29	146	1.15
0.65	90	0.20	No CW	—
0.75	23	0.17	—	—
0.85	17	0.12	—	—
0.9	0	0	—	—
1.0	0	0	—	—

### 2.3. The $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$ series

A third way to change EC from 17 to 18 is to operate on the third crystallographic site, and to replace Ti by V or Nb. Nb was chosen, since the atomic size of V is smaller than that of either Ti and Nb. In this case, adding a 'd electron' to the Y site should enhance the density of states and correlations on this site. Indeed, the effective moment  $\mu_{eff}$  per formula unit,  $\theta_p$ ,  $T_C$  and  $M(0)$  all start to increase with Nb content (table 2, figure 4) up to  $x = 0.15$ . For  $x > 0.15$  all of these quantities decrease, and the ferromagnetism disappears for  $x$  between 0.85 and 0.9. The susceptibility of  $\text{CoNbSn}$  (table 1) is nearly temperature independent and is lower than for other compounds with EC = 18, which may be due to the larger diamagnetic susceptibility of the 4d-metal core. For ferromagnetic samples, the square of the spontaneous magnetization  $M(T)$  varies as  $T^2$  for  $x$  lower than 0.3, but as

**Table 3.** The quantities from the band-structure calculations: energy gap  $E_g$ , total number of electrons ( $N_X$ ,  $N_Y$  and  $N_Z$ ), number of d states ( $N_{X,d}$ ,  $N_{Y,d}$ ) inside muffin-tin spheres as well as magnetic moments per formula unit.

Compound	$E_g$ (eV)	$N_X$	$N_Y$	$N_Z$	$N_{X,d}$	$N_{Y,d}$	$M$ ( $\mu_B$ )
CoTiSn		26.68	20.36	47.83	7.56	1.87	0.28
FeTiSb		25.57	20.38	48.61	6.53	1.96	0.78
CoTiSb	0.95	26.64	20.34	48.52	7.51	1.93	
CoNbSn	0.4–0.6	26.74	38.72	47.82	7.54	2.51	
NbCoSn		39.19	26.42	48.04	2.86	7.44	
NiTiSn	0.42	27.73	20.34	47.87	8.50	1.94	
CoVSb		26.61	21.50	48.41	7.48	2.95	1.00
NiTiSb		27.70	20.33	48.44	8.48	1.96	0.0

$T^{4/3}$  for larger  $x$ . This last behaviour was already predicted by Moriya and Kawabata [14] and Lonzarich and Taillefer [15], and attributed to the fluctuations of the order parameter close to the magnetic–non-magnetic crossover.

The resistivity measurements indicate that the metallic character is maintained throughout the series, although the resistivity is much higher for CoNbSn than for metallic CoTiSn or NiTiSb (figure 1). The behaviour observed for CoNbSn implies either a semi-metallic state with a low density of states, or a large atomic disorder which prevents a true semiconducting behaviour. Several CoNbSn samples have been prepared, but even the best one (shown in the figure 1) has a superconducting transition at 3.5 K, a transition temperature which is close to that of Sn. It is probable that some Sn filaments exist between grains and that the stoichiometry of the Heusler phase is not exact. The presence of this spurious phase (undetected by x-rays) may thus explain the metallic-like shape of the resistivity, due to the short-circuit of the primary CoNbSn phase. It may be due to the large difference between the melting points of Sn and Nb, although Co and Nb were first melted together to produce a eutectic with a lower melting point.

Band-structure calculations (see below) show that the inversion of Nb and Co atoms gives rise to a semi-metallic phase instead of a SC one.

#### 2.4. Summary of the experimental results and other compounds

The variation of the ordered magnetic moment  $M(0)$  in the three series is plotted in figure 4 as a function of the concentration  $x$  (or versus the valence electron number:  $17 < EC < 18$ ).  $M(0)$  decreases continuously and almost at the same rate in the  $Co_{1-x}Ni_xTiSn$  and  $CoTiSn_{1-x}Sb_x$  series, and the ferromagnetic state disappears for  $x$  close to 0.6 ( $EC = 17.6$ ). For the  $CoTi_{1-x}Nb_xSn$  series, the magnetization and the Curie temperature first increase as a function of  $EC$ , and then drop to zero for  $EC$  close to 17.9. As previously mentioned, the last feature is due to the increase in the d DOS at the Y site which reinforces the magnetic interactions.

Compounds like NiTiSb and CoVSb have 19 valence electrons, and thus a reappearance of metallic conduction may be expected. The magnetic properties of these systems have been investigated by Terada *et al* [11]. NiTiSb is a Pauli paramagnet, with  $\chi = 1.56 \times 10^{-4}$  emu mol $^{-1}$  as deduced from measurements between 25 and 250 K ( $\chi = 1.37 \times 10^{-4}$  emu mol $^{-1}$  from reference [11]). Resistivity measurements show that this compound is a normal metal. The Debye temperature obtained by a Bloch–Grüneisen fit is estimated to be  $220 \pm 20$  K.

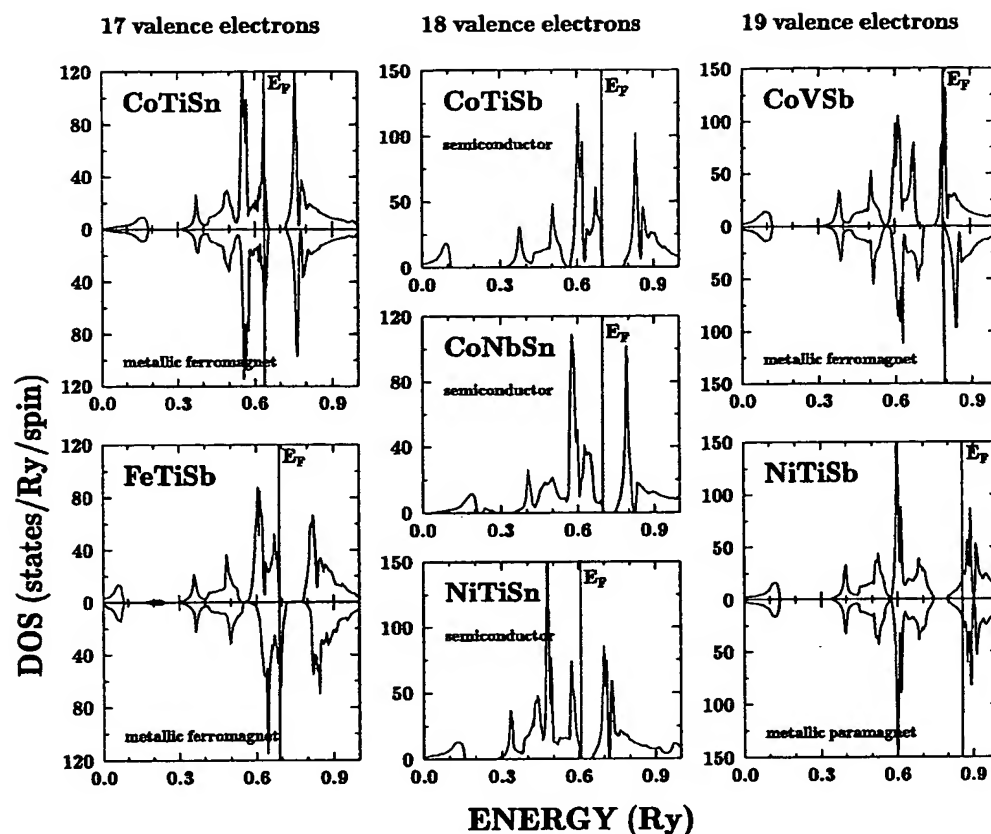


Figure 5. Total densities-of-states spectra of semi-Heusler compounds with various numbers of valence electrons (EC). Each column represents isoelectronic systems. CoTiSn and FeTiSb (EC = 17) are metals, CoTiSb, CoNbSn and NiTiSn (EC = 18) are semiconductors, while CoVSb and NiTiSb (EC = 19) are again metals.

CoVSb is a weak ferromagnet, with  $M(0) = 0.18 \mu_B$ , and  $T_C = 58$  K [11]. Its effective paramagnetic moment is  $1.26 \mu_B$ , close to the values found for CoTiSn and Co diluted in NiTiSn. As for CoTiSn, a fairly large ratio of the paramagnetic to the ferromagnetic moment is observed, which is typical of weak itinerant systems. Finally we observed that FeTiSb (EC = 17) is metallic and exhibits a Curie-like behaviour at low temperatures, but without magnetic order down to 1.5 K.

### 3. Band-structure studies

We have implemented fully charge and spin self-consistent KKR calculations for CoTiSn, FeTiSb, CoTiSb, CoNbSn, NiTiSn, CoVSb and NiTiSb ordered systems. The computations for  $\text{CoTiSn}_{1-x}\text{Sb}_x$  and  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  and the previous ones [9] for  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  (with  $x = 0.0, \dots, 1.0$ ) solid solutions were performed by the KKR-CPA method [16–19]. For compounds as well as for alloys, muffin-tin potentials were constructed within the local spin-density (LSD) approach, using the exchange–correlation part of the von Barth–Hedin

formula [20]. The KKR-CPA self-consistency cycles were repeated for each composition until the difference between input and output potentials was less than 1 mRyd. For the final muffin-tin potentials, the total density of states (DOS), the site-decomposed densities of states (CDOS) and  $l$ -decomposed (with  $l_{max} = 2$ ) partial densities of states (PDOS) were computed on a 201-energy-point mesh for the alloys and on a 601-point mesh for the compounds. The integration in  $k$ -space was performed using 192 small tetrahedra in (1/48)th of the Brillouin zone, applying the method described in reference [21]. The KKR-CPA code contains the generalized Lloyd formula which permits the precise determination of the Fermi energy, using an elliptic contour in the complex energy plane. In our computations the contour contained 48 energy points and the CPA Green function was computed on a mesh of 75 special  $k$ -points in the irreducible part of the BZ for each of the 48 above-mentioned energy points.

In our calculations the experimental lattice constants were generally used. The KKR-CPA calculations for disordered  $\text{CoTiSn}_{1-x}\text{Sb}_x$  were performed with lattice constants corresponding to the values of  $\text{CoTiSn}$  and  $\text{CoTiSb}$  compounds successively. This allowed the influence of the lattice parameter to be checked on the electronic structure, an important feature in this case due to the discontinuity of the solid solution. In the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series the variation of the cell parameter is quite small (table 1). An empty sphere was added at the (1/2, 1/2, 1/2) position in order to improve the filling factor of the WS cell for filling by non-overlapping muffin-tin spheres (for semi-Heusler systems, when using equal radii, this factor is less than 50%; it increases to 68% on adding extra spheres). The radius  $r_{mt} = \frac{\sqrt{3}}{8}a$  was chosen for all non-equivalent atoms in the WS cell, although some numerical trials were done for the  $\text{CoTiSn}$  compound using various muffin-tin radii for Co, Ti and Sn atoms.

The electronic structure of the end-point compounds was also computed using the KKR-CPA code. Such calculations allow the results obtained by the two methods to be compared and show the DOS characteristics for a vanishing or near-vanishing concentration of one dopant. The limit cases ( $x = 0$  and  $x = 1$ ) mean that from the KKR-CPA calculations one may recover for instance the DOS of the Nb atom (one-impurity properties) diluted in the pure  $\text{CoTiSn}$ , i.e. the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  ( $x = 0$ ) case.

The electronic structure of the present semi-Heusler systems is roughly divided into three groups, according to the number of valence electrons (17-, 18- and 19-electron systems). Figure 5 is a kind of phase diagram, which illustrates the electronic structure of systems and their corresponding ground-state properties.  $\text{CoTiSn}$  and  $\text{FeTiSb}$  (EC = 17) are found to be metallic ferromagnets,  $\text{CoTiSb}$ ,  $\text{CoNbSn}$  and  $\text{NiTiSn}$  (EC = 18) semiconductors, while  $\text{NiTiSb}$  and  $\text{CoVSb}$  (EC = 19) are again metals in the paramagnetic and ferromagnetic state, respectively. From a brief comparison we observe that, even for isoelectronic compounds, the magnetic and transport properties strongly depend on the constituent atoms and their crystallographic positions. From the set of pictures in figure 5, we can easily draw all possible 'paths' between the ordered systems, guessing properties of possible solid solutions. Nevertheless, as observed in our experiments, some phase diagrams do not show a continuous solution. In this paper we focus on the series of solid solutions  $\text{CoTiSn}_{1-x}\text{Sb}_x$  and  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$ , which seem the most attractive for our purposes. The  $\text{CoV}_{1-x}\text{Ti}_x\text{Sb}$  series has not yet been investigated experimentally and will not be presented in this paper.

### 3.1. $\text{CoTiSn}$ and $\text{FeTiSb}$

The spin-projected DOS picture for  $\text{CoTiSn}$  (the top-left-hand panel in figure 5, also in reference [9]) shows a weak polarization corresponding to a magnetic moment of about

0.28  $\mu_B$  per formula, which is mainly localized on the Co site (0.15  $\mu_B$  inside the muffin-tin sphere). The conduction band is formed essentially from d states of Co with some admixture of Sn p states and Ti d states, but part of them are observed above a gap. The DOS for spin up abruptly decreases in the vicinity of the Fermi level, whereas for spin down  $E_F$  falls almost precisely at a peak of the DOS. Electronic structure calculations close to the SC-metal limit and close to the magnetic instability are highly sensitive to the determination of the Fermi level. The DOS spectra for CoTiSn (the left-hand column in figure 5) and the position of  $E_F$  are deduced from the KKR-CPA method (using the generalized Lloyd formula, when determining  $E_F$ ). The KKR computations of ordered CoTiSn show stronger polarization of bands and result in magnetic moments both on Co (0.41  $\mu_B$ ) and Ti (0.30  $\mu_B$ ) atoms. As stated above, the relevant KKR-CPA calculations for CoTiSn show the magnetization to be of order 0.3  $\mu_B$ , mainly due to the magnetic moments at Co sites. Recently, the electronic structure calculations have been performed for the Co<sub>2</sub>TiSn and Co<sub>2</sub>TiAl Heusler systems with the use of the FP-LAPW and the ASW-ASA methods [22]. The comparison shows that the full-potential LAPW computations lead to a total magnetic moment in agreement with experiment in contrast to the ASW-ASA method, which gives non-magnetic ground states for these systems. This result may be influenced by the use of overlapping spheres (ASA) around atoms, which probably cancel some spin-density contributions. The calculations presented in this paper (using muffin-tin potentials inside non-overlapping spheres) indicate that CoTiSn and Co<sub>2</sub>TiSn [9] are weak ferromagnets with the total magnetic moments 0.28  $\mu_B$  and 0.90  $\mu_B$  (per Co atom) respectively. These values are close to the experimental data (0.35 and 0.96  $\mu_B$  [6]) and to the FP-LAPW results [22].

The electronic structure of FeTiSb (the left-hand column in figure 5) is presented for comparison with that of the isoelectronic CoTiSn. FeTiSb is found to be a metallic ferromagnet with a total magnetic moment per formula unit of 0.78  $\mu_B$ ; the calculated local magnetic moments at the Fe(X) and Ti(Y) sites are 0.66  $\mu_B$  and 0.10  $\mu_B$ , respectively. As for CoTiSn,  $E_F$  lies at the peak of the spin-down DOS. When comparing the component DOS of these two systems (not drawn here), we notice that the d states of Ti more strongly contribute to the conduction band in the FeTiSb system, resulting among other things in a small magnetic moment on Ti. The integrated partial DOS of the Ti d shell up to  $E_F$  (table 2) exhibits a higher value for FeTiSb ( $N_{Y=Ti,d} = 1.96$ ) than for CoTiSn ( $N_{Y=Ti,d} = 1.86$ ). This compound indeed exhibits a Curie-like behaviour typical of local magnetic moments at low temperature, with a nearly vanishing Curie-Weiss temperature. However, no magnetic order was detected experimentally down to 1.5 K. As reported in reference [23], the refinement of the diffraction data for FeTiSb seems to show more complex crystallographic structure than the semi-Heusler one (a super-cell or disordered structure). When assuming a disorder on X sites within the X<sub>2</sub>YZ-type structure, the KKR-CPA computations show a non-magnetic ground state of (Fe<sub>0.5</sub>E<sub>0.5</sub>)<sub>2</sub>TiSb (E denotes a vacancy), in agreement with the present experiments.

### 3.2. CoTiSb, CoNbSn and NiTiSn

The KKR studies of semi-Heusler systems possessing 18 valence electrons show the presence of a semiconducting ground state. The basic difference between CoTiSb, NiTiSn and CoNbSn compounds concerns the value of the energy gap  $E_g$ , which is biggest ( $E_g = 0.95$  eV) for CoTiSb (see table 2). As can be seen from the dispersion curves, bands for CoTiSb (figure 6) and NiTiSn [9] exhibit an indirect  $\Gamma$ -X gap, while  $E_g$  for CoNbSn appears between the W and X points in the Brillouin zone.

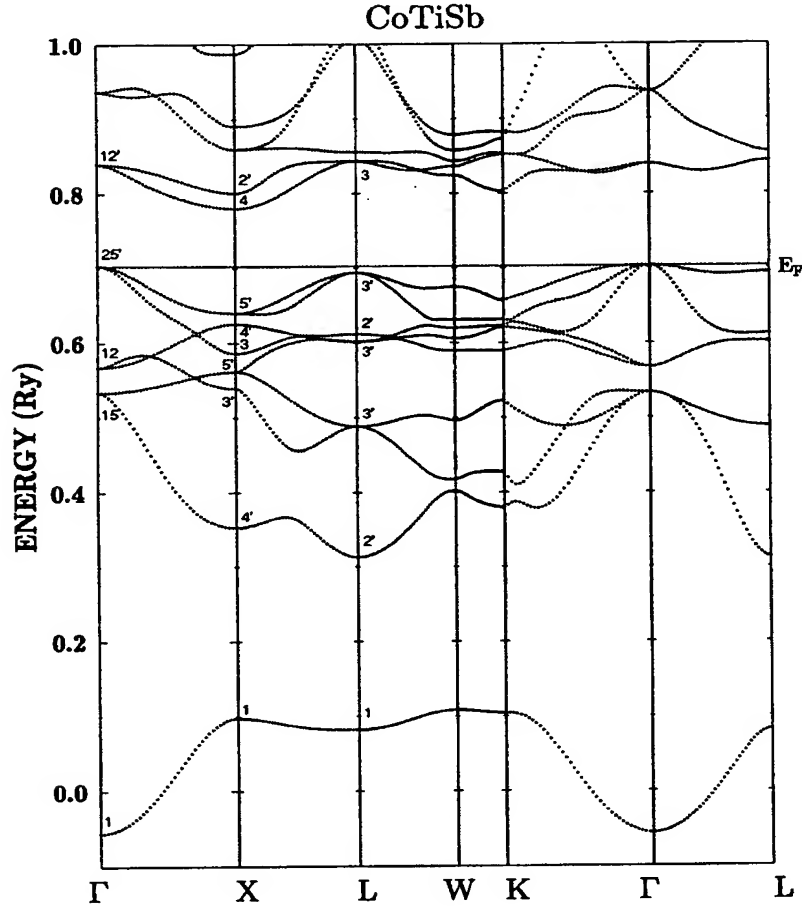


Figure 6. The dispersion curves along high-symmetry BZ directions in the semiconducting CoTiSb. The symmetry labels are assigned according to reference [28].

In this context we recall some limits of the LSD approach in the description of semiconductors. The value of the computed energy gap may be also modified when including the spin-orbit coupling, which is not considered in the present KKR calculations. We expect that upon inclusion of the spin-orbit interaction, the band gaps in semi-Heusler systems may be reduced by about 10–15%, as concluded in reference [24] with respect to the half-metallic PtMnSb.

On the other hand, transport measurements do not give reliable values for the gaps, due to the extrinsic character of the samples (at present, optical measurement results are not available for these systems). Nevertheless, the resistivity values for the three compounds vary in the same sense as the calculated values of the gap (see figure 1 and figure 5).

The CoNbSn compound is near the metal-SC limit, as observed in resistivity measurements. The band-structure studies give an energy gap  $E_g$  of the order of 0.4 eV (KKR-CPA) and 0.6 eV (KKR). The disagreement with experiment may arise from the disorder between Co and Nb sites: the KKR computations performed for NbCoSn with

inverted sites (Nb at the X site, while Co is at the Y site) give a semi-metallic state (table 3), with a small DOS at  $E_F$  (13 states Ryd<sup>-1</sup>) mainly due to the Co d contribution.

Both for NiTiSn and for CoTiSb, a dominant character of the electrons (larger mobility, or more donor centres than acceptors) has been observed in the Hall effect. The larger electron mobility may be attributed to the curvature of the valence and conduction bands and to the threefold degeneracy of hole orbitals near the  $\Gamma$  point (the top of the valence band in figure 6), which limits the hole mobility.

For NiTiSn, the main DOS peaks below  $E_F$  are principally formed from Ni d states, while above the gap they are due to Ti d states. The situation observed for CoTiSb is slightly different. Here, the gap is two times larger; the electronic spectra deduced from KKR computations also differ from the DOS of NiTiSn. The d states of Co are separated by a gap, and only about 80% of the Co d states are filled below  $E_F$ . The main peak of the Co d PDOS is shifted to higher energies, relative to the Ni d PDOS for NiTiSn, due to Co possessing a less attractive potential than Ni. The exact position of the Co d PDOS peak relative to the Fermi energy is also slightly different for CoTiSb and CoNbSn. Moreover, the filling of the d shell of Co in CoNbSn ( $N_{Y=Co,d} = 7.54$ ) is like that in CoTiSb ( $N_{Y=Co,d} = 7.51$ ) (see table 3).

From the DOS spectra for the Y and Z sites in the above-mentioned systems (not drawn here), we see, that replacing Ti by Nb and Sb by Sn leads to a higher increase of d states below  $E_F$  than the corresponding decrease of p states at the Z site. Consequently, d states from X and Y sites in CoTiSb and CoTiSn are less hybridized than in CoNbSn.

In this comparison we cannot neglect the influence of the Z position on the formation of the energy gap. The degree of hybridization between d states at X and Y sites and p states at the Z site may control the value of the gap. As can be observed from the p PDOS at the Z site, the hybridization is stronger if Z = Sb than if Z = Sn. Thus CoTiSb (with higher electronegativity of Sb) has a wider  $E_g$  than NiTiSn and CoNbSn systems. Nevertheless, the d PDOS are very large on both sides of the gap, and hence its value may also be related to the splitting between bonding/anti-bonding wave-functions of transition metals (see the discussion).

### 3.3. NiTiSb and CoVSb

Adding one electron more to the semi-Heusler EC = 18 compounds, at either the X or the Z position, turns the system metallic, with only one conduction electron per formula unit. When the density of states at the Fermi level is sufficient, as in CoVSb, Stoner's criterion is met and a ferromagnetic ground state occurs. Calculations show that, surprisingly, the magnetization arises from vanadium atoms. This behaviour may be explained by the fact that  $E_F$  falls at a high peak of the d DOS for vanadium. The corresponding density for Co is significantly smaller, and thus the local Stoner criterion is not fulfilled.

Both KKR and KKR-CPA methods indicate that the bands are fully polarized; thus CoVSb should be a half-metallic system (the right-hand column in figure 5) with a magnetic moment per Wigner-Seitz cell equal to  $1.00 \mu_B$ . The calculated local magnetic moments on Co, V and Sb are found to be  $-0.02$ ,  $1.03$  and  $-0.03 \mu_B$ , respectively. However, this magnetization value is larger than that observed by Terada *et al* [11]. This disagreement may be due to the very rapidly varying DOS in this compound near  $E_F$ : from the total DOS for CoVSb, we also conclude that the half-metallic ferromagnetism here is linked to the highly localized conduction states (a large peak of the DOS at  $E_F$ ). A different ground state may be favoured in a real system; thus an analysis of the total energy variation as a function of polarization should be undertaken. One other reason for the discrepancy

between experiment and theory may be the atomic disorder between Co and V atoms: V has a smaller radius than other 3d metals which occupy the Y sublattice. It is close to that of Co and this may permit greater disorder.

Now, passing to the NiTiSb system, we notice clearly that adding one electron at the X site (Co replaced by Ni) does not have the same effect as increasing the number of electrons at the Y site by replacing Ti by V. The electronic structure of NiTiSb (figure 5) shows striking differences when compared to that of CoVSb. Spin-polarized KKR computations indicate that the ground state of NiTiSb is non-magnetic. In contrast to the Co d states in CoVSb, the Ni d shell in NiTiSb is more or less filled (as in NiTiSn), and the states above the gap are formed with Ti d states. Due to a small value of the DOS at the Fermi level, neither Ni nor Ti atoms in NiTiSb retain magnetic moments. This result is in agreement with magnetization and resistivity measurements, which indicate vanishing magnetization and metallic character in NiTiSb.

### 3.4. $\text{CoTiSn}_{1-x}\text{Sb}_x$ and $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$ alloys

In this section we compare the electronic structure and magnetic properties derived from KKR-CPA computations for the isoelectronic series of alloys  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$  (figure 7). In the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series (the right-hand column in figure 7) an increase of valence electron number is brought about by substitution at the Y site by a d element from the next group in the periodic table (Nb), while in the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series (the left-hand column in figure 7) it is effected by doping the Z site with Sb.

Due to the different origins of the electrons at the two substitution sites, we expect different behaviours of the electronic structure and magnetism for the series, upon alloying the parent compounds. The total magnetic moment ( $\mu_{\text{tot}}$ ) variations versus composition are presented in figure 4 for three series (the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  series was investigated in [9]). As in experiments, the variations of  $\mu_{\text{tot}}$  (more or less proportional to  $\mu_{\text{Co}}$ ) change non-linearly in all cases. The alloy compositions for which ferromagnetic ordering disappears are also different for the different series. Taking into account the weak ferromagnetism in all of the samples investigated, the critical concentrations found from KKR-CPA calculations are not far from the experimental results. The starting value of  $0.28 \mu_B$  for the magnetic moment of  $\text{CoTiSn}$  at  $x = 0$  also slightly differs from the experimental value ( $0.35 \mu_B$ ), which may modify  $\mu_{\text{tot}}(x)$  dependencies.

First let us consider  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  alloys. Here, an interesting observation concerns the concentration-dependent variation of the total magnetic moment, which exhibits a maximum in experiment and a plateau in the KKR-CPA calculations for Nb concentrations of about 0.1–0.2. This is related to an increase in the d states at Y sites, which generates a small induced magnetic moment at this site for  $0 < x < 0.2$ . It can be seen from the component DOS (the middle and right-hand columns in figure 8), which exhibits some polarization both at the Co site as well as at the Y site (mainly the Ti site) for  $x < 0.3$ . For higher Nb content, it is mainly the magnetic moment at the Co site that contributes to the total magnetization, which reaches zero for  $x$ -values of about 0.6–0.7. Surprisingly, both the gap between the conduction and valence bands in the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series (the right-hand column in figure 7 and figure 8) and the exchange splitting become smaller as  $x$  increases.

For  $x > 0.5$  the density of states at the Fermi level subsequently decreases, reaching zero for  $x = 1.0$  (an energy gap of about 0.4 eV occurs). This is supported by resistivity measurements, which show an increase of the resistivity in Nb-rich  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  samples although, experimentally,  $\text{CoNbSn}$  appears to be more or less semi-metallic (see section 2.3).

Crystallographic studies have shown that the solid solution was not continuous between



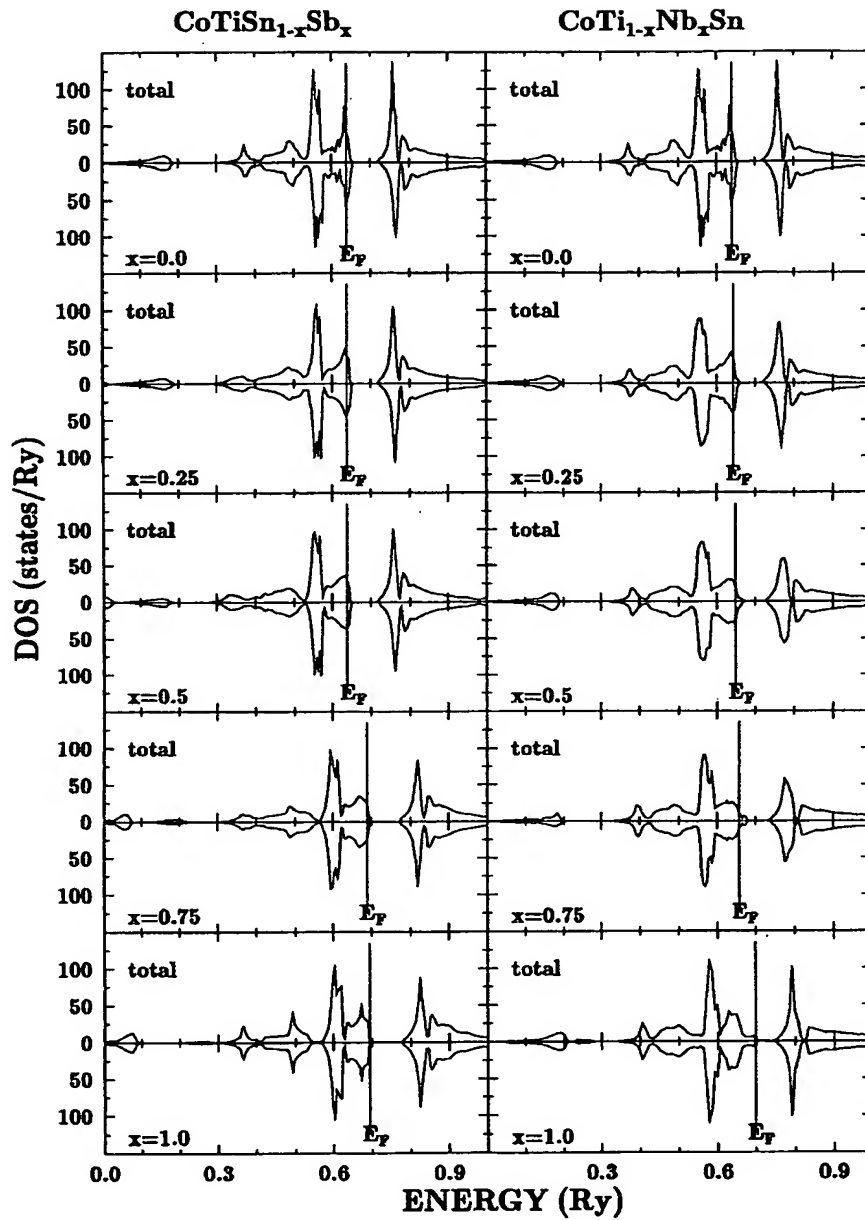


Figure 7. Total densities of states (from the KKR-CPA method) for two disordered series:  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  and  $\text{CoTiSn}_{1-x}\text{Sb}_x$ .

$\text{CoTiSn}$  and  $\text{CoTiSb}$ . In order to investigate the influence of the lattice parameter on the SC-metal transition, calculations were performed for the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series with the true parameter (figure 7 and figure 9). The overall DOS shape is not significantly changed (for  $x > 0.5$ ); only a global shift of energies is observed. In the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series

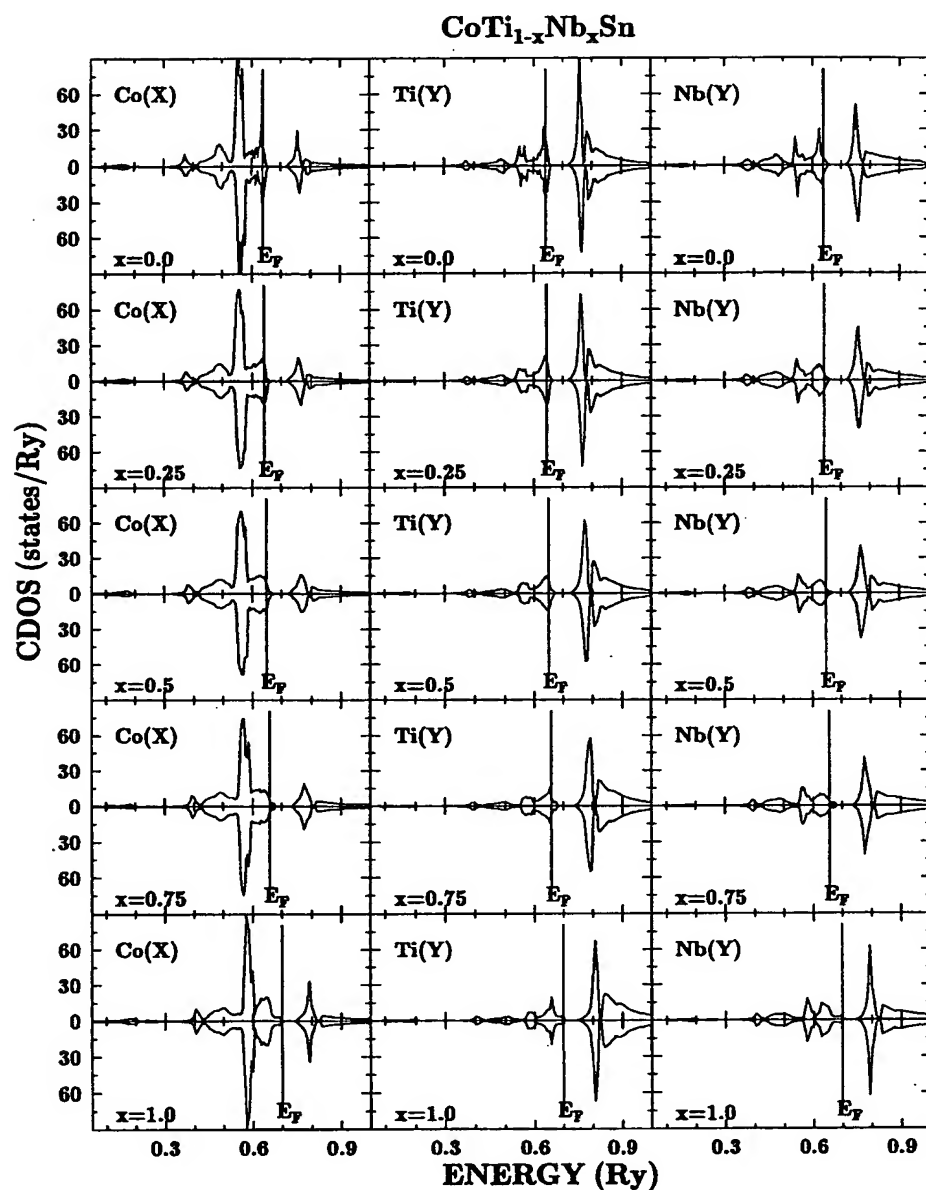


Figure 8. Component densities of states for X and Y sites in the disordered CoTi<sub>1-x</sub>Nb<sub>x</sub>Sn series. For borderline concentrations the CDOS of one impurity in the matrix is shown, i.e. Nb in CoTiSn ( $x = 0$ ) and Ti in CoNbSn ( $x = 1$ ).

(oppositely to the previous case), the gap between the conduction and valence bands slightly increases on approaching the CoTiSb compound (the left-hand column in figure 7). The spin polarization continuously decreases, reaching zero for  $x \approx 0.7$ , which corresponds well to magnetization measurements ( $x = 0.6$ ). Nevertheless, in contrast to the results of the

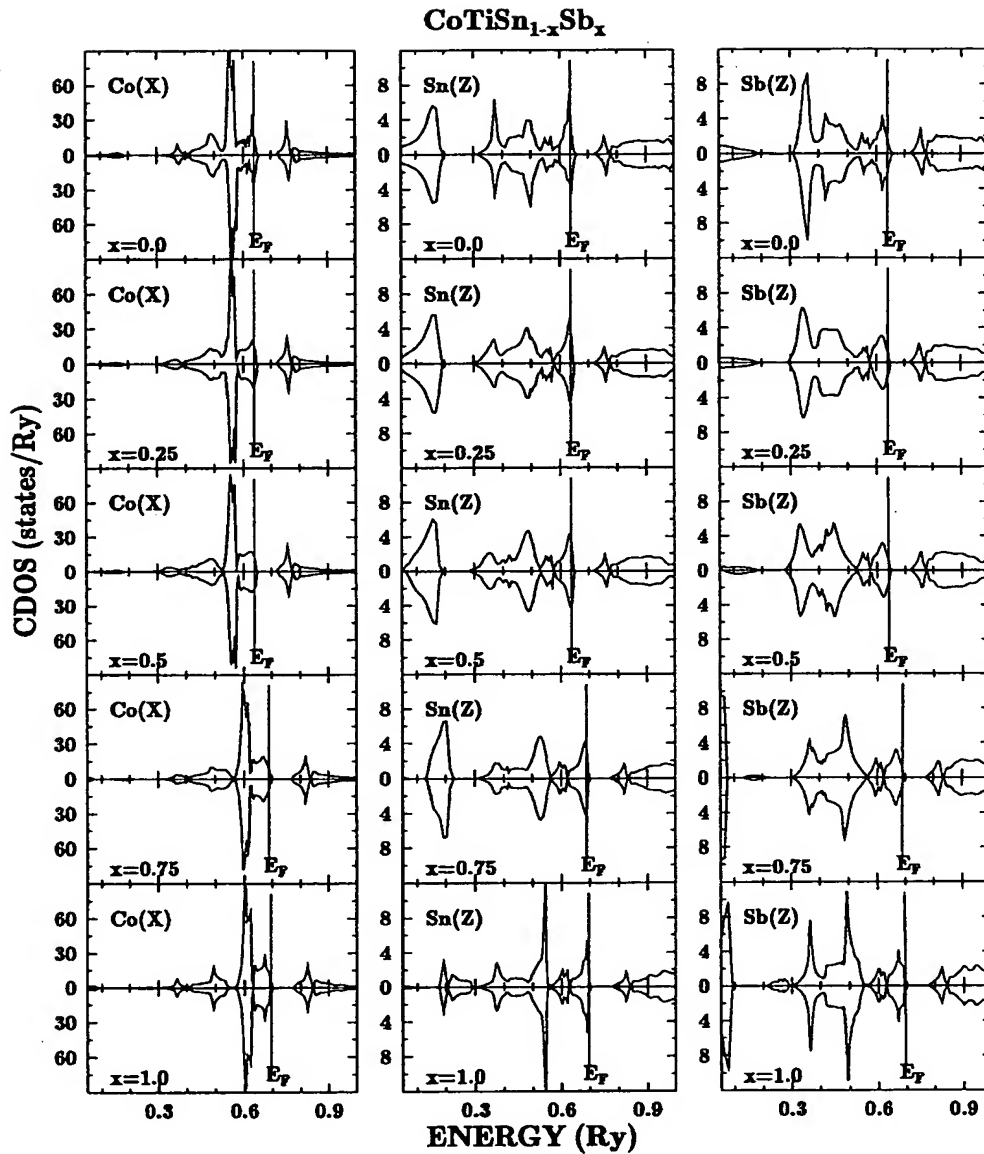


Figure 9. Component densities of states for X and Z sites in the disordered CoTiSn<sub>1-x</sub>Sb<sub>x</sub> series. For borderline concentrations the CDOS of one impurity in the matrix is shown, i.e. Sb in CoTiSn ( $x = 0$ ) and Sn in CoTiSb ( $x = 1$ ).

transport experiments, the crossover to the semiconducting state is not observed in KKR-CPA spectra for intermediate concentrations, mainly due to non-zero contributions of states from Co and Sn atoms (figure 9).

This rigid-band behaviour is due to eight bands, which are filled for  $x = 1.0$ , so  $E_F$  cannot fall into the gap for  $x < 1$ . Weakly doped samples probably still have a

semiconducting-like resistivity due to localization arising from the atomic disorder, which will be discussed in the next section.

#### 4. Discussion

Some properties, such as the ferromagnetic-paramagnetic or metal-semiconductor crossovers, can be directly understood from electronic structure calculations, but other results are much less clear and need a more detailed discussion. We will first discuss the transport properties, and next the magnetic properties of these systems.

##### 4.1. Transport properties

We first recall that the gap in the semiconducting phases cannot be properly estimated from our transport experiments below 300 K, due to their extrinsic character. Thus we must rely on the values calculated for the ideal structure within the LSD framework. Nevertheless we observe that the order of magnitude of the measured resistivity goes in the same sense as the calculated value of the gap, both values decreasing from CoTiSb, through NiTiSn, to CoNbSn. This can be simply due to the fact that CoTiSb is better ordered, having fewer impurity states, and CoNbSn is more disordered. One discrepancy between experiments and calculations is the following: when the electron concentration is decreased starting from a SC phase ( $EC = 18$ ), the resistivity retains a semiconducting-like behaviour (negative temperature derivative) and a large magnitude at low temperatures up to a quite large value for the hole concentration in the lattice. Conversely, in most cases, the CPA calculations indicate that there is a finite DOS at  $E_F$ , which implies a metallic state. This should be interpreted in the following way: the CPA calculations do not completely take into account the inhomogeneities in the sample—that is, the different local potentials experienced by carriers due to the chemical disorder—since the environment at an atom is replaced by a mean potential. The resistivity behaviour is indeed caused by the disorder. Anderson and Mott [25] have treated the case of disordered semiconductors, argued for the existence of a mobility edge, and described the mechanism of variable-range hopping (VRH) of carriers, due to localization at the deepest potential wells. In the present system, the typical VRH law:  $\ln(R)$  varying as  $1/T^{1/4}$  or  $1/T^{1/2}$ , cannot be observed due to the extrinsic character, but hopping is the common mode of conduction in disordered semiconducting systems [26].

Different types of behaviour are indeed encountered in the present solid solutions. In the case of the  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  series, calculations as well as the experimental evidence for a localized moment on Co show that Co levels fall into the former gap of NiTiSn, which is a shortcoming of the rigid-band model. This can explain why the systems remains SC until either a percolation limit is reached, or the Fermi level falls below (for hole doping) the mobility edge, when Co levels collapse into a band. In the case of the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series, the discontinuity of the lattice parameter of the solid solutions shows that local environment effects must be larger. Conversely, the  $\text{CoTi}_{1-x}\text{Nb}_x\text{Sn}$  series looks correspondingly more homogeneous and the SC behaviour is indeed rapidly replaced by a metallic state close to the CoNbSn composition.

##### 4.2. Magnetic properties

One first problem is to explain why some compounds present a constant paramagnetism and others a Curie-Weiss behaviour. Moriya and Kawabata [14] have shown that the existence of

strictly localized magnetic moments is not a necessary condition for the existence of Curie–Weiss behaviour. Generally, the Curie–Weiss behaviour has been found to be associated with a sharp peak of the DOS at  $E_F$ . Thus we will try to relate magnetic properties to the density of states. We must also explain why localized states of Co diluted in NiTiSn have a small effective moment, why CoTiSb does not show any localized magnetic moments, and also why itinerant magnetic features are observed for narrow bands and, thus, close to a SC–metal transition.

The first observation is that the semiconducting phases NiTiSn and CoTiSb as well as metallic NiTiSb present a susceptibility which does not depend on temperature, whereas CoTiSn and CoVSb present a weak ferromagnetism with a Curie–Weiss behaviour in the paramagnetic range.

Let us first ask what the nature is of the semiconducting phase CoTiSb (charge-transfer, Mott–Hubbard, or another type of semiconductor), and why there is no Curie–Weiss magnetism in this compound. In contrast to the case of Ni in NiTiSn, the d shell of the Co atom is not filled. Electronic structure calculations show (table 3) that there is no more charge transfer from Sb to Co than from Sn to Co in CoTiSn: the number of electrons within the muffin-tin (MT) sphere of Co is the same for the two compounds, and from the partial d DOS the configuration of Co is about  $3d^8$ . Large electronic correlations within the d shell should maintain a strong intra-atomic coupling. Since Sb p levels are centred well below the gap and have a weak partial DOS on both sides of the gap, we conclude that this gap does not arise only from the charge transfer between Sb and metals. On the other hand, the energy bands (figure 6) show that the splitting between  $\Gamma_{25'}$  (occupied  $t_{2g}$  states of Co and Ti below  $E_F$ ) and the unoccupied level  $\Gamma_{12}$  ( $e_g$  states above the gap) is about 2 eV. The Co d PDOS is dominant below the gap, and Ti levels dominate above. Thus the gap seems to be due mainly to the hybridization between Co and Ti and the energy difference between bonding and anti-bonding states.

The splitting of d states also indicates that the crystal field around Co is fairly large. In this case, according to Goodenough [27], the spin of the ground state resulting from the crystal field and spin–orbit coupling may be quenched. For a  $3d^8$  configuration in a tetrahedral interstice, it has been predicted that the ground state is a non-magnetic singlet ( $\Gamma_1$  representation), followed by a  $\Gamma_4$  triplet [27]. This non-magnetic ground state expected for the ionic configuration may tentatively explain why CoTiSb fails to exhibit any Curie–Weiss behaviour, although this ionic picture should be treated with caution due to the strong hybridization with ligands. The hybridization itself, splitting the last occupied bands around the gap, may give rise to this non-magnetic situation.

The constant paramagnetism for other semiconducting phases can be explained as for CoTiSb by a non-magnetic ground state or weak correlations (NiTiSn, CoNbSn), whereas for the NiTiSb metallic phase the more or less uniform Pauli susceptibility is related to a low density of states at  $E_F$ .

Conversely, the Curie–Weiss behaviour observed in CoTiSn (or CoVSb) is obviously associated with the presence of a high local density of states at  $E_F$ , as revealed by band calculations, and can be rather well understood within the framework of itinerant-electron magnetism. Due to the presence of the gap, only one hole (or electron) participates in conduction and in magnetic ordering, which favours a ferromagnetic type of order. Surprisingly, the magnetic moment in CoVSb is expected to lie on the V atom which has the highest DOS at  $E_F$ , rather than on the Co atom. Such a situation should be verified by polarized neutron experiments on single crystals, which is the only way to measure such low ferromagnetic moments.

For solid solutions like  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$ , we pass progressively from one regime to the

other, and the susceptibility can be described by the superposition of a Curie-like term plus a constant susceptibility:  $\chi = (1 - x)C/T + \chi_0$ . The Curie term comes from the rather well localized Co contribution, the other from other d electrons. This is a typical example of localization without a large change in the Co effective moment ( $1.1 \mu_B$  for Co diluted in NiTiSn, compared to  $1.35$  for pure CoTiSn). The KKR-CPA band calculations [9] show that the Co d levels tend to form acceptor levels in the gap of NiTiSn (a shortcoming of the rigid-band model), which gives rise to a non-homogeneous magnetism localized at the Co sites. The ferromagnetic order appears when these impurity states are close enough to give rise to a band and thus to a large enough density of states at  $E_F$ . A percolation limit can be defined which corresponds to about 0.4 of a hole per formula unit.

The picture of spin quenching by the crystalline field can also explain, together with the weak ferromagnetism expected from the itinerant model, why the magnetic moment is so low for CoTiSn or for  $\text{Co}_{1-x}\text{Ni}_x\text{TiSn}$  solutions. Crystal fields and hybridization give rise to a strong breakdown of Hund's rule and to a low-spin state. One interesting feature is that the effective moment remains close to  $1.2 \mu_B$  for CoTiSn, for CoVSb and also for some other weak ferromagnets.

Another peculiar feature is the observation of a susceptibility maximum in the  $\text{CoTiSn}_{1-x}\text{Sb}_x$  series close to CoTiSb. Such a behaviour has also been observed for some enhanced paramagnets ( $\text{YCo}_2$  for instance) as for  $\text{ZrZn}_2$  under pressure [12]. Most probably it is related to a pronounced minimum in the DOS at  $E_F$ , giving a positive second derivative  $n''(E_F)$ ; the existence of such a minimum is expected as a consequence of the former gap.

#### 4.3. Conclusions and further developments

In summary, many very different behaviours have been observed in these semi-Heusler phases, from semiconductor to metal, from Pauli-like to Curie-Weiss paramagnet, from paramagnetic to ferromagnetic ground state. An overall agreement has been observed between experimental data and electronic band calculations. Some discrepancies remain, since calculations predict for example a ferromagnetic state for FeTiSb (but a non-magnetic state for  $(\text{Fe}_{0.5}\text{E}_{0.5})_2\text{TiSb}$ ) and a fully polarized state for CoVSb which are not observed. Some of the discrepancies may be attributed to the crystallographic disorder in the real lattices and others to the extreme instability of the magnetic polarization near the magnetic-non-magnetic crossover.

Further experiments are planned to investigate in more detail the appearance of magnetism at the Y site, and to follow by substituting for atoms from Ti to Mn the evolution of the ferromagnetic state from the weak-ferromagnet case to the case of complete ferromagnetic polarization and half-metallic behaviour, as found for NiMnSb.

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